

Open to the Public Investing, Inc. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2020

October 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.99	0.34	4.71	0.97

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	42.74	43.06	40.23	34.40	53.22	126.77	17.4371	1.27	17.4597	9.28	21.7170	0.86	23.2500
Jane Street Capital	29.87	31.00	25.78	12.46	6.17	87.21	15.2766	0.82	17.1559	6.26	22.5000	0.15	24.0000
Virtu Americas, LLC	16.72	15.22	20.70	47.65	10.70	38.82	8.4449	0.65	7.7736	4.54	18.3443	0.24	15.0000
G1 Execution Services, LLC	5.65	5.93	8.98	0.00	4.66	27.38	11.1525	0.10	12.3750	0.00	0.0000	0.06	15.0000

Material Aspects:

CITADEL SECURITIES LLC:

Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX received payment from Citadel Securities, LLC. (Citadel) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Citadel averaged less than \$0.0012 per share for the period 4Q2020

Jane Street Capital:

Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX receives payment from Jane Street Capital, LLC (Jane) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Jane averaged less than \$0.0020 per share for the period 4Q2020.

Virtu Americas, LLC:

Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX receives payment from Virtu Financial, Inc. (Virtu) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Virtu averaged less than \$0.0008 per share for the period 4Q2020.

G1 Execution Services, LLC:

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October 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	80.27	1.42	15.78	2.53

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	38.30	38.65	39.56	35.56	43.54	925.13	5.1627	27.59	5.1490	81.00	11.7553	49.25	17.8021
Virtu Americas, LLC	23.84	19.88	36.06	44.40	14.27	383.46	3.9183	18.84	6.0530	56.68	5.9913	15.05	11.6622
Jane Street Capital	21.73	24.37	11.75	11.72	6.22	381.05	9.5047	10.34	8.2199	100.35	20.7366	13.14	21.0433
G1 Execution Services, LLC	10.37	12.41	6.96	0.19	10.84	404.74	10.5334	4.47	10.5095	0.00	0.0000	2.57	14.5424

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October 2020

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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November 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	94.36	0.32	4.68	0.65

Venues

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CITADEL SECURITIES LLC	42.51	42.68	37.76	37.30	57.58	65.96	17.1913	0.55	13.8816	2.60	21.0814	0.49	23.2500
Jane Street Capital	28.43	29.35	24.07	13.53	3.89	48.10	14.0517	0.28	10.6392	4.42	22.5000	0.09	24.0000
Virtu Americas, LLC	17.49	16.33	21.58	41.48	11.68	21.00	8.3390	0.20	8.2253	0.74	16.0924	0.04	15.0000
G1 Execution Services, LLC	6.16	6.45	10.79	0.00	6.35	14.29	11.0914	0.19	10.8000	0.00	0.0000	0.00	15.0000
Canaccord Genuity Securities	5.02	5.12	5.39	3.65	0.00	9.26	15.0146	0.07	16.3636	0.00	0.5625	0.00	0.0000

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November 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	82.58	1.27	14.19	1.96

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	39.60	39.83	40.41	36.69	50.43	566.94	5.1483	15.71	4.5213	36.44	7.0352	31.18	16.8377
Jane Street Capital	22.29	24.18	12.44	14.22	7.39	234.39	9.8850	5.02	11.8916	79.36	20.8335	3.96	18.0602
Virtu Americas, LLC	21.58	18.71	34.17	38.57	11.40	210.29	3.5659	8.83	4.0106	33.91	12.0678	5.68	3.9915
G1 Execution Services, LLC	10.45	12.30	7.02	0.40	7.51	194.13	10.5652	2.74	10.5537	0.00	0.0000	0.95	14.9062

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November 2020

Options

Summary

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-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

December 2020

S&P 500 Stocks

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100.00	95.52	0.20	3.60	0.67

Venues

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CITADEL SECURITIES LLC	40.69	40.83	33.89	33.88	59.08	128.95	16.5391	0.40	15.2292	2.39	21.5551	0.47	23.2500
Jane Street Capital	29.20	29.98	24.44	13.46	4.24	100.42	14.7502	1.00	12.3722	18.54	22.4997	0.04	24.0000
Virtu Americas, LLC	19.02	18.08	21.11	45.29	10.36	49.77	8.2454	1.04	7.5162	1.23	18.5855	0.37	15.0000
G1 Execution Services, LLC	5.78	6.00	15.56	0.00	2.89	40.74	10.9528	1.45	10.7023	0.00	0.0000	0.00	0.0000

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December 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	84.37	1.23	12.29	2.11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	34.17	34.32	32.09	29.99	53.94	1,349.76	4.3907	38.80	6.0254	132.69	9.0090	56.60	18.0677
Virtu Americas, LLC	26.16	23.38	44.74	46.00	10.99	821.06	4.4977	31.74	5.7052	95.17	14.2735	6.47	10.6409
Jane Street Capital	22.66	24.48	9.65	14.41	5.88	687.52	9.2893	11.68	6.2614	245.05	20.3531	19.57	15.3887
G1 Execution Services, LLC	11.20	12.97	8.03	0.44	5.14	777.27	10.5372	13.46	10.5130	0.00	0.0000	1.95	13.9607

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