

tastyworks, Inc. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2021

October 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.60	34.15	44.57	11.68

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	80.05	80.56	80.37	79.90	79.30	341.08	15.0000	1,677.13	14.9031	1,542.85	14.7640	671.99	11.8094
G1X Execution Services, LLC	19.95	19.44	19.63	20.10	20.70	88.01	14.8593	434.09	14.8874	383.46	14.9249	161.24	14.9095

Material Aspects:

CITADEL SECURITIES LLC:

tastyworks routes orders to this destination and receives payment for executed orders. Details of the payment are outlined above.

G1X Execution Services, LLC:

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October 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	10.73	40.06	38.31	10.90

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	80.42	80.25	80.56	80.42	80.08	4,950.88	13.7525	19,593.15	12.7748	12,803.30	12.6063	5,362.28	8.1898
G1X Execution Services, LLC	19.58	19.75	19.44	19.58	19.92	1,020.85	13.3670	4,300.47	13.1173	3,063.86	13.6092	1,217.87	14.5124

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October 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.63	5.77	7.93	85.67

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	39.27	44.74	45.22	46.32	38.18	49,318.25	52.8485	737,510.65	52.7683	423,978.55	48.8560	881,476.82	40.3268
DASH/IMC	34.12	22.19	21.57	21.25	36.24	24,439.94	51.5578	347,755.43	51.4110	188,493.85	47.4166	1,008,428.87	37.6939
Global Execution Brokers, LP	18.70	13.65	13.65	14.12	19.50	11,322.10	51.6613	175,760.27	51.2693	140,867.06	47.7318	455,083.63	37.3708
Matrix Executions, LLC / Simplex Trading, LLC	7.85	19.43	19.56	18.32	6.01	55.50	50.0000	151,947.50	50.0000	329,443.00	50.0000	173,549.00	50.0000

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November 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	8.65	33.66	46.48	11.21

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	80.19	80.75	80.11	80.24	79.83	444.21	14.9999	2,254.36	14.7943	2,416.05	14.6993	963.61	11.7031
G1X Execution Services, LLC	19.81	19.25	19.89	19.76	20.17	110.73	14.8384	573.05	14.8386	591.33	14.8906	236.47	14.9405

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November 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.82	38.43	40.96	10.79

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	80.55	80.58	80.87	80.26	80.46	4,032.40	13.4392	19,240.79	12.5839	13,391.96	13.1987	4,933.27	8.8545
G1X Execution Services, LLC	19.45	19.42	19.13	19.74	19.54	926.71	13.9640	4,396.44	13.6227	3,345.36	13.9372	1,147.82	14.5093

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November 2021

Options

Summary

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100.00	0.66	6.06	9.07	84.21

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	39.04	43.64	44.06	45.24	37.97	54,015.88	53.0952	901,348.37	52.7917	490,042.04	49.7283	992,983.07	42.7957
DASH/IMC	33.85	21.27	21.18	20.78	36.26	21,076.92	51.8421	330,767.02	51.6852	173,565.84	48.0775	926,526.02	40.0727
Global Execution Brokers, LP	18.68	13.51	13.39	13.57	19.65	12,759.72	51.2521	191,016.14	51.1671	161,086.43	48.5427	521,269.16	39.8079

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Matrix Executions, LLC / Simplex Trading, LLC	8.37	21.58	21.38	20.41	6.03	85.50	50.0000	206,728.50	50.0000	359,158.00	50.0000	183,973.00	50.0000

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December 2021

S&P 500 Stocks

Summary

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100.00	8.29	32.11	46.36	13.23

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	76.18	75.90	76.20	76.19	76.29	501.51	15.0001	2,005.21	14.5543	1,858.52	14.7274	972.56	13.3209
G1X Execution Services, LLC	18.90	19.20	18.72	18.76	19.64	133.62	14.9980	487.15	14.0296	464.13	14.7752	242.66	14.9681

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100.00	9.02	37.68	40.81	12.48

Venues

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CITADEL SECURITIES LLC	76.40	76.82	76.42	76.37	76.09	4,429.49	12.7916	17,048.26	13.2522	11,646.27	13.8052	6,209.75	9.3662
G1X Execution Services, LLC	18.74	18.37	18.48	18.90	19.28	1,027.69	13.4297	4,432.54	13.5490	2,934.23	14.2123	1,443.96	14.8506

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100.00	0.81	5.98	9.23	83.99

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CITADEL SECURITIES LLC	39.23	44.15	44.11	45.10	38.19	55,352.08	52.4068	726,362.48	52.7141	420,304.30	48.6368	755,916.56	40.9183
DASH/IMC	34.24	20.16	20.18	19.94	36.95	24,936.85	51.1903	300,787.56	51.3960	208,768.50	47.7185	976,013.83	39.0721
Global Execution Brokers, LP	17.75	12.27	12.23	12.53	18.77	9,791.51	50.8412	163,363.87	50.9466	130,127.15	47.6431	386,097.43	38.0543
Matrix Executions, LLC / Simplex Trading, LLC	8.71	23.42	23.48	22.42	6.02	307.50	50.0000	195,487.00	50.0000	399,766.50	50.0000	168,870.50	50.0000

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