

tastyworks, Inc. - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2021

April 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	16.63	31.54	37.26	14.57

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	71.06	70.57	71.57	71.01	70.64	666.62	14.9999	1,855.92	14.9340	1,588.40	14.6849	836.79	10.9165
G1X Execution Services, LLC	28.94	29.43	28.43	28.99	29.36	249.93	14.9072	698.62	14.8658	721.22	14.8678	309.97	14.9522

Material Aspects:

CITADEL SECURITIES LLC:

tastyworks routes orders to this destination and receives payment for executed orders. Details of the payment are outlined above.

G1X Execution Services, LLC:

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April 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	13.08	37.36	36.94	12.61

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	71.20	71.27	71.35	71.07	71.04	4,386.22	13.8487	15,270.77	13.7079	12,126.82	13.5876	6,639.58	11.0495
G1X Execution Services, LLC	28.80	28.73	28.65	28.93	28.96	1,785.51	13.9384	6,121.80	13.7703	4,928.73	13.9294	2,736.87	14.7121

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April 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.51	5.56	8.25	85.68

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	39.32	41.53	41.63	42.22	38.88	58,038.00	53.9808	586,286.10	53.0890	425,187.78	51.3240	951,840.30	44.3250
DASH/IMC	30.98	19.77	19.45	18.94	32.96	23,105.74	49.8829	222,900.48	49.1667	168,512.04	48.3630	904,656.43	44.3153
Global Execution Brokers, LP	17.94	12.47	12.46	12.97	18.81	56,946.84	49.7670	109,769.47	49.2081	135,192.06	48.5177	432,648.87	44.2177
Matrix Executions, LLC / Simplex Trading, LLC	11.68	26.23	26.45	25.87	9.27	4,965.00	50.0000	69,911.00	50.0000	350,862.50	50.0000	156,564.50	50.0000

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May 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.36	34.86	42.80	12.98

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	76.45	77.12	76.49	76.53	75.56	777.57	15.0001	2,181.05	14.9346	1,896.60	14.7956	1,014.56	11.3622
G1X Execution Services, LLC	23.55	22.88	23.51	23.47	24.44	201.41	14.9301	674.48	14.7812	601.62	14.9065	280.64	14.9295

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May 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.16	39.33	38.39	13.13

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	76.74	76.52	77.22	76.48	76.24	5,129.38	14.3792	17,075.17	13.3053	13,318.93	13.7029	5,932.61	9.8945
G1X Execution Services, LLC	23.26	23.48	22.78	23.52	23.76	1,596.20	14.4812	4,706.92	13.1874	4,092.89	13.9337	1,741.28	14.5226

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May 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.52	5.28	7.86	86.34

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	38.93	41.41	41.11	41.92	38.51	50,449.96	53.7806	500,288.51	52.8703	371,621.28	49.9243	900,407.45	43.5680
DASH/IMC	31.04	19.40	19.18	18.79	32.95	19,905.92	49.7797	193,432.60	49.1538	149,015.57	47.5321	876,161.03	43.5963
Global Execution Brokers, LP	17.88	11.96	12.22	12.60	18.74	7,715.36	49.8054	98,486.48	49.1503	113,068.08	48.0346	452,814.00	43.9528

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Matrix Executions, LLC / Simplex Trading, LLC	12.07	27.23	27.49	26.69	9.71	4,988.00	50.0000	63,291.50	50.0000	352,696.00	50.0000	188,613.00	50.0000

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June 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	7.13	37.53	44.52	10.83

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	80.41	81.00	80.36	80.43	80.07	664.61	15.0001	1,830.76	14.8584	2,042.41	14.8246	840.56	10.0401
G1X Execution Services, LLC	19.59	19.00	19.64	19.57	19.93	171.21	14.9387	395.48	14.9021	527.99	14.8946	196.27	14.9940

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June 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.33	40.02	39.06	11.59

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	80.65	80.58	80.94	80.43	80.38	8,095.02	14.6448	24,851.06	13.8484	17,806.14	13.9871	8,785.57	10.3996
G1X Execution Services, LLC	19.35	19.42	19.06	19.57	19.62	1,917.98	14.5073	5,644.25	13.9682	4,490.88	14.3311	2,202.19	14.7772

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June 2021

Options

Summary

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100.00	0.61	6.68	8.65	84.06

Venues

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CITADEL SECURITIES LLC	37.90	36.45	37.04	39.78	37.78	44,124.13	53.6816	523,556.12	52.8568	397,577.20	51.0870	904,983.22	42.0867
DASH/IMC	30.35	18.64	18.65	17.90	32.65	20,269.67	49.5240	222,337.85	49.1477	168,494.60	48.2100	956,557.59	43.2483
Global Execution Brokers, LP	17.57	11.45	11.29	11.85	18.70	7,782.36	49.7307	95,474.23	48.8244	130,235.09	48.5329	518,749.25	43.8755
Matrix Executions, LLC / Simplex Trading, LLC	14.09	33.46	33.02	30.47	10.76	373.50	50.0000	633,651.50	50.0000	132,625.00	50.0000	326,546.50	50.0000

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