Open to the Public Investing, Inc. - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2020

January 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.08	0.09	1.81	0.02

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
G1 Execution Services, LLC	39.90	39.90	45.00	39.90	0.00	18.79	16.0000	0.04	16.0000	0.05	25.0000	0.00	0.0000
Virtu Americas, LLC	31.68	31.67	20.00	32.51	50.00	14.08	16.0000	0.01	9.0000	0.43	30.0000	0.00	15.0000
Jane Street Capital	12.14	12.31	20.00	2.71	0.00	5.06	16.0000	0.00	16.0000	0.02	30.0000	0.00	0.0000
Canaccord Capital Corporation	8.88	8.97	5.00	4.68	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	7.38	7.15	10.00	19.21	50.00	3.90	16.0000	0.00	17.5000	60.99	32.0000	0.01	21.0000

Material Aspects:

G1 Execution Services, LLC:
Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX receives payment from G1 Execution Services, LLC (G1X) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from G1X averaged less than $0.0015 per share for the period 1Q2020

Virtu Americas, LLC:
Open to the Public Investing Inc relies exclusively upon Apex Clearing Corporation, Inc. ("Apex") for order routing. APEX receives payment from Virtu Financial, Inc. (Virtu) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of the order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Payments received from Virtu averaged less than $0.0012 per share for the period 1Q2020.

Jane Street Capital:
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January 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.81	90.67	0.49	7.97	0.87

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
G1 Execution Services, LLC	37.43	37.87	36.04	34.48	19.90	99.44	15.0000	18.16	15.0000	5.22	25.0000	0.01	14.0000
Virtu Americas, LLC	26.05	26.07	26.13	22.02	61.22	421.81	15.0000	1.54	6.0000	30.18	30.0000	3.17	16.0000
CITADEL SECURITIES LLC	18.20	17.03	18.02	31.37	18.88	59.31	14.0000	6.35	14.0000	60.99	32.0000	3.23	25.0000
Jane Street Capital	14.15	14.96	14.41	6.45	0.00	91.68	13.0000	9.50	11.0000	19.62	19.0000	0.00	0.0000

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January 2020

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

February 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.11	0.16	1.68	0.04

Venues

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G1 Execution Services, LLC	32.34	32.44	28.95	27.32	10.00	17.29	16.0000	0.06	15.0000	0.06	25.0000	0.00	15.0000
Jane Street Capital	26.76	27.13	23.68	6.19	0.00	15.29	19.0000	0.03	15.0000	0.33	30.0000	0.00	0.0000
Virtu Americas, LLC	24.23	24.09	26.32	31.19	60.00	10.43	14.0000	0.04	6.0000	0.08	30.0000	0.02	23.0000
Canaccord Capital Corporation	8.65	8.76	5.26	2.58	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
CITADEL SECURITIES LLC	8.00	7.58	15.79	31.19	30.00	6.10	14.0000	0.02	14.0000	1.34	32.0000	0.00	0.0000

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February 2020

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
99.97	92.14	0.52	6.45	0.89

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
G1 Execution Services, LLC	36.18	36.87	37.58	30.02	8.21	266.98	14.0000	3.12	14.0004	7.64	25.0000	0.02	14.0000
Virtu Americas, LLC	22.44	22.08	22.29	21.52	66.42	473.20	14.0000	0.76	7.0000	9.76	30.0000	4.39	19.0000
CITADEL SECURITIES LLC	18.61	17.24	24.20	36.82	25.37	112.39	16.0000	3.28	20.0000	23.62	22.0000	0.84	21.0000
Jane Street Capital	18.37	19.48	14.65	5.30	0.00	146.28	11.0000	2.35	11.0000	26.60	20.0000	0.00	0.0000

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February 2020

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

March 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	97.31	0.11	2.37	0.22

Venues

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G1 Execution Services, LLC	33.84	33.98	25.00	27.27	50.00	3.94	12.2171	0.00	0.0000	0.04	18.7500	0.01	15.0000
G-JANESTREET_A	14.52	14.78	0.00	5.68	0.00	1.68	14.5304	0.00	0.0000	0.01	22.5000	0.00	0.0000
Jane Street Capital	12.82	13.09	0.00	3.41	0.00	1.34	15.7996	0.00	0.0000	0.00	22.5000	0.00	0.0000
G-KNIGHTCAPTMK	11.34	11.10	25.00	19.32	25.00	0.91	11.1699	0.03	9.0000	0.02	22.5000	0.00	15.0000
Virtu Americas, LLC	10.22	10.25	0.00	7.95	25.00	0.63	12.7530	0.00	0.0000	0.00	0.0000	0.01	15.0000
CITADEL SECURITIES LLC	8.95	8.45	25.00	29.55	0.00	0.88	9.7310	0.00	8.2500	0.50	24.0000	0.00	0.0000

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March 2020

Non-S&P 500 Stocks

Summary

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100.00	91.24	0.72	7.06	0.98

Venues

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G1 Execution Services, LLC	33.57	34.81	21.21	19.14	31.11	15.88	10.6761	0.07	10.6364	4.20	13.8890	0.00	4.5000
CITADEL SECURITIES LLC	17.31	15.38	24.24	42.59	8.89	9.09	9.7450	1.92	9.5213	6.74	14.1711	0.00	0.0000
G-KNIGHTCAPTMK	15.54	15.29	18.18	14.81	42.22	5.86	2.6670	0.06	9.2381	1.61	19.6463	0.03	1.6429
G-JANESTREET_A	11.88	12.30	15.15	7.72	0.00	4.16	3.8721	0.01	1.3500	0.11	17.1429	0.00	0.0000
Virtu Americas, LLC	10.46	10.58	9.09	8.02	17.78	10.72	2.6753	0.02	1.5000	1.29	22.3828	0.08	3.3877
Jane Street Capital	7.82	8.29	9.09	2.78	0.00	3.90	3.4125	1.51	1.8134	0.01	0.1342	0.00	0.0000

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March 2020

Options

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Venues

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