

SOFI - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2025

July 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.55	0.66	5.77	21.02

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	35.24	28.33	9.92	5.78	67.95	1,779.14	16.0000	0.15	16.9888	1.08	23.6659	618.87	14.9475
Jane Street Capital	22.55	27.21	13.60	9.04	10.47	1,747.88	16.0000	0.20	16.6557	1.69	23.8312	740.52	24.0000
HRT	18.09	21.11	8.47	9.11	10.41	1,246.43	16.0000	0.13	17.3684	1.71	23.8773	587.76	24.0000
GTS SECURITIES LLC	7.32	9.40	3.79	8.24	0.00	581.56	16.0000	0.06	16.4706	1.55	23.9012	0.00	0.0000
CITADEL SECURITIES LLC	6.18	4.67	2.68	8.38	10.88	339.81	16.0000	0.04	17.6667	1.57	23.8907	726.70	23.9997
Canaccord Genuity Securities	3.52	4.76	2.45	0.84	0.00	284.21	16.0000	0.04	16.7273	0.16	23.7576	0.00	0.0000
G1 Execution Services, LLC	3.29	4.52	1.78	0.01	0.00	279.77	16.0000	0.03	16.0000	0.00	16.0000	0.00	0.0000
NYSE Arca, Inc.	1.80	0.00	18.95	28.27	0.21	0.00	0.0000	3.59	2.7895	155.36	10.9256	-7.35	-5.0521
The Nasdaq Stock Market	0.87	0.00	8.58	13.99	0.01	0.00	0.0000	-19.26	-30.0000	-89.01	-16.8427	-0.21	-30.0000
other	0.38	0.00	11.04	5.30	0.02	0.00	0.0000	-9.47	-18.1590	-23.99	-13.7300	-2.25	-30.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

July 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	68.79	1.35	4.37	25.49

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	34.95	28.25	16.82	4.75	59.16	41,990.49	15.9854	85.18	15.6816	17.42	19.0110	13,900.35	6.4324
Jane Street Capital	22.40	26.98	15.88	6.37	13.12	44,115.32	15.9625	51.60	15.5058	42.30	23.4484	14,330.50	8.4328
HRT	18.47	21.17	12.39	7.41	13.40	32,195.53	15.9851	37.48	15.4796	46.81	23.2683	12,653.14	11.2396

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	7.19	4.80	3.43	7.46	13.79	16,218.44	15.9018	5.04	15.0173	22.06	22.4510	16,526.34	5.5859
GTS SECURITIES LLC	6.85	9.41	6.63	6.42	0.00	16,021.95	15.9844	19.99	15.4575	22.37	22.7872	0.00	0.0000
Canaccord Genuity Securities	3.33	4.75	2.84	0.57	0.00	7,867.52	15.8813	0.12	4.7244	6.87	16.2924	0.00	0.0000
G1 Execution Services, LLC	3.22	4.63	2.54	0.00	0.00	9,888.84	15.9868	0.07	3.0308	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.59	0.00	12.41	30.90	0.30	0.00	0.0000	138.44	1.7302	506.01	1.0602	-44.47	-0.9602
The Nasdaq Stock Market	0.75	0.00	6.59	14.76	0.08	0.00	0.0000	-416.46	-22.6998	-929.71	-6.5776	-131.39	-12.8221
other	0.44	0.00	9.87	6.76	0.04	0.00	0.0000	-458.84	-6.1525	-338.41	-4.2967	-94.38	-17.6447

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.73	51.60	47.21	0.47

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	26.17	25.40	25.57	26.69	40.37	356.62	22.4711	57,898.60	24.4241	33,646.19	23.0633	773.26	24.1869
Global Execution Brokers LP	22.03	21.11	21.94	22.14	22.15	391.78	21.5030	45,961.87	23.5268	26,595.62	23.0755	426.91	25.6404
Dash Financial Technologies	17.46	16.48	17.75	17.17	16.78	206.64	23.2180	34,389.59	21.0285	19,550.44	20.9459	319.14	20.6427
WATERSHED	10.73	10.34	10.79	10.67	10.48	294.23	21.7627	19,296.62	23.6388	12,162.70	22.9113	477.07	30.7194
Wolverine Execution Services, LLC	9.70	10.60	9.31	10.11	10.22	249.04	25.0040	21,940.84	24.9308	14,996.08	25.9663	145.12	27.6947
Jane Street Capital	8.34	10.51	8.87	7.80	0.00	338.82	21.1633	14,949.12	21.6981	9,011.06	20.8952	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	5.58	5.55	5.76	5.43	0.00	164.18	19.9727	11,564.68	21.7026	6,218.22	21.4933	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Global Execution Brokers LP:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Dash Financial Technologies:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

WATERSHED:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

Wolverine Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

August 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.85	0.26	2.45	21.43

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	36.26	28.14	26.59	14.88	67.56	2,125.40	16.0000	0.15	17.4545	1.10	23.7754	594.69	14.6423
HRT	19.58	21.96	19.94	23.24	10.74	1,552.88	16.0000	0.12	17.4545	1.73	23.8672	475.90	24.0000
Jane Street Capital	18.55	20.81	21.15	17.78	10.62	1,579.49	16.0000	0.12	16.9143	1.32	23.8409	587.89	24.0000
GTS SECURITIES LLC	11.72	14.75	19.34	19.64	0.00	1,111.05	16.0000	0.11	17.7500	1.46	23.8298	0.00	0.0000
CITADEL SECURITIES LLC	6.44	4.60	7.25	22.50	11.09	452.09	16.0000	0.04	17.3333	1.68	23.9543	656.39	24.0000
Canaccord Genuity Securities	3.89	5.06	3.32	1.96	0.00	348.17	16.0000	0.02	16.7273	0.14	23.6066	0.00	0.0000
G1 Execution Services, LLC	3.55	4.68	2.42	0.00	0.00	357.59	16.0000	0.01	16.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

August 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	71.56	1.30	1.95	25.19

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	35.73	28.04	27.19	11.72	59.88	38,266.72	15.9587	70.48	15.4426	2.76	19.9135	11,895.22	6.7963
HRT	19.75	21.85	22.26	24.63	13.28	31,846.07	15.9797	53.99	15.4148	20.82	20.4825	10,135.88	11.6941
Jane Street Capital	18.82	20.91	22.46	17.69	12.78	27,967.24	15.9750	38.94	15.1761	35.03	21.9499	12,721.11	9.6947
GTS SECURITIES LLC	11.32	15.01	14.81	19.73	0.00	28,356.27	15.9623	5.13	12.5608	10.61	20.6357	0.00	0.0000
CITADEL SECURITIES LLC	7.44	4.68	5.11	24.87	14.05	15,915.61	15.9454	18.35	15.6440	32.33	22.2796	14,132.24	6.4250
Canaccord Genuity Securities	3.57	4.88	4.33	1.36	0.00	7,454.81	15.9040	0.17	5.0233	0.38	23.6522	0.00	0.0000
G1 Execution Services, LLC	3.37	4.63	3.84	0.00	0.00	8,770.40	15.9937	8.31	15.2936	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	0.00	0.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

August 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.80	49.89	48.86	0.45

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	25.41	25.26	24.78	25.91	41.71	298.84	23.2560	39,922.52	21.8100	28,156.10	21.6787	797.09	26.0571
Dash Financial Technologies	22.21	21.42	22.29	22.17	19.14	427.08	22.3018	35,814.18	20.7317	23,642.73	20.6272	224.02	24.8080
Global Execution Brokers LP	19.52	21.02	19.44	19.58	19.14	274.52	21.2312	31,420.54	21.3073	21,572.91	21.1680	224.90	24.6867
Wolverine Execution Services, LLC	11.33	11.91	11.06	11.61	11.00	181.64	26.1729	19,547.36	23.0860	11,936.72	22.1909	111.64	25.6644
Jane Street Capital	8.48	9.03	9.20	7.81	0.00	76.67	19.6595	12,678.82	19.4008	8,392.23	19.7070	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
WATERSHED	7.32	6.00	7.37	7.28	9.00	64.90	22.0014	11,609.54	22.0261	7,358.85	21.1723	102.21	24.6284
Matrix Executions, LLC / Simplex Trading, LLC	5.73	5.36	5.86	5.64	0.00	44.12	21.2115	8,134.58	20.4402	6,107.08	20.1461	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Dash Financial Technologies:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Global Execution Brokers LP:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Wolverine Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

WATERSHED:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.

September 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.72	0.68	5.75	20.85

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	34.75	28.06	10.14	5.62	66.92	1,827.47	16.0000	0.16	17.9341	1.02	23.8498	625.72	14.9588
HRT	18.05	21.08	7.58	9.04	10.32	1,516.90	16.0000	0.12	16.9412	1.64	23.8950	518.02	24.0000
Jane Street Capital	17.28	19.98	6.80	6.87	11.08	1,302.64	16.0000	0.11	17.9672	1.25	23.9386	710.10	24.0000
GTS SECURITIES LLC	12.71	16.81	6.69	7.63	0.00	1,068.25	16.0000	0.10	16.8000	1.38	23.9033	0.00	0.0000
CITADEL SECURITIES LLC	6.02	4.34	2.34	8.71	11.28	308.15	16.0000	0.04	17.9048	1.58	23.9758	745.14	24.0000
Canaccord Genuity Securities	3.70	5.02	1.34	0.79	0.00	331.67	16.0000	0.02	18.0000	0.14	23.8667	0.00	0.0000
G1 Execution Services, LLC	3.43	4.71	0.67	0.00	0.00	336.05	16.0000	0.01	16.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.90	0.00	22.97	29.48	0.24	0.00	0.0000	8.46	6.6913	88.92	6.9259	0.81	0.5738
The Nasdaq Stock Market	0.92	0.00	11.48	14.45	0.05	0.00	0.0000	-19.44	-29.7774	-92.85	-20.6871	-5.71	-29.9528
other	0.42	0.00	11.26	5.91	0.03	0.00	0.0000	-14.47	-23.5645	-21.95	-11.1290	-0.64	-29.8837

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

September 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	68.51	2.25	5.50	23.74

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.85	28.12	20.14	3.68	58.69	44,283.26	15.9653	170.04	15.6013	57.42	18.5494	14,982.24	7.3939
HRT	18.35	20.92	15.20	8.85	13.44	37,291.96	15.9657	87.67	15.4207	89.91	18.6207	13,498.24	11.7377
Jane Street Capital	17.55	20.11	14.68	5.98	13.12	37,556.18	15.9451	149.37	15.6694	72.53	16.5453	15,206.06	10.2777
GTS SECURITIES LLC	12.17	16.76	12.30	7.49	0.00	31,665.70	15.9748	153.76	15.7248	52.70	21.7523	0.00	0.0000
CITADEL SECURITIES LLC	6.98	4.52	3.19	8.82	14.04	21,423.24	15.9958	40.91	15.7708	66.79	17.0994	20,022.10	9.4753
Canaccord Genuity Securities	3.47	4.91	3.72	0.31	0.00	9,429.49	15.9920	5.11	13.7688	0.29	23.4098	0.00	0.0000
G1 Execution Services, LLC	3.27	4.66	3.68	0.00	0.00	11,274.26	15.9996	140.54	15.9073	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.95	0.00	8.47	30.22	0.40	0.00	0.0000	-324.65	-3.5568	1,388.61	2.9122	56.16	0.8430
The Nasdaq Stock Market	0.88	0.00	4.39	13.78	0.10	0.00	0.0000	-549.12	-25.8375	-1,147.89	-6.9713	-91.80	-12.1861
other	0.55	0.00	6.94	6.85	0.05	0.00	0.0000	-501.82	-8.3488	-849.75	-9.6810	-150.28	-23.1563

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at GTS Securities LLC. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to GTS Securities LLC by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 90%/10% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

September 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.67	49.78	49.06	0.49

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	25.86	24.25	25.17	26.40	42.81	279.23	23.2887	47,281.55	22.5007	37,544.70	22.4395	663.57	28.7632
Dash Financial Technologies	19.91	18.73	20.04	19.79	20.96	253.49	20.2628	32,620.40	20.7652	26,396.22	20.6810	264.38	22.5577
Global Execution Brokers LP	19.74	19.38	19.94	19.53	20.29	318.66	21.9008	34,671.45	22.1311	25,667.39	21.7027	367.94	23.7072
Jane Street Capital	12.30	14.44	12.65	12.02	0.00	338.33	24.6595	22,102.35	22.1039	17,123.41	21.4509	0.00	0.0000
Wolverine Execution Services, LLC	9.27	10.14	9.11	9.42	8.92	171.72	24.0842	16,897.52	23.1578	11,891.84	22.9152	220.60	24.1357
WATERSHED	7.40	7.38	7.53	7.28	7.02	82.70	22.5330	12,991.78	22.6507	8,598.53	21.1240	130.68	22.4151

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Matrix Executions, LLC / Simplex Trading, LLC	5.52	5.68	5.54	5.55	0.00	92.50	24.6000	9,413.18	21.6829	7,664.10	20.8763	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel Securities LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Citadel Securities LLC by Apex for execution.

Dash Financial Technologies:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Dash Financial Technologies. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Dash Financial Technologies by Apex for execution.

Global Execution Brokers LP:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Global Execution Brokers LP. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Global Execution Brokers LP by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Jane Street Capital by Apex for execution.

Wolverine Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Wolverine Execution Services, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Wolverine Execution Services, LLC by Apex for execution.

WATERSHED:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Watershed. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Watershed by Apex for execution.

Matrix Executions, LLC / Simplex Trading, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Matrix Executions, LLC / Simplex Trading, LLC. SoFi and Apex have an 95%/5% (in favor of SoFi) split on all payment for order flow generated from SoFi's options orders routed to Matrix Executions, LLC / Simplex Trading, LLC by Apex for execution.