

Open to the Public Investing, Inc. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2020

October 2020

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 93.99 | 0.34 | 4.71 | 0.97 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 42.74 | 43.06 | 40.23 | 34.40 | 53.22 | 126.77 | 17.4371 | 1.27 | 17.4597 | 9.28 | 21.7170 | 0.86 | 23.2500 |
| Jane Street Capital | 29.87 | 31.00 | 25.78 | 12.46 | 6.17 | 87.21 | 15.2766 | 0.82 | 17.1559 | 6.26 | 22.5000 | 0.15 | 24.0000 |
| Virtu Americas, LLC | 16.72 | 15.22 | 20.70 | 47.65 | 10.70 | 38.82 | 8.4449 | 0.65 | 7.7736 | 4.54 | 18.3443 | 0.24 | 15.0000 |
| G1 Execution Services, LLC | 5.65 | 5.93 | 8.98 | 0.00 | 4.66 | 27.38 | 11.1525 | 0.10 | 12.3750 | 0.00 | 0.0000 | 0.06 | 15.0000 |

Material Aspects:

CITADEL SECURITIES LLC:

Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX received payment from Citadel Securities, LLC. (Citadel) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Citadel averaged less than \$0.0012 per share for the period 4Q2020

Jane Street Capital:

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Virtu Americas, LLC:

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G1 Execution Services, LLC:

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October 2020

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 80.27 | 1.42 | 15.78 | 2.53 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 38.30 | 38.65 | 39.56 | 35.56 | 43.54 | 925.13 | 5.1627 | 27.59 | 5.1490 | 81.00 | 11.7553 | 49.25 | 17.8021 |
| Virtu Americas, LLC | 23.84 | 19.88 | 36.06 | 44.40 | 14.27 | 383.46 | 3.9183 | 18.84 | 6.0530 | 56.68 | 5.9913 | 15.05 | 11.6622 |
| Jane Street Capital | 21.73 | 24.37 | 11.75 | 11.72 | 6.22 | 381.05 | 9.5047 | 10.34 | 8.2199 | 100.35 | 20.7366 | 13.14 | 21.0433 |
| G1 Execution Services, LLC | 10.37 | 12.41 | 6.96 | 0.19 | 10.84 | 404.74 | 10.5334 | 4.47 | 10.5095 | 0.00 | 0.0000 | 2.57 | 14.5424 |

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October 2020

Options

Summary

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|--|---|---|---|--|
| - | - | - | - | - |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| | | | | | | | | | | | | | |

November 2020

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 94.36 | 0.32 | 4.68 | 0.65 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 42.51 | 42.68 | 37.76 | 37.30 | 57.58 | 65.96 | 17.1913 | 0.55 | 13.8816 | 2.60 | 21.0814 | 0.49 | 23.2500 |
| Jane Street Capital | 28.43 | 29.35 | 24.07 | 13.53 | 3.89 | 48.10 | 14.0517 | 0.28 | 10.6392 | 4.42 | 22.5000 | 0.09 | 24.0000 |
| Virtu Americas, LLC | 17.49 | 16.33 | 21.58 | 41.48 | 11.68 | 21.00 | 8.3390 | 0.20 | 8.2253 | 0.74 | 16.0924 | 0.04 | 15.0000 |
| G1 Execution Services, LLC | 6.16 | 6.45 | 10.79 | 0.00 | 6.35 | 14.29 | 11.0914 | 0.19 | 10.8000 | 0.00 | 0.0000 | 0.00 | 15.0000 |
| Canaccord Genuity Securities | 5.02 | 5.12 | 5.39 | 3.65 | 0.00 | 9.26 | 15.0146 | 0.07 | 16.3636 | 0.00 | 0.5625 | 0.00 | 0.0000 |

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|--|---|---|---|--|
| 100.00 | 82.58 | 1.27 | 14.19 | 1.96 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 39.60 | 39.83 | 40.41 | 36.69 | 50.43 | 566.94 | 5.1483 | 15.71 | 4.5213 | 36.44 | 7.0352 | 31.18 | 16.8377 |
| Jane Street Capital | 22.29 | 24.18 | 12.44 | 14.22 | 7.39 | 234.39 | 9.8850 | 5.02 | 11.8916 | 79.36 | 20.8335 | 3.96 | 18.0602 |
| Virtu Americas, LLC | 21.58 | 18.71 | 34.17 | 38.57 | 11.40 | 210.29 | 3.5659 | 8.83 | 4.0106 | 33.91 | 12.0678 | 5.68 | 3.9915 |
| G1 Execution Services, LLC | 10.45 | 12.30 | 7.02 | 0.40 | 7.51 | 194.13 | 10.5652 | 2.74 | 10.5537 | 0.00 | 0.0000 | 0.95 | 14.9062 |

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November 2020

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|--|---|---|---|--|
| - | - | - | - | - |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| | | | | | | | | | | | | | |

December 2020

S&P 500 Stocks

Summary

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|--|---|---|---|--|
| 100.00 | 95.52 | 0.20 | 3.60 | 0.67 |

Venues

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 40.69 | 40.83 | 33.89 | 33.88 | 59.08 | 128.95 | 16.5391 | 0.40 | 15.2292 | 2.39 | 21.5551 | 0.47 | 23.2500 |
| Jane Street Capital | 29.20 | 29.98 | 24.44 | 13.46 | 4.24 | 100.42 | 14.7502 | 1.00 | 12.3722 | 18.54 | 22.4997 | 0.04 | 24.0000 |
| Virtu Americas, LLC | 19.02 | 18.08 | 21.11 | 45.29 | 10.36 | 49.77 | 8.2454 | 1.04 | 7.5162 | 1.23 | 18.5855 | 0.37 | 15.0000 |
| G1 Execution Services, LLC | 5.78 | 6.00 | 15.56 | 0.00 | 2.89 | 40.74 | 10.9528 | 1.45 | 10.7023 | 0.00 | 0.0000 | 0.00 | 0.0000 |

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| 100.00 | 84.37 | 1.23 | 12.29 | 2.11 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CITADEL SECURITIES LLC | 34.17 | 34.32 | 32.09 | 29.99 | 53.94 | 1,349.76 | 4.3907 | 38.80 | 6.0254 | 132.69 | 9.0090 | 56.60 | 18.0677 |
| Virtu Americas, LLC | 26.16 | 23.38 | 44.74 | 46.00 | 10.99 | 821.06 | 4.4977 | 31.74 | 5.7052 | 95.17 | 14.2735 | 6.47 | 10.6409 |
| Jane Street Capital | 22.66 | 24.48 | 9.65 | 14.41 | 5.88 | 687.52 | 9.2893 | 11.68 | 6.2614 | 245.05 | 20.3531 | 19.57 | 15.3887 |
| G1 Execution Services, LLC | 11.20 | 12.97 | 8.03 | 0.44 | 5.14 | 777.27 | 10.5372 | 13.46 | 10.5130 | 0.00 | 0.0000 | 1.95 | 13.9607 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|