Open to the Public Investing, Inc. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2023

October 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	87.75	1.30	1.17	9.78

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Intelligentcross	61.50	69.62	0.45	0.33	4.09	-71.12	-3.5865	-0.00	-3.3333	-0.01	-3.0769	-0.61	-2.1625
Nasdaq OMX	13.48	9.69	17.84	45.87	43.06	-83.97	-21.3699	-7.28	-22.7699	0.00	0.0000	0.00	0.0000
DOT	9.51	7.43	0.07	5.09	30.01	-25.34	-13.0711	-0.00	-29.5000	0.00	0.0000	0.00	0.0000
Investors Exchange (IEXG)	3.61	4.09	0.00	0.00	0.23	-16.62	-9.8343	0.00	0.0000	0.00	0.0000	-0.07	-5.8333
UBS Securities, LLC ATS	2.42	2.75	0.00	0.00	0.11	-9.28	-10.0000	0.00	0.0000	0.00	0.0000	-0.09	-10.0000

Material Aspects:

Intelligentcross:
All orders are routed by Instinet, LLC ("Instinet") to the third-party market center providing the most favorable quote for an order. Apex Clearing Corporation ("Apex") is the clearing firm for Open to the Public Investing, Inc. ("OTTP"). Apex contracts Instinet to provide order routing. OTTP pays all fees and receives no rebates from Instinet associated with the routing of orders. OTTP does not have a direct relationship with, or access to, venues through Instinet or Apex memberships.

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October 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	80.94	2.78	2.77	13.51

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Intelligentcross	34.47	41.83	0.27	0.15	4.47	-1,596.60	-3.4620	-0.78	-2.4627	-0.01	-0.0148	-35.52	-4.1563
Nasdaq OMX	19.76	15.94	21.34	55.01	35.08	-4,120.30	-15.7167	-176.15	-2.5000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	6.66	4.38	46.37	25.73	8.27	-1,296.80	-10.6822	-851.92	-10.5231	-578.21	-9.0360	-29.55	-2.4043
CITADEL SECURITIES LLC	6.01	7.18	0.07	0.02	1.44	-9,966.24	-10.0000	-5.92	-10.0000	-1.00	-10.0000	-507.85	-10.0000
DOT	5.71	4.11	0.68	2.49	16.95	-433.31	-17.2688	-17.43	-19.2083	-10.18	-6.6324	0.00	0.0000
Investors Exchange (IEXG)	4.37	5.30	0.18	0.05	0.57	-388.24	-5.7121	-1.17	-7.5867	-0.20	-10.0000	-15.52	-8.5918
Cboe BZX Exchange, Inc.	4.20	2.59	3.56	2.68	14.30	-1,415.27	-11.4009	-49.41	-10.1364	-41.24	-8.4782	0.00	0.0000
Cboe EDGX Exchange, Inc.	3.73	2.35	13.14	6.73	9.47	-1,412.30	-13.7810	-227.90	-10.0032	-107.93	-10.5024	-1,876.63	-14.8378
UBS Securities, LLC ATS	3.05	3.72	0.03	0.00	0.28	-562.24	-10.0000	-0.16	-10.0000	0.00	0.0000	-6.02	-10.0000
Virtu Americas, LLC	1.64	1.97	0.03	0.00	0.29	-643.89	-8.0000	-1.31	-8.0000	0.00	0.0000	-25.69	-8.0000
JLEQ	1.37	1.66	0.02	0.00	0.18	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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NYSE Arca, Inc.:
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CITADEL SECURITIES LLC:
Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX received payment from Citadel Securities, LLC. (Citadel) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Citadel averaged less than $0.0011 per share for the period 1Q2021. Prior to rejecting payment for order flow for equity orders beginning on February 17th, 2021, Open to the Public Investing Inc received rebate against execution fees equivalent to 75% of rebates received by APEX.

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Cboe BZX Exchange, Inc.:
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Virtu Americas, LLC:
Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX receives payment from Virtu Financial, Inc. (Virtu) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Virtu averaged less than $0.0009 per share for the period 1Q2021.Prior to rejecting payment for order flow beginning on February 17th, 2021, Open to the Public Investing Inc received rebate against execution fees equivalent to 75% of rebates received by APEX.

October 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

November 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	90.28	1.22	1.30	7.21

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Intelligentcross	64.57	71.19	0.29	0.18	4.03	-59.41	-3.2529	-0.01	-4.0000	-0.00	-2.6667	-0.72	-2.2825
Nasdaq OMX	13.20	9.98	17.09	44.72	47.25	-76.53	-21.0505	-1.79	-16.1115	0.00	0.0000	0.00	0.0000
DOT	10.30	9.07	0.00	5.33	28.29	-29.06	-13.2445	0.00	0.0000	0.00	0.0000	0.00	0.0000
Investors Exchange (IEXG)	2.65	2.92	0.10	0.09	0.15	-9.81	-9.8544	-0.00	-10.0000	-0.02	-10.0000	-0.01	-10.0000

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Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	82.51	2.62	2.96	11.91

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Intelligentcross	37.10	44.36	0.29	0.16	4.13	-1,726.69	-4.2041	-0.31	-3.1227	-0.27	-5.5050	-25.89	-3.9947
Nasdaq OMX	17.67	13.54	21.55	54.74	36.22	-4,409.87	-17.5947	-16.40	-0.4853	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	7.08	8.34	0.10	0.03	1.63	0.00	0.0000	0.00	0.0000	0.00	0.0000	-21.00	-0.5897
NYSE Arca, Inc.	6.66	4.41	49.06	29.15	7.31	-1,738.18	-14.0917	-631.83	-10.2551	-401.48	-11.1808	-22.68	-2.3987
DOT	5.67	4.34	0.53	2.45	16.84	-551.98	-19.5575	-8.64	-26.1296	0.00	0.0000	0.00	0.0000
Investors Exchange (IEXG)	3.79	4.53	0.19	0.04	0.41	-369.94	-6.5785	-0.74	-8.8095	-0.21	-10.0000	-12.01	-8.7549
Cboe BZX Exchange, Inc.	3.69	2.12	3.05	2.11	15.14	-1,260.50	-14.7427	-36.76	-8.7362	-23.21	-12.2156	0.00	0.0000
Cboe EDGX Exchange, Inc.	3.12	1.90	12.05	6.11	8.84	-1,596.48	-18.0808	-147.99	-10.8152	-65.40	-17.2979	-1,530.00	-10.4558
UBS Securities, LLC ATS	2.49	2.99	0.06	0.00	0.21	-454.02	-10.0000	-0.45	-10.0000	0.00	0.0000	-4.06	-10.0000
JLEQ	2.44	2.91	0.05	0.00	0.33	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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Hudson River Trading (Hrt)	2.21	2.61	0.07	0.02	0.42	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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Orders were routed to this venue by Instinet, on behalf of Apex. OTTP does not have a direct relationship with, or access to, this venue. OTTP pays all execution fees and does not receive payment for orders routed through this venue, however, Apex may receive rebates from the venue for some order types.

Investors Exchange (IEXG):
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Cboe BZX Exchange, Inc.:
All orders are routed by Instinet, LLC ("Instinet") to the third-party market center providing the most favorable quote for an order. Apex Clearing Corporation ("Apex") is the clearing firm for Open to the Public Investing, Inc. ("OTTP"). Apex contracts Instinet to provide order routing. OTTP pays all fees and receives no rebates from Instinet associated with the routing of orders. OTTP does not have a direct relationship with, or access to, venues through Instinet or Apex memberships.

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Cboe EDGX Exchange, Inc.:
All orders are routed by Instinet, LLC ("Instinet") to the third-party market center providing the most favorable quote for an order. Apex Clearing Corporation ("Apex") is the clearing firm for Open to the Public Investing, Inc. ("OTTP"). Apex contracts Instinet to provide order routing. OTTP pays all fees and receives no rebates from Instinet associated with the routing of orders. OTTP does not have a direct relationship with, or access to, venues through Instinet or Apex memberships.

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UBS Securities, LLC ATS:
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November 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

December 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	92.47	1.41	1.40	4.71

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Intelligentcross	64.50	69.43	0.43	0.00	6.20	-65.00	-3.5698	-0.06	-1.1568	0.00	0.0000	-3.73	-3.6269
Nasdaq OMX	12.64	10.39	21.51	42.34	45.22	-68.18	-20.0825	-0.25	-0.6117	0.00	0.0000	0.00	0.0000
DOT	9.80	9.23	0.17	6.28	24.89	-24.46	-11.9887	-0.30	-29.8020	0.00	0.0000	0.00	0.0000
Investors Exchange (IEXG)	2.92	3.14	0.09	0.09	0.28	-10.97	-9.8200	-0.10	-10.0000	-0.00	-10.0000	-0.35	-10.0000
UBS Securities, LLC ATS	1.78	1.91	0.17	0.00	0.26	-6.38	-10.0000	-0.10	-10.0000	0.00	0.0000	-0.63	-10.0000

Material Aspects:

Intelligentcross:

All orders are routed by Instinet, LLC ("Instinet") to the third-party market center providing the most favorable quote for an order. Apex Clearing Corporation ("Apex") is the clearing firm for Open to the Public Investing, Inc. ("OTTP"). Apex contracts Instinet to provide order routing. OTTP pays all fees and receives no rebates from Instinet associated with the routing of orders. OTTP does not have a direct relationship with, or access to, venues through Instinet or Apex memberships.

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Nasdaq OMX:
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December 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	86.28	2.84	3.04	7.84

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Intelligentcross	37.05	42.44	0.36	0.18	5.29	-2,961.07	-5.5076	-19.74	-7.5676	-0.84	-6.1066	-53.01	-5.6116
Nasdaq OMX	16.92	13.65	20.35	55.78	36.57	-6,771.11	-14.7860	-149.45	-2.1478	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	7.76	8.78	0.14	0.10	2.21	-12,659.46	-8.3854	-14.05	-2.8189	-11.57	-8.9970	-261.96	-8.5966
NYSE Arca, Inc.	6.42	4.26	51.55	25.65	6.42	-1,492.00	-9.5328	-965.51	-6.8035	-419.25	-7.3900	-52.49	-3.8615
DOT	4.74	4.27	0.48	2.41	12.37	-720.57	-17.3871	-9.60	-27.3579	-1.67	-1.3507	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Investors Exchange (IEXG)	4.08	4.66	0.31	0.08	0.57	-409.19	-3.4592	-2.12	-1.0748	-0.30	-4.2089	-7.96	-7.3188
Cboe BZX Exchange, Inc.	3.72	2.45	3.04	4.18	17.77	-1,517.67	-4.7487	-54.89	-7.5376	-15.34	-1.2587	0.00	0.0000
UBS Securities, LLC ATS	3.23	3.71	0.13	0.00	0.28	-1,404.02	-10.0000	-6.72	-10.0000	0.00	0.0000	-5.87	-10.0000
Cboe EDGX Exchange, Inc.	2.97	1.95	10.59	5.85	10.31	-1,462.74	-10.2141	-252.73	-7.3989	-104.05	-14.5483	-1,045.76	-9.5299
Hudson River Trading (Hrt)	2.59	2.94	0.05	0.08	0.66	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
JLEQ	2.20	2.51	0.03	0.00	0.40	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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CITADEL SECURITIES LLC:
Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX received payment from Citadel Securities, LLC. (Citadel) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Citadel averaged less than $0.0011 per share for the period 1Q2021. Prior to rejecting payment for order flow for equity orders beginning on February 17th, 2021, Open to the Public Investing Inc received rebate against execution fees equivalent to 75% of rebates received by APEX.

NYSE Arca, Inc.:
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December 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	56.06	0.00	0.00	43.94

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Wolverine Execution Services, LLC	36.36	32.43	0.00	0.00	41.38	4.60	20.0000	0.00	0.0000	0.00	0.0000	1.88	23.5000
Dash Financial Technologies	28.79	35.14	0.00	0.00	20.69	3.56	23.7333	0.00	0.0000	0.00	0.0000	0.88	29.3333
CITADEL SECURITIES LLC	21.21	21.62	0.00	0.00	20.69	2.00	20.0000	0.00	0.0000	0.00	0.0000	1.08	27.0000
Instinet, LLC	13.64	10.81	0.00	0.00	17.24	2.40	20.0000	0.00	0.0000	0.00	0.0000	1.28	25.6000

Material Aspects:

Wolverine Execution Services, LLC:
Open to the Public Investing, Inc. ("OTTP"), through Apex, receives payment from Wolverine Execution Services, LLC for directing order flow for options orders. OTTP is typically compensated on a per-contract basis, receiving 80% of the payment for order flow (PFOF) from Apex, based on Apex's earnings from OTTP customer orders. This report reflects the net amount OTTP receives from Apex.

Dash Financial Technologies:
Open to the Public Investing, Inc. ("OTTP"), through Apex, receives payment from Dash Financial Technologies for directing order flow for options orders. OTTP is typically compensated on a per-contract basis, receiving 80% of the payment for order flow (PFOF) from Apex, based on Apex's earnings from OTTP customer orders. This report reflects the net amount OTTP receives from Apex.

CITADEL SECURITIES LLC:
Open to the Public Investing, Inc. ("OTTP"), through Apex, receives payment from Citadel Securities, LLC for directing order flow for options orders. OTTP is typically compensated on a per-contract basis, receiving 80% of the payment for order flow (PFOF) from Apex, based on Apex's earnings from OTTP customer orders. This report reflects the net amount OTTP receives from Apex.

Instinet, LLC:

Open to the Public Investing, Inc. (“OTTP”), through Apex, receives payment from Instinet, LLC for directing order flow for options orders. OTTP is typically compensated on a per-contract basis, receiving 80% of the payment for order flow (PFOF) from Apex, based on Apex’s earnings from OTTP customer orders. This report reflects the net amount OTTP receives from Apex.