

tastyworks, Inc. - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2021

January 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.08	35.37	45.57	9.97

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	69.94	74.78	74.13	73.80	33.07	599.90	14.9998	1,921.74	14.7948	1,919.65	14.5384	388.23	8.1601
G1X Execution Services, LLC	30.06	25.22	25.87	26.20	66.93	160.21	14.8366	629.61	14.8626	657.40	14.5923	510.98	14.9766

Material Aspects:

CITADEL SECURITIES LLC:

tastyworks routes orders to this destination and receives payment for executed orders. Details of the payment are outlined above.

G1X Execution Services, LLC:

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January 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.64	40.31	41.17	8.88

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	71.43	75.00	75.42	74.21	36.50	7,022.81	12.1820	27,700.48	11.4521	21,867.35	12.2271	4,611.60	5.6220
G1X Execution Services, LLC	28.57	25.00	24.58	25.79	63.50	2,509.36	12.6888	9,295.43	11.6077	8,227.45	13.0165	6,517.04	13.4128

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January 2021

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.63	6.01	9.21	84.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	38.37	41.03	41.33	41.71	37.78	53,545.16	54.3446	507,850.62	53.8398	470,006.91	52.9843	995,482.30	49.5327
DASH/IMC	31.14	20.64	20.16	19.73	33.25	24,100.08	49.6663	221,996.75	49.3621	210,326.34	48.6366	995,159.64	44.7043
Global Execution Brokers, LP	19.47	14.83	14.93	15.25	20.29	64,578.92	49.9481	98,097.22	49.0072	182,436.99	48.9164	508,490.92	44.5774
Matrix Executions, LLC / Simplex Trading, LLC	11.01	23.50	23.58	23.31	8.68	19,846.00	50.0000	162,604.50	50.0000	220,116.50	50.0000	184,802.50	50.0000

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DASH/IMC:
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Matrix Executions, LLC / Simplex Trading, LLC:
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February 2021

S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	8.61	35.38	44.99	11.01

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	70.79	72.14	70.97	70.91	68.68	475.22	15.0001	1,879.75	14.9532	1,798.89	14.6338	517.99	10.1254
G1X Execution Services, LLC	29.21	27.86	29.03	29.09	31.32	139.03	13.8308	714.08	14.3043	642.37	14.2729	213.53	14.5452

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February 2021

Non-S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.62	40.85	40.07	9.46

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	71.07	71.34	71.63	70.79	69.55	9,062.43	14.3467	34,765.22	13.8829	24,399.18	13.8115	7,429.24	8.3800

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
G1X Execution Services, LLC	28.93	28.66	28.37	29.21	30.45	3,543.17	13.3050	12,612.73	13.1508	9,823.93	13.3942	2,919.40	13.5845

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February 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.51	5.60	8.78	85.11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	39.52	41.31	40.95	41.60	39.20	47,013.89	54.2829	535,134.96	53.8285	524,912.39	53.1385	1,180,084.70	50.1667
DASH/IMC	31.14	19.71	19.53	19.01	33.22	19,815.55	49.8479	227,675.13	49.4489	218,251.33	48.6667	1,083,901.22	45.0514
Global Execution Brokers, LP	18.84	14.20	14.19	14.55	19.61	64,051.34	49.9262	102,392.36	49.0712	183,481.22	48.8875	532,879.57	44.8005
Matrix Executions, LLC / Simplex Trading, LLC	10.49	24.79	25.33	24.84	7.95	14,034.50	50.0000	173,895.50	50.0000	249,594.00	50.0000	169,033.00	50.0000

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March 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	13.89	30.79	40.40	14.92

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	71.75	71.32	72.08	71.81	71.33	910.02	15.0000	2,070.16	14.9588	2,003.05	14.8024	879.83	11.2037
G1X Execution Services, LLC	28.25	28.68	27.92	28.19	28.67	315.46	14.8752	860.96	14.8933	852.53	14.8325	351.16	14.9608

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March 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	11.48	37.78	39.04	11.69

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	71.60	71.66	71.74	71.46	71.53	6,613.44	14.5388	23,141.19	14.1192	18,898.22	14.0545	7,872.11	9.6793
G1X Execution Services, LLC	28.40	28.34	28.26	28.54	28.47	2,782.50	14.5018	8,533.16	13.9075	7,919.62	14.4626	3,085.90	14.7471

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Options
Summary

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100.00	0.62	5.65	8.90	84.83

Venues

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CITADEL SECURITIES LLC	39.64	40.87	41.04	41.55	39.34	58,195.10	54.1955	596,309.03	53.9331	522,900.26	53.1611	1,099,367.47	49.3499
DASH/IMC	31.09	19.69	19.43	19.07	33.21	28,357.31	49.7069	234,800.44	49.4508	230,164.29	48.8545	1,037,675.82	44.5036
Global Execution Brokers, LP	18.75	14.20	14.16	14.51	19.53	71,644.59	49.7266	121,139.94	49.3743	182,387.96	48.9526	505,691.33	44.3057
Matrix Executions, LLC / Simplex Trading, LLC	10.51	25.24	25.37	24.87	7.91	9,762.50	50.0000	167,052.50	50.0000	286,356.00	50.0000	161,953.00	50.0000

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