WALL STREET ACCESS - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.71	22.38	23.46	24.93	29.24

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	25.18	0.04	61.94	19.59	19.70	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	14.22	0.00	15.47	14.05	24.25	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	13.91	45.68	0.55	14.23	0.05	182.81	5.0000	20.92	5.0000	18.09	5.0000	0.72	5.0000
Matrix Executions, LLC / Simplex Trading, LLC	13.76	1.83	6.05	16.34	26.86	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
WALL STREET ACCESS	9.13	0.10	5.72	15.89	13.03	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Pershing	8.77	36.01	0.05	2.81	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	5.99	16.31	1.56	3.41	3.86	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	5.01	0.00	0.89	9.02	8.74	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:

The Nasdaq Stock Market (NSDQ)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive $0.002 for adding liquidity and pay $0.003 for removing liquidity.

Cowen and Company, LLC:
Cowen and Company, LLC (ATMC)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay $0.002 per share in execution fees for use of their execution algos.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:

https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.
Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive $0.0005/ share for NMS marketable and non-marketable orders.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order tales liquidity. The net amount of this rebate, if positive, is included in our disclosures.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)
Wall Street Access ("the Firm) is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Pershing:
Pershing (PERS)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

Pershing is the firm's clearing agent.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE)
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We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay $0.001 to 0.0025 per share in execution fees for use of their execution algos and receive $0.0023 for adding liquidity to their SMRT order router.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

Goldman, Sachs & Co.:

Goldman, Sachs (GSCO)
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We pay GSCO $0.008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

July 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.82	18.08	21.99	36.13	23.80

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
StoneX Financial, Inc.	21.97	68.71	1.54	25.32	0.27	241.38	4.6653	24.58	5.0000	51.49	5.0000	2.56	5.0000
The Nasdaq Stock Market	20.38	0.15	55.39	13.42	13.95	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
WALL STREET ACCESS	13.89	0.15	14.64	13.62	24.06	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	13.79	2.81	6.10	16.13	25.67	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	8.60	0.13	9.72	9.67	12.40	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	7.43	0.00	1.79	11.66	11.85	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	4.63	7.37	3.51	3.07	5.95	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

StoneX Financial, Inc.:

StoneX Financial, Inc. (INTL)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
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We receive $0.0005/ share for NMS marketable and non-marketable orders.

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)
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We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2 For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive $0.002 for adding liquidity and pay $0.003 for removing liquidity.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)
Wall Street Access ("the Firm) is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)
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We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order tales liquidity. The net amount of this rebate, if positive, is included in our disclosures.

Cowen and Company, LLC:
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay $0.002 per share in execution fees for use of their execution algos.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO $0.0008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE/VALR)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay $0.001 to 0.0025 per share in execution fees for use of their execution algos and receive $0.0023 for adding liquidity to their SMRT order router. For orders routed to Virtu Americas agency desk, we pay $0.0015 per share for execution fees.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	3.85	14.23	81.92

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Nasdaq ISE, LLC	23.49	0.00	1.41	0.00	28.61	0.00	0.0000	-4.39	-87.8000	0.00	0.0000	0.00	0.0000
Cboe Exchange, Inc.	21.54	0.00	27.70	0.00	24.99	0.00	0.0000	-1.26	-0.2500	0.00	0.0000	-1.36	-0.2500
NYSE ARCA OPTIONS	17.20	0.00	0.00	95.68	4.37	0.00	0.0000	0.00	0.0000	1,056.60	37.2173	180.22	37.2355
Cboe EDGX Exchange, Inc.	15.44	0.00	8.45	0.00	18.45	0.00	0.0000	1.52	1.0000	0.00	0.0000	104.64	49.8286
Nasdaq PHLX LLC	14.14	0.00	0.00	0.00	17.26	0.00	0.0000	0.00	0.0000	0.00	0.0000	-14.39	-2.7100

Material Aspects:

Nasdaq ISE, LLC:
NASDAQ ISE (ISE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207

Cboe Exchange, Inc.:
CBOE Exchange, Inc. (CBOE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

NYSE ARCA OPTIONS:
NYSE ARCA Options (ARCA)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Cboe EDGX Exchange, Inc.:
CBOE EDGX Options Exchange (EDGO)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://markets.cboe.com/us/options/membership/fee_schedule/edgx/

Nasdaq PHLX LLC:
NASDAQ PHLX (PHLX)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207

August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.63	17.25	25.15	27.42	30.18

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	25.56	0.02	65.41	16.72	14.99	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	15.57	0.04	10.70	13.59	30.30	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	15.33	3.35	7.39	18.10	26.29	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	11.24	41.85	0.57	14.03	0.09	165.68	5.0000	9.35	5.0000	13.86	5.0000	0.20	5.0000
WALL STREET ACCESS	11.16	0.15	6.08	20.50	13.20	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Pershing	7.32	38.83	0.10	2.16	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	4.94	15.70	1.82	2.60	3.51	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)
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Cowen and Company, LLC:
Cowen and Company, LLC (ATMC)
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Matrix Executions, LLC / Simplex Trading, LLC:

Matrix Executions LLC/ Simplex Trading LLC (MTRX)
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StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:

https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.
Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive $0.0005/ share for NMS marketable and non-marketable orders.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)
Wall Street Access ("the Firm) is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Pershing:
Pershing (PERS)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

Pershing is the firm's clearing agent.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay $0.001 to 0.0025 per share in execution fees for use of their execution algos and receive $0.0023 for adding liquidity to their SMRT order router.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

August 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.71	16.47	23.74	29.40	30.39

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	22.13	0.03	58.25	12.10	15.59	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	19.60	58.30	5.21	29.57	0.21	274.99	4.9955	54.76	5.0000	46.29	5.0000	2.39	5.0000
WALL STREET ACCESS	14.39	0.68	10.86	14.55	24.40	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	11.78	4.23	4.99	14.13	18.91	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	11.35	0.08	9.29	10.54	19.86	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	6.30	0.00	1.33	9.52	10.48	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	5.11	11.32	3.77	2.90	4.94	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2 For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive $0.002 for adding liquidity and pay $0.003 for removing liquidity.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive $0.0005/ share for NMS marketable and non-marketable orders.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)
Wall Street Access ("the Firm) is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order tales liquidity. The net amount of this rebate, if positive, is included in our disclosures.

Cowen and Company, LLC:

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay $0.002 per share in execution fees for use of their execution algos.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO $0.0008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE/VALR)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay $0.001 to 0.0025 per share in execution fees for use of their execution algos and receive $0.0023 for adding liquidity to their SMRT order router. For orders routed to Virtu Americas agency desk, we pay $0.0015 per share for execution fees.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

August 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	2.49	8.66	88.85

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Cboe EDGX Exchange, Inc.	23.43	0.00	13.49	0.00	25.99	0.00	0.0000	-2.28	-4.3846	0.00	0.0000	204.54	32.7788
Cboe Exchange, Inc.	17.70	0.00	30.70	0.00	19.06	0.00	0.0000	-20.84	-5.2500	0.00	0.0000	-61.32	-5.2500
Nasdaq PHLX LLC	17.55	0.00	0.00	0.00	19.75	0.00	0.0000	0.00	0.0000	0.00	0.0000	-104.80	-8.4448
Nasdaq ISE, LLC	17.50	0.00	0.47	0.00	19.68	0.00	0.0000	-0.44	-44.0000	0.00	0.0000	-85.55	-5.0088
NYSE ARCA OPTIONS	15.38	0.00	0.00	97.59	7.80	0.00	0.0000	0.00	0.0000	493.20	24.2836	140.58	23.3134

Material Aspects:

Cboe EDGX Exchange, Inc.:
CBOE EDGX Options Exchange (EDGO)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://markets.cboe.com/us/options/membership/fee_schedule/edgx/

Cboe Exchange, Inc.:
CBOE Exchange, Inc. (CBOE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

Nasdaq PHLX LLC:
NASDAQ PHLX (PHLX)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207

Nasdaq ISE, LLC:
NASDAQ ISE (ISE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207

NYSE ARCA OPTIONS:
NYSE ARCA Options (ARCA)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.68	26.24	22.00	27.61	24.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	24.44	0.02	68.85	14.53	21.86	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	17.45	41.06	1.15	23.13	0.15	136.57	5.0000	12.28	5.0000	13.75	5.0000	0.24	5.0000
Matrix Executions, LLC / Simplex Trading, LLC	14.75	1.67	7.56	18.06	31.72	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
WALL STREET ACCESS	11.99	0.05	6.16	24.70	15.73	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Pershing	11.36	40.23	0.27	2.69	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	7.35	16.93	2.88	3.46	5.46	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	4.56	0.00	0.60	6.12	11.36	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2. For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive $0.002 for adding liquidity and pay $0.003 for removing liquidity.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:

https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.
Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive $0.0005/ share for NMS marketable and non-marketable orders.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order tales liquidity. The net amount of this rebate, if positive, is included in our disclosures.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)
Wall Street Access ("the Firm) is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Pershing:
Pershing (PERS)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

Pershing is the firm's clearing agent.

Virtu Americas, LLC:

Virtu Americas, LLC (NITE)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay $0.001 to 0.0025 per share in execution fees for use of their execution algos and receive $0.0023 for adding liquidity to their SMRT order router.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO $0.008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

September 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.86	17.58	20.67	30.80	30.96

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	21.21	0.03	59.55	13.25	15.58	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	19.69	54.77	0.97	31.84	0.19	281.61	5.0000	24.12	5.0000	43.13	4.7784	4.21	5.0000
WALL STREET ACCESS	15.55	0.15	14.41	15.53	25.08	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	11.17	2.94	6.82	13.34	16.59	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	8.29	0.05	7.17	4.99	17.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	7.64	0.00	1.18	11.75	12.21	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Pershing	5.87	28.80	0.07	2.58	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	5.87	13.13	3.49	2.91	6.27	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2 For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive $0.002 for adding liquidity and pay $0.003 for removing liquidity.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive $0.0005/ share for NMS marketable and non-marketable orders.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)
Wall Street Access ("the Firm) is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order tales liquidity. The net amount of this rebate, if positive, is included in our disclosures.

Cowen and Company, LLC:
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay $0.002 per share in execution fees for use of their execution algos.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO $0.0008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

Pershing:
Pershing (PERS)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

Pershing is the firm's clearing agent.

Virtu Americas, LLC:

Virtu Americas, LLC (NITE/VALR)
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay $0.001 to 0.0025 per share in execution fees for use of their execution algos and receive $0.0023 for adding liquidity to their SMRT order router. For orders routed to Virtu Americas agency desk, we pay $0.0015 per share for execution fees.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: https://www.orderroutingdisclosure.com In the search feature, enter Pershing LLC.

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	4.30	14.29	81.42

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE ARCA OPTIONS	20.95	0.00	0.00	98.71	8.41	0.00	0.0000	0.00	0.0000	179.20	20.0000	28.78	25.4690
Nasdaq ISE, LLC	20.06	0.00	0.53	0.00	24.60	0.00	0.0000	-21.56	-44.0000	0.00	0.0000	-34.20	-5.0294
Cboe Exchange, Inc.	18.01	0.00	36.36	0.00	20.20	0.00	0.0000	-33.92	-5.2500	0.00	0.0000	-21.63	-5.3015
Cboe EDGX Exchange, Inc.	17.69	0.00	11.76	0.00	21.11	0.00	0.0000	-2.04	-4.0000	0.00	0.0000	59.10	23.6400
Nasdaq PHLX LLC	13.53	0.00	0.00	0.00	16.62	0.00	0.0000	0.00	0.0000	0.00	0.0000	-27.06	-7.5376
Miami International Securities Exchange, LLC	5.72	0.00	23.53	0.80	5.64	0.00	0.0000	-25.35	-5.0000	-6.70	-5.0000	-152.25	-5.0000

Material Aspects:

NYSE ARCA OPTIONS:
NYSE ARCA Options (ARCA)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Nasdaq ISE, LLC:

NASDAQ ISE (ISE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207

Cboe Exchange, Inc.:
CBOE Exchange, Inc. (CBOE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

Cboe EDGX Exchange, Inc.:
CBOE EDGX Options Exchange (EDGO)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://markets.cboe.com/us/options/membership/fee_schedule/edgx/

Nasdaq PHLX LLC:
NASDAQ PHLX (PHLX)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207

Miami International Securities Exchange, LLC:
MIAX Options (MIAX)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://www.miaxoptions.com/fees