

SOFI - Held NMS Stocks and Options Order Routing Public Report

Generated on Fri Oct 30 2026 11:21:12 GMT-0400 (Eastern Daylight Time)

3rd Quarter, 2026

July 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	79.85	0.35	2.77	17.03

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	29.83	22.36	22.31	26.99	65.46	1,891.68	18.0000	0.33	19.4651	4.45	26.9346	792.99	17.2887
CITADEL SECURITIES LLC	20.88	21.92	20.62	29.01	14.71	2,034.64	18.0000	0.31	19.7547	4.77	26.8935	945.70	27.0000
Jane Street Capital	18.86	20.54	22.05	12.54	11.97	1,831.01	18.0000	0.33	19.4294	2.05	26.7536	620.80	27.0000
GTS SECURITIES LLC	13.60	16.43	15.56	15.56	0.00	1,499.88	18.0001	0.23	19.2750	2.56	26.8818	0.00	0.0000
Hudson River Trading (HRT)	12.70	13.62	14.79	15.50	7.86	1,157.25	18.0000	0.23	20.2105	2.55	26.8861	416.30	27.0000
G1 Execution Services, LLC	2.76	3.45	2.46	0.02	0.00	363.68	18.0000	0.03	18.0000	0.00	18.0000	0.00	0.0000
Canaccord Genuity Securities	1.36	1.68	2.20	0.39	0.00	181.55	18.0000	0.04	20.6471	0.06	25.8750	0.00	0.0000
Two Sigma Securities, LLC	0.00	0.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Hudson River Trading (HRT):
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

July 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	70.88	4.24	2.18	22.69

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	30.99	22.33	22.41	26.97	60.01	38,752.25	17.9446	13.37	9.4961	38.40	23.7649	26,364.80	13.0129
CITADEL SECURITIES LLC	20.96	21.86	22.52	30.33	16.96	37,298.89	17.9411	27.82	12.5539	78.50	22.7384	89,712.71	21.0245
Jane Street Capital	18.88	20.61	20.69	11.83	13.81	26,325.27	17.9360	18.60	11.3124	4.35	21.8902	85,767.30	23.4473
GTS SECURITIES LLC	12.87	16.70	16.65	14.92	0.00	34,627.27	17.9279	24.69	13.2175	11.50	23.2084	0.00	0.0000
Hudson River Trading (HRT)	12.47	13.35	13.39	15.69	9.22	30,089.96	17.9339	34.18	14.9019	9.42	22.8649	54,211.79	23.2777

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
G1 Execution Services, LLC	2.61	3.50	3.02	0.00	0.00	13,033.19	17.8491	5.30	13.8097	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	1.23	1.65	1.32	0.26	0.00	3,145.03	17.9888	0.07	1.7123	0.12	26.7955	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Hudson River Trading (HRT):
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

July 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.58	46.25	52.87	0.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
---------------------------------	-------------------------	-------------------	-----------------------------	---------------------------------	------------------	--	---	--	---	--	---	---	--

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	23.55	21.48	22.35	24.52	42.88	635.79	29.2048	84,861.99	27.7578	85,161.15	32.0152	1,368.60	32.9148
Jane Street Capital	22.47	22.10	23.43	21.76	0.00	769.17	29.4249	88,629.40	25.9664	74,985.22	29.0243	0.00	0.0000
Global Execution Brokers LP	21.97	21.24	21.57	22.31	25.09	1,139.10	30.3355	81,817.39	27.4231	78,840.07	31.0086	720.00	34.6156
Dash Financial Technologies	15.03	16.17	15.43	14.68	13.23	983.40	27.4770	59,389.22	25.6003	51,805.40	29.9476	350.38	35.8261
Matrix Executions, LLC / Simplex Trading, LLC	6.53	6.69	6.97	6.15	5.47	148.80	29.0056	25,353.99	24.9545	21,570.24	27.3083	141.79	31.7198
Wolverine Execution Services, LLC	6.38	6.64	5.59	7.03	11.86	283.94	38.5783	22,801.16	31.7291	24,013.47	35.4956	294.60	36.5050
WATERSHED	4.07	5.69	4.66	3.55	1.46	117.63	24.3035	15,628.30	23.0055	10,903.73	24.7441	44.40	25.5190

Material Aspects:

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Global Execution Brokers LP:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Dash Financial Technologies:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

Wolverine Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

WATERSHED:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

August 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.84	0.36	3.27	19.52

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	32.12	24.78	29.05	27.52	61.87	1,872.85	18.0000	0.36	19.0895	4.41	26.9340	1,084.81	20.5649
CITADEL SECURITIES LLC	20.01	20.45	20.34	29.38	16.74	1,616.83	18.0000	0.26	19.3534	4.71	26.9588	1,272.21	27.0000
Jane Street Capital	17.24	18.50	16.67	12.05	13.19	1,417.16	18.0000	0.21	19.4037	1.93	26.8996	1,114.81	27.0000
Hudson River Trading (HRT)	15.50	17.34	16.82	15.58	8.20	1,319.13	18.0000	0.21	19.4727	2.49	26.9126	502.48	27.0000
GTS SECURITIES LLC	12.20	15.16	14.68	15.16	0.00	1,254.31	18.0000	0.18	18.9375	2.43	26.9601	0.00	0.0000
G1 Execution Services, LLC	2.14	2.77	1.38	0.00	0.00	200.56	18.0000	0.02	18.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	0.78	1.00	1.07	0.27	0.00	71.11	18.0000	0.01	18.0000	0.04	27.0000	0.00	0.0000
Goldman, Sachs & Co.	0.00	0.00	0.00	0.03	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Goldman, Sachs & Co.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Goldman, Sachs & Co. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

August 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	69.20	3.81	1.87	25.12

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.30	24.70	25.35	28.66	58.52	32,536.63	16.6367	41.64	14.5902	7.12	19.7745	30,882.46	11.6440
CITADEL SECURITIES LLC	20.03	20.43	19.81	29.00	18.29	30,717.07	16.0911	30.66	14.3807	50.95	25.6870	84,235.93	18.7779
Jane Street Capital	17.22	18.34	18.50	11.19	14.41	19,424.32	17.3985	58.10	16.0366	41.15	25.5763	80,352.81	21.2309
Hudson River Trading (HRT)	15.22	17.40	17.69	15.89	8.78	31,450.88	16.6732	20.28	13.4187	9.21	23.7073	45,766.80	20.4078
GTS SECURITIES LLC	11.45	15.31	15.19	14.96	0.00	25,168.02	16.1450	15.96	13.1237	7.04	22.9128	0.00	0.0000
G1 Execution Services, LLC	2.07	2.85	2.61	0.01	0.00	8,512.77	16.0651	13.43	16.7369	0.00	18.0000	0.00	0.0000
Canaccord Genuity Securities	0.71	0.97	0.84	0.25	0.00	1,337.81	14.2898	2.94	16.1446	0.09	26.7188	0.00	0.0000
Goldman, Sachs & Co.	0.00	0.00	0.00	0.03	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Hudson River Trading (HRT):
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Goldman, Sachs & Co.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Goldman, Sachs & Co. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

August 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.56	47.24	51.84	0.36

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Global Execution Brokers LP	22.33	23.51	21.89	22.68	26.26	955.75	31.0812	81,700.69	28.3418	75,931.35	32.5298	1,447.00	45.7333
Jane Street Capital	22.32	23.51	23.56	21.33	0.00	965.46	27.5924	82,324.36	26.3167	68,513.15	30.5052	0.00	0.0000
CITADEL SECURITIES LLC	20.11	18.37	19.03	20.99	39.55	813.54	32.6199	70,878.07	28.5784	69,052.45	33.0529	1,736.58	39.3783
Dash Financial Technologies	19.52	19.07	19.44	19.62	15.23	575.97	29.6584	70,040.93	27.5358	64,140.17	32.2784	1,129.89	46.3641
Matrix Executions, LLC / Simplex Trading, LLC	6.23	6.19	6.72	5.80	5.05	205.89	26.1286	23,765.11	25.2750	17,919.20	29.2282	142.31	41.8559
Wolverine Execution Services, LLC	5.69	5.09	4.88	6.40	11.73	174.26	32.5109	20,641.69	31.6474	20,297.15	36.7283	786.18	53.5182
WATERSHED	3.79	4.24	4.47	3.18	2.18	136.04	22.8255	13,939.07	22.9159	9,064.43	25.7542	55.60	32.5167

Material Aspects:

Global Execution Brokers LP:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Dash Financial Technologies:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

Wolverine Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

WATERSHED:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

September 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.00	0.49	3.22	20.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	28.35	20.63	19.38	26.12	57.81	1,336.56	18.0000	0.26	18.8478	3.38	26.8854	1,416.69	22.9535
Hudson River Trading (HRT)	18.64	21.25	17.70	17.38	9.06	1,399.03	18.0000	0.24	19.3571	2.47	26.9214	563.81	27.0000
Jane Street Capital	17.35	18.28	20.08	11.31	14.77	1,157.91	18.0000	0.28	19.2587	1.50	26.9110	1,133.49	27.0000
CITADEL SECURITIES LLC	16.73	15.82	18.68	27.84	18.36	948.09	18.0000	0.25	19.1504	3.79	26.9233	1,463.91	27.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
GTS SECURITIES LLC	15.31	19.32	20.08	16.18	0.00	1,152.27	18.0000	0.28	19.6364	2.04	26.8581	0.00	0.0000
G1 Execution Services, LLC	2.19	2.86	2.67	0.00	0.00	247.83	18.0000	0.03	18.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	0.81	1.04	0.84	0.40	0.00	54.51	18.0000	0.01	18.0000	0.05	27.0000	0.00	0.0000
Goldman, Sachs & Co.	0.63	0.79	0.56	0.77	0.00	0.00	0.0000	0.00	0.0000	0.01	2.5000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Goldman, Sachs & Co.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Goldman, Sachs & Co. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

- - - - -

September 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
--	---	---	---	--

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	64.36	7.13	2.13	26.37

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	29.88	20.94	20.17	27.51	54.51	28,528.41	16.1559	13.08	8.6643	27.46	23.2570	36,585.13	13.8481
Hudson River Trading (HRT)	18.21	21.39	21.81	16.42	9.62	32,302.04	15.2322	22.92	10.9184	20.34	19.6871	39,206.38	20.1767
Jane Street Capital	17.33	17.99	18.39	9.23	16.11	17,277.65	16.9870	1.04	1.3845	3.94	19.1650	68,896.76	21.8539
CITADEL SECURITIES LLC	17.27	16.03	15.56	29.76	19.76	25,791.42	15.3795	20.57	11.9214	27.03	23.9466	75,934.05	18.6689
GTS SECURITIES LLC	13.93	18.97	19.36	16.01	0.00	28,636.99	15.3647	29.15	12.3770	24.56	19.9554	0.00	0.0000
G1 Execution Services, LLC	2.07	2.91	2.79	0.00	0.00	9,296.51	14.2125	6.56	13.9214	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	0.73	1.02	1.00	0.29	0.00	1,471.83	16.6098	0.05	1.2353	0.10	26.7500	0.00	0.0000
Goldman, Sachs & Co.	0.58	0.77	0.91	0.78	0.00	0.00	0.0000	0.01	0.1805	0.01	0.0274	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Hudson River Trading (HRT):

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Goldman, Sachs & Co.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Goldman, Sachs & Co. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

September 2026

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.55	48.19	50.85	0.41

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	22.15	21.08	22.19	22.13	20.90	884.01	26.9681	95,238.11	27.3167	77,160.62	33.4204	790.42	26.6943
Global Execution Brokers LP	21.76	22.26	21.11	22.35	23.95	692.00	30.5924	90,785.04	28.7254	78,783.63	35.3268	965.10	37.3204
Jane Street Capital	20.81	20.37	21.76	20.09	0.00	764.37	25.0121	84,421.94	25.5923	69,844.82	31.3874	0.00	0.0000
CITADEL SECURITIES LLC	19.55	20.06	18.69	20.23	35.69	831.68	27.6672	79,893.35	28.5908	69,075.63	33.5490	1,507.45	35.1961
Matrix Executions, LLC / Simplex Trading, LLC	5.98	5.05	6.55	5.46	5.90	161.58	24.7436	25,733.39	24.7030	17,274.76	29.9519	297.53	32.8763
Wolverine Execution Services, LLC	5.49	5.77	4.76	6.13	10.92	231.35	30.2819	21,264.84	34.2672	20,619.14	38.8842	994.50	52.0952
WATERSHED	4.26	5.41	4.95	3.60	2.65	224.58	24.6791	18,320.95	23.4484	10,404.92	26.5662	72.81	30.8508

Material Aspects:

Dash Financial Technologies:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Global Execution Brokers LP:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

Wolverine Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

WATERSHED:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.