

tastyworks, Inc. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2020

October 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	7.87	35.13	45.39	11.61

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services	56.65	59.97	61.18	60.01	27.54	210.86	15.0000	1,468.51	14.8203	1,543.88	14.6227	295.66	7.6125
G1X Execution Services, LLC	42.97	39.51	38.47	39.61	72.01	138.39	14.7158	994.83	14.8374	966.24	14.8764	746.08	14.9542

Material Aspects:

Citadel Execution Services:

tastyworks routes orders to this destination and receives payment for executed orders. Details of the payment are outlined above.

G1X Execution Services, LLC:

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October 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	9.28	37.30	39.25	14.17

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services	57.57	60.69	61.14	60.02	39.34	2,822.79	13.4688	9,662.97	9.6945	7,678.32	12.2767	4,115.83	4.6534
G1X Execution Services, LLC	42.13	38.94	38.57	39.69	60.34	1,719.90	13.3715	6,373.08	13.1734	5,198.69	13.4967	4,816.19	14.4511

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October 2020

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.66	5.80	8.23	85.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services	40.59	51.04	51.53	51.14	38.74	52,821.95	54.3576	476,053.14	53.2206	438,395.82	51.3899	785,471.99	48.4545
Dash Financial Technologies LLC	30.22	27.49	27.07	26.73	30.80	25,344.11	49.7675	221,473.95	48.5314	207,538.72	46.0619	632,055.67	41.3609
Global Execution Brokers, LP	29.18	21.46	21.39	22.13	30.45	109,250.89	49.9675	110,876.81	48.1941	184,867.05	47.0910	778,745.82	44.0857

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November 2020

S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	8.33	36.12	45.01	10.54

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services	52.60	57.07	56.58	54.93	25.52	236.14	15.0002	1,523.61	14.7327	1,341.74	14.5453	241.16	7.2300
G1X Execution Services, LLC	45.71	41.14	41.95	43.39	72.09	152.73	14.8266	1,108.01	14.8221	1,066.28	14.7422	510.52	14.9534

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Non-S&P 500 Stocks Summary

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100.00	9.98	37.52	40.57	11.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services	53.00	55.96	56.22	55.08	33.33	3,130.03	13.0943	10,746.30	11.7939	9,136.96	12.9113	3,355.54	6.4167

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G1X Execution Services, LLC	45.63	42.63	42.54	43.59	64.78	2,603.20	13.1602	8,143.71	12.7879	7,068.16	13.3899	5,359.35	14.6188

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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.62	5.82	8.63	84.92

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services	39.13	51.46	51.55	51.75	36.91	52,366.33	54.1125	441,814.61	52.6583	421,963.53	51.8318	751,313.02	49.7212
Dash Financial Technologies LLC	29.70	26.67	26.49	26.27	30.29	24,753.46	49.7667	195,391.90	48.3105	196,852.53	47.5269	644,961.35	44.5944
Global Execution Brokers, LP	29.17	20.26	20.28	20.42	30.73	67,874.24	49.9512	89,381.04	47.4843	167,831.73	47.5798	622,717.51	44.7987

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December 2020

S&P 500 Stocks

Summary

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100.00	7.77	34.47	47.78	9.98

Venues

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Citadel Execution Services	62.84	68.27	66.11	65.49	34.61	304.08	15.0003	1,602.53	14.7863	1,493.78	14.5414	324.21	8.7399
G1X Execution Services, LLC	37.16	31.73	33.89	34.51	65.39	138.22	14.9458	806.52	14.8594	790.71	14.7654	617.58	14.9602

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Non-S&P 500 Stocks

Summary

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100.00	9.62	38.03	41.57	10.79

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Citadel Execution Services	64.06	66.91	67.52	66.43	40.20	4,560.42	12.5475	17,357.58	12.0865	14,195.82	13.0741	3,971.65	7.4415
G1X Execution Services, LLC	35.94	33.09	32.48	33.57	59.80	2,219.63	12.1469	7,950.76	11.7423	7,197.93	13.3031	5,462.73	14.0021

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December 2020**Options
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100.00	0.56	5.86	8.39	85.19

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Citadel Execution Services	37.93	41.89	41.94	42.33	37.19	42,242.96	54.2948	480,354.83	53.4598	387,855.79	52.4446	897,507.64	49.5071
Dash Financial Technologies LLC	30.69	21.68	21.54	21.11	32.32	21,090.90	49.8756	213,023.20	49.1048	184,101.12	47.9909	834,280.76	44.6509
Global Execution Brokers, LP	20.45	16.38	16.29	16.65	21.14	65,957.13	49.9550	95,655.67	48.6780	162,421.02	48.2897	489,179.05	44.8741
Matrix Executions, LLC	10.92	20.04	20.23	19.92	9.34	13,506.50	50.0000	58,803.00	50.0000	219,426.00	50.0000	174,855.50	50.0000

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