

WALL STREET ACCESS - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
99.67	27.22	21.61	27.05	24.12

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	19.94	0.00	58.52	12.22	16.54	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	19.41	0.95	13.26	27.67	36.48	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	18.42	47.32	0.95	19.53	0.19	208.38	5.0000	17.10	5.0000	26.17	5.0000	0.86	5.0000
WALL STREET ACCESS	9.88	0.10	6.70	17.11	15.65	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	9.54	23.72	3.21	4.24	5.15	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	5.59	0.04	7.30	5.83	10.05	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	4.03	0.00	0.85	5.78	9.46	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	2.58	7.60	0.07	0.86	1.07	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	2.50	0.00	7.19	1.54	2.20	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:

The Nasdaq Stock Market (NSDQ)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: <http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2>. For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

Matrix Executions, LLC / Simplex Trading, LLC:

Matrix Executions LLC/ Simplex Trading LLC (MTRX)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order takes liquidity. The net amount of this rebate, if positive, is included in our disclosures.

StoneX Financial, Inc.:

StoneX Financial, Inc. (INTL)

We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:

<https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive \$0.0005/ share for NMS marketable and non-marketable orders.

WALL STREET ACCESS:

Wall Street Access (WABR/VNDM)

Wall Street Access ("the Firm") is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Virtu Americas, LLC:

Virtu Americas, LLC (NITE)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay \$0.001 to 0.0025 per share in execution fees for use of their execution algos and receive \$0.0023 for adding liquidity to their SMRT order router.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Cowen and Company, LLC:

Cowen and Company, LLC (ATMC)

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We pay \$0.002 per share in execution fees for use of their execution algos.

Goldman, Sachs & Co.:

Goldman, Sachs (GSCO)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO \$0.008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

CITADEL SECURITIES LLC:

Citadel Securities LLC (CDRG)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing’s disclosures and the material aspects by visiting the following link:

<https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

NYSE Arca, Inc.:
NYSE Arca, Inc. (ARCA)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to the Trading Fees PDF available at this link: <https://www.nyse.com/markets/nyse-arca/trading-info>. For this period, the Standard Rates enumerated on the NYSE ARCA Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
99.79	18.14	21.17	29.81	30.88

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	19.69	0.10	56.19	12.09	13.52	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	16.56	57.04	0.93	19.93	0.25	247.13	5.0000	24.62	4.6681	36.25	5.0000	5.27	5.0000
WALL STREET ACCESS	15.94	0.26	14.23	18.53	23.81	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	13.88	1.68	8.98	17.74	20.68	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	10.39	0.10	6.50	8.92	20.54	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	7.34	17.49	4.52	5.74	4.85	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	5.80	0.00	1.67	8.37	9.56	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	3.54	0.00	5.53	3.81	4.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)

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The trading fee schedule that applies to the firm can be found by referring to this link: <http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2> For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)

We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.

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We receive \$0.0005/ share for NMS marketable and non-marketable orders.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)

Wall Street Access ("the Firm") is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order takes liquidity. The net amount of this rebate, if positive, is included in our disclosures.

Cowen and Company, LLC:

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We pay \$0.002 per share in execution fees for use of their execution algos.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE/VALR)

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We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay \$0.001 to 0.0025 per share in execution fees for use of their execution algos and receive \$0.0023 for adding liquidity to their SMRT order router. For orders routed to Virtu Americas agency desk, we pay \$0.0015 per share for execution fees.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO \$0.0008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

NYSE Arca, Inc.:

NYSE Arca, Inc. (ARCA)
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We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to the Trading Fees PDF available at this link: <https://www.nyse.com/markets/nyse-arca/trading-info>. For this period, the Standard Rates enumerated on the NYSE ARCA Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

April 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	5.23	11.40	83.36

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Nasdaq ISE, LLC	22.21	0.00	0.84	0.00	26.59	0.00	0.0000	-12.76	-44.0000	0.00	0.0000	-79.80	-5.0126
Cboe Exchange, Inc.	21.07	0.00	34.82	0.00	23.09	0.00	0.0000	-69.56	-5.2500	0.00	0.0000	-43.05	-5.2628
Cboe EDGX Exchange, Inc.	19.01	0.00	14.21	0.00	21.92	0.00	0.0000	-3.96	-4.0000	0.00	0.0000	142.44	30.0506
Nasdaq PHLX LLC	14.41	0.00	0.00	0.00	17.28	0.00	0.0000	0.00	0.0000	0.00	0.0000	-91.40	-9.0855
NYSE ARCA OPTIONS	14.09	0.00	0.00	98.08	3.48	0.00	0.0000	0.00	0.0000	853.20	23.1157	109.48	16.6890

Material Aspects:

Nasdaq ISE, LLC:
NASDAQ ISE (ISE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange’s overall fee schedule is available on the exchange’s public website at <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Cboe Exchange, Inc.:
CBOE Exchange, Inc. (CBOE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange’s overall fee schedule is available on the exchange’s public website at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

Cboe EDGX Exchange, Inc.:

CBOE EDGX Options Exchange (EDGO)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://markets.cboe.com/us/options/membership/fee_schedule/edgx/

Nasdaq PHLX LLC:
NASDAQ PHLX (PHLX)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at <https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207>

NYSE ARCA OPTIONS:
NYSE ARCA Options (ARCA)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.72	26.39	22.58	26.13	24.90

Venues

Venue - Non-Directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	20.21	0.00	56.24	16.10	13.27	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	16.56	43.16	0.82	18.60	0.49	185.53	5.0000	14.55	5.0000	16.95	5.0000	1.28	5.0000
Matrix Executions, LLC / Simplex Trading, LLC	16.48	1.01	8.73	18.99	37.28	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	9.38	0.05	15.32	10.48	12.71	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
WALL STREET ACCESS	9.00	0.03	6.95	13.59	15.57	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	8.74	21.33	3.21	4.12	5.26	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	4.19	0.00	1.06	8.89	6.52	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	3.17	9.79	0.03	0.93	1.33	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	2.93	0.00	5.98	2.98	3.21	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: <http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2>. For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)

We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:

<https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.
Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive \$0.0005/ share for NMS marketable and non-marketable orders.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order takes liquidity. The net amount of this rebate, if positive, is included in our disclosures.

Cowen and Company, LLC:
Cowen and Company, LLC (ATMC)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay \$0.002 per share in execution fees for use of their execution algos.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)

Wall Street Access ("the Firm") is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay \$0.001 to 0.0025 per share in execution fees for use of their execution algos and receive \$0.0023 for adding liquidity to their SMRT order router.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO \$0.008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

CITADEL SECURITIES LLC:

Citadel Securities LLC (CDRG)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing’s disclosures and the material aspects by visiting the following link:

<https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

NYSE Arca, Inc.:
NYSE Arca, Inc. (ARCA)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to the Trading Fees PDF available at this link: <https://www.nyse.com/markets/nyse-arca/trading-info>. For this period, the Standard Rates enumerated on the NYSE ARCA Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
99.85	18.81	20.96	29.94	30.29

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	19.76	0.15	57.71	11.01	14.32	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	18.07	53.89	0.65	25.74	0.29	272.91	5.0000	9.11	5.0000	45.16	5.0000	1.68	5.0000
WALL STREET ACCESS	14.88	0.46	12.89	15.03	25.06	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	12.95	1.87	9.06	18.81	16.73	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	8.92	0.22	6.86	6.63	18.01	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	7.11	15.68	4.83	4.60	5.85	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	6.40	0.00	1.31	10.08	10.26	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	3.54	0.00	5.25	2.93	5.15	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:
The Nasdaq Stock Market (NSDQ)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: <http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2> For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)

We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive \$0.0005/ share for NMS marketable and non-marketable orders.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)

Wall Street Access ("the Firm") is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order takes liquidity. The net amount of this rebate, if positive, is included in our disclosures.

Cowen and Company, LLC:
Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay \$0.002 per share in execution fees for use of their execution algos.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE/VALR)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay \$0.001 to 0.0025 per share in execution fees for use of their execution algos and receive \$0.0023 for adding liquidity to their SMRT order router. For orders routed to Virtu Americas agency desk, we pay \$0.0015 per share for execution fees.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO \$0.0008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

NYSE Arca, Inc.:

NYSE Arca, Inc. (ARCA)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to the Trading Fees PDF available at this link: <https://www.nyse.com/markets/nyse-arca/trading-info>. For this period, the Standard Rates enumerated on the NYSE ARCA Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

May 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	4.08	14.15	81.77

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Nasdaq ISE, LLC	24.08	0.00	0.00	0.00	29.45	0.00	0.0000	0.00	0.0000	0.00	0.0000	-107.00	-5.0424
Cboe Exchange, Inc.	20.87	0.00	33.69	0.00	23.84	0.00	0.0000	-41.37	-5.4008	0.00	0.0000	-54.18	-5.3222
Cboe EDGX Exchange, Inc.	16.51	0.00	16.18	0.00	19.38	0.00	0.0000	-5.76	-4.0000	0.00	0.0000	190.14	31.7429
NYSE ARCA OPTIONS	16.40	0.00	0.00	97.09	3.25	0.00	0.0000	0.00	0.0000	1,202.40	21.2288	161.42	17.1359
Nasdaq PHLX LLC	14.65	0.00	0.00	0.00	17.91	0.00	0.0000	0.00	0.0000	0.00	0.0000	-93.53	-8.2260

Material Aspects:

Nasdaq ISE, LLC:
NASDAQ ISE (ISE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange’s overall fee schedule is available on the exchange’s public website at <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Cboe Exchange, Inc.:
CBOE Exchange, Inc. (CBOE)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange’s overall fee schedule is available on the exchange’s public website at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

Cboe EDGX Exchange, Inc.:

CBOE EDGX Options Exchange (EDGO)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://markets.cboe.com/us/options/membership/fee_schedule/edgx/

NYSE ARCA OPTIONS:
NYSE ARCA Options (ARCA)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Nasdaq PHLX LLC:
NASDAQ PHLX (PHLX)
Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for theses exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at <https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207>

June 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.84	21.81	22.92	27.87	27.40

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	25.49	0.03	65.68	18.54	19.20	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	14.93	3.22	7.42	18.70	26.70	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	14.88	48.64	0.59	14.55	0.30	226.99	5.0000	16.27	5.0000	17.21	5.0000	0.39	5.0000
Cowen and Company, LLC	11.18	0.00	7.70	10.35	23.82	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
WALL STREET ACCESS	9.83	0.27	7.18	16.91	12.45	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	7.14	21.71	2.07	3.90	3.06	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	5.06	0.00	1.10	9.74	7.65	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	3.46	0.00	7.44	2.75	3.61	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:

The Nasdaq Stock Market (NSDQ)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: <http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2>. For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

Matrix Executions, LLC / Simplex Trading, LLC:

Matrix Executions LLC/ Simplex Trading LLC (MTRX)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order takes liquidity. The net amount of this rebate, if positive, is included in our disclosures.

StoneX Financial, Inc.:

StoneX Financial, Inc. (INTL)

We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link:

<https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive \$0.0005/ share for NMS marketable and non-marketable orders.

Cowen and Company, LLC:

Cowen and Company, LLC (ATMC)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay \$0.002 per share in execution fees for use of their execution algos.

WALL STREET ACCESS:

Wall Street Access (WABR/VNDM)

Wall Street Access ("the Firm") is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Virtu Americas, LLC:

Virtu Americas, LLC (NITE)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay \$0.001 to 0.0025 per share in execution fees for use of their execution algos and receive \$0.0023 for adding liquidity to their SMRT order router.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing's disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Goldman, Sachs & Co.:

Goldman, Sachs (GSCO)

Below is a discussion of the material aspects of Wall Street Access' relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO \$0.008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

NYSE Arca, Inc.:

NYSE Arca, Inc. (ARCA)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to the Trading Fees PDF available at this link: <https://www.nyse.com/markets/nyse-arca/trading-info>. For this period, the Standard Rates enumerated on the NYSE ARCA Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

June 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.88	18.40	22.94	32.60	26.06

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	22.25	0.22	56.93	14.21	17.31	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	19.02	62.55	1.10	21.80	0.58	347.99	5.0000	29.40	5.0000	49.05	5.0000	3.26	5.0000
Matrix Executions, LLC / Simplex Trading, LLC	14.13	3.76	7.56	15.04	26.10	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
WALL STREET ACCESS	13.17	0.48	12.01	12.62	23.84	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cowen and Company, LLC	10.18	0.30	9.86	15.05	11.35	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Goldman, Sachs & Co.	6.57	0.00	1.50	11.69	9.25	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Virtu Americas, LLC	5.23	11.49	3.94	3.11	4.58	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

The Nasdaq Stock Market:

The Nasdaq Stock Market (NSDQ)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

The trading fee schedule that applies to the firm can be found by referring to this link: <http://www.nasdaqtrader.com/Trader.aspx?id=PriceListTrading2> For this period, the Standard Rates enumerated on the NASDAQ Fee Schedule applied to the firm. We receive \$0.002 for adding liquidity and pay \$0.003 for removing liquidity.

StoneX Financial, Inc.:
StoneX Financial, Inc. (INTL)
We route certain orders to our clearing firm Pershing LLC for handling. The orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing’s disclosures and the material aspects by visiting the following link:<https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Wall Street Access also receives a rebate for orders we route directly to StoneX Financial.
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive \$0.0005/ share for NMS marketable and non-marketable orders.

Matrix Executions, LLC / Simplex Trading, LLC:
Matrix Executions LLC/ Simplex Trading LLC (MTRX)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We receive pass through rebates from (MATX) for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. Rebates are given when an order adds liquidity and fees are charged when an order takes liquidity. The net amount of this rebate, if positive, is included in our disclosures.

WALL STREET ACCESS:
Wall Street Access (WABR/VNDM)
Wall Street Access (“the Firm”) is a market maker in S&P 500 and Non-S&P 500 securities. The firm is an execution venue. The firm may route orders to other market centers, exchanges, alternative trading systems, electronic communication networks and other broker dealers as noted in this report. These venues may offer credits for providing liquidity or assess fees for taking liquidity.

Cowen and Company, LLC:
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay \$0.002 per share in execution fees for use of their execution algos.

Goldman, Sachs & Co.:
Goldman, Sachs (GSCO)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We pay GSCO \$0.0008 per share for equity executions. We may receive pass through rebates from GSCO for exchange executions that provide liquidity, the rebate is based on the exchange that the order is ultimately executed on and we pay the same venue execution fees for taking liquidity. The net amount of this fee is included in our disclosures.

Virtu Americas, LLC:
Virtu Americas, LLC (NITE/VALR)
Below is a discussion of the material aspects of Wall Street Access’ relationship with this Specified Venue including any terms, written or oral, of payment for order flow arrangements or profit-sharing relationships that may influence our order routing decisions. We do not receive incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; We do not receive disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee; We do not participate in volume-based tiered payment schedules; and We do not have any agreements regarding the minimum amount of order flow that the broker-dealer would send to a venue.

We do receive a customary rebate from this execution venue for executions that provide liquidity, and we pay the same venue execution fees for taking liquidity. The net amount of this payment, if positive, is included in our disclosures.

We pay \$0.001 to 0.0025 per share in execution fees for use of their execution algos and receive \$0.0023 for adding liquidity to their SMRT order router. For orders routed to Virtu Americas agency desk, we pay \$0.0015 per share for execution fees.

We route certain orders to our clearing firm Pershing LLC for handling. Some of the orders represented in the percentages routed to this destination were sent by our clearing firm and not directly sent by us. For orders routed by Pershing, we do not share in any fees or rebates generated by these routed orders. If additional details are needed about the payments Pershing receives, please review Pershing’s disclosures and the material aspects by visiting the following link: <https://www.orderroutingdisclosure.com> In the search feature, enter Pershing LLC.

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	5.63	12.14	82.23

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Nasdaq ISE, LLC	22.14	0.00	1.42	0.00	26.83	0.00	0.0000	-69.15	-75.1630	0.00	0.0000	-94.74	-5.4795
Cboe Exchange, Inc.	21.27	0.00	27.66	0.00	23.97	0.00	0.0000	-107.15	-5.4090	0.00	0.0000	-44.36	-5.2562
Cboe EDGX Exchange, Inc.	16.84	0.00	14.89	0.00	19.46	0.00	0.0000	-12.88	-4.0000	0.00	0.0000	178.94	31.0121
NYSE ARCA OPTIONS	15.63	0.00	0.00	96.93	4.69	0.00	0.0000	0.00	0.0000	1,503.60	33.8649	111.36	14.0962
Nasdaq PHLX LLC	15.10	0.00	0.00	0.00	18.36	0.00	0.0000	0.00	0.0000	0.00	0.0000	-86.16	-8.0373

Material Aspects:

Nasdaq ISE, LLC:

NASDAQ ISE (ISE)

Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for these exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at <https://listingcenter.nasdaq.com/rulebook/ise/rules/ISE%20Options%207>

Cboe Exchange, Inc.:

CBOE Exchange, Inc. (CBOE)

Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for these exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://cdn.cboe.com/resources/membership/Cboe_FeeSchedule.pdf

Cboe EDGX Exchange, Inc.:

CBOE EDGX Options Exchange (EDGO)

Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for these exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://markets.cboe.com/us/options/membership/fee_schedule/edgx/

NYSE ARCA OPTIONS:

NYSE ARCA Options (ARCA)

Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for these exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE_Arca_Options_Fee_Schedule.pdf

Nasdaq PHLX LLC:

NASDAQ PHLX (PHLX)

Wall Street Access directs all client option orders through executing broker dealers, namely: Dash Financial Technologies Inc. (DFIN) who then route such orders to the venues identified above. Wall Street Access may receive rebates or pays fees for these exchange executions through the executing brokers for the routing of customer orders to this exchange or pay/receive rebates at a rate set by the executing broker. Detailed information about this exchange's overall fee schedule is available on the exchange's public website at <https://listingcenter.nasdaq.com/rulebook/phlx/rules/Phlx%20Options%207>