

SOFI - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2024

October 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.50	0.79	5.11	18.60

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
HRT	28.69	34.12	14.95	12.58	11.68	2,405.26	12.0000	0.16	12.2748	1.62	22.5754	752.60	24.0000
Jane Street Capital	24.58	28.47	13.13	13.49	12.31	1,892.95	12.0000	0.14	12.4174	1.75	22.7969	786.61	24.0000
Virtu Americas, LLC	20.05	10.96	4.11	4.67	61.86	777.98	12.0000	0.04	12.0000	0.59	22.2857	848.05	21.3681
CITADEL SECURITIES LLC	7.76	7.17	4.00	3.18	11.60	542.68	12.0000	0.04	12.0000	0.41	22.4751	781.42	24.0000
GTS SECURITIES LLC	5.71	7.12	2.63	6.25	0.00	479.33	12.0000	0.03	12.0000	0.83	23.4270	0.00	0.0000
Canaccord Genuity Securities	3.82	4.99	1.37	0.86	0.00	315.16	12.0000	0.02	15.0000	0.11	23.0204	0.00	0.0000
G1 Execution Services, LLC	3.79	5.01	1.60	0.00	0.00	375.52	12.0000	0.02	12.0000	0.00	0.0000	0.00	0.0000
StoneX Financial, Inc.	2.10	2.17	1.71	0.40	2.32	191.96	12.0000	0.02	14.4000	0.06	24.0000	171.76	24.0000
NYSE Arca, Inc.	1.74	0.00	21.00	30.01	0.20	0.00	0.0000	20.70	14.0807	176.67	10.6379	-1.77	-2.3704
The Nasdaq Stock Market	0.89	0.00	16.44	14.78	0.01	0.00	0.0000	-28.43	-22.6420	-119.08	-18.3227	-2.30	-30.0000

Material Aspects:
HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

StoneX Financial, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at StoneX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to StoneX by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

October 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	54.35	21.42	8.50	15.73

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
HRT	28.90	34.31	31.04	21.23	11.42	19,645.83	11.9870	95.83	7.3614	68.50	10.3808	5,609.05	10.8047
Jane Street Capital	26.04	28.80	30.03	23.94	12.21	18,764.62	11.9763	226.52	10.9959	125.03	11.8743	8,803.73	10.5960

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	17.68	11.04	6.97	7.37	60.80	6,320.81	11.9948	83.92	10.3435	12.24	11.8226	9,655.89	9.7372
CITADEL SECURITIES LLC	7.65	7.53	5.76	5.21	11.98	6,484.34	11.8316	193.05	11.3515	22.51	13.1052	10,352.45	7.3522
GTS SECURITIES LLC	6.35	7.00	6.84	12.69	0.00	7,073.83	11.9908	13.68	6.0768	43.93	10.3581	0.00	0.0000
G1 Execution Services, LLC	4.43	4.74	8.65	0.00	0.00	2,965.39	11.9992	13.57	5.3150	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.66	4.78	4.79	0.36	0.00	3,177.68	11.9975	31.79	9.2604	22.83	12.0240	0.00	0.0000
StoneX Financial, Inc.	2.05	1.80	3.07	0.18	2.55	987.41	11.9958	10.08	7.5083	0.17	14.8966	1,503.27	6.6828
NYSE Arca, Inc.	1.36	0.00	0.78	13.43	0.30	0.00	0.0000	-24.52	-0.6215	959.59	3.7598	-18.38	-0.5696
other	0.71	0.00	1.23	5.18	0.02	0.00	0.0000	-184.06	-4.7916	-252.67	-5.3884	-38.34	-5.4642

Material Aspects:

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

StoneX Financial, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at StoneX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to StoneX by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

October 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.67	42.30	56.61	0.42

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Global Execution Brokers LP	26.95	29.18	27.46	26.55	26.24	383.26	21.9003	33,992.40	20.7930	26,901.03	21.7502	602.62	20.3796
CITADEL SECURITIES LLC	22.55	20.71	20.78	23.84	29.43	348.72	23.6260	29,543.50	22.7601	25,601.01	24.9883	594.31	23.3705
Dash Financial Technologies	15.55	16.26	16.97	14.48	14.18	104.92	20.3728	16,683.69	19.3371	13,803.87	19.7990	238.10	18.7477
WATERSHED	14.06	12.58	14.65	13.65	12.06	130.02	21.0395	17,582.78	22.4428	13,540.49	22.8470	216.34	21.4627
Wolverine Execution Services, LLC	10.82	11.02	9.59	11.69	18.09	191.44	23.1487	13,978.72	23.6551	13,147.64	25.7777	185.28	26.9694
Jane Street Capital	9.11	9.02	9.39	8.97	0.00	111.63	27.0295	11,317.15	21.3137	9,657.26	22.7927	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	0.96	1.22	1.16	0.82	0.00	4.03	16.8000	1,273.44	16.8000	620.12	16.8009	0.00	0.0000

November 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.19	0.94	5.36	18.51

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	30.02	22.10	13.62	8.61	69.21	1,262.84	12.0000	38.72	23.9236	65.24	23.9740	903.30	20.9049
HRT	26.41	31.47	14.63	13.50	10.22	1,977.91	12.0000	15.67	23.7671	51.71	23.9611	690.24	24.0000
Jane Street Capital	20.82	24.25	12.45	11.71	9.97	1,632.83	12.0000	30.30	23.9185	84.30	23.9853	664.28	24.0000
CITADEL SECURITIES LLC	6.58	5.61	7.06	6.86	10.42	457.20	12.0000	22.56	23.9656	47.56	23.9843	677.08	24.0000
GTS SECURITIES LLC	5.48	6.90	2.19	5.00	0.00	476.98	12.0000	0.03	12.4615	0.81	23.8225	0.00	0.0000
Canaccord Genuity Securities	3.87	5.06	2.02	0.83	0.00	288.86	12.0000	0.03	14.0000	0.13	24.0000	0.00	0.0000
G1 Execution Services, LLC	3.47	4.61	1.01	0.00	0.00	290.92	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.67	0.00	18.33	27.40	0.15	0.00	0.0000	10.88	4.9822	173.69	11.8432	10.36	29.3558
The Nasdaq Stock Market	0.88	0.00	14.05	13.78	0.02	0.00	0.0000	-41.20	-30.0000	-91.75	-14.1029	-0.94	-30.0000
Cboe EDGX Exchange, Inc.	0.30	0.00	3.53	4.95	0.01	0.00	0.0000	-14.52	-30.0000	-41.76	-12.8796	-0.92	-30.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

November 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	60.71	12.19	9.10	17.99

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	27.11	21.80	16.43	7.78	62.02	13,534.29	11.9674	700.91	8.9311	709.74	15.0934	10,339.65	7.7371
HRT	26.67	31.19	29.09	21.71	12.31	21,030.23	11.9929	585.50	11.7875	772.23	16.4506	5,823.26	10.2936
Jane Street Capital	22.02	24.62	25.18	20.26	12.02	20,130.39	11.9100	755.51	9.3477	746.58	10.8299	9,636.42	10.3503
CITADEL SECURITIES LLC	7.22	5.71	5.54	7.83	13.15	7,316.34	11.9984	634.53	5.6199	912.09	11.5383	11,293.17	6.6765
GTS SECURITIES LLC	6.06	7.06	6.55	10.74	0.00	8,456.74	11.9202	164.73	11.5906	63.48	11.2545	0.00	0.0000
G1 Execution Services, LLC	3.61	4.67	6.08	0.37	0.00	3,401.24	11.9977	8.67	7.3455	0.01	0.3318	0.00	0.0000
Canaccord Genuity Securities	3.60	4.95	4.47	0.51	0.00	3,654.20	11.9052	29.50	10.5874	0.32	10.8571	0.00	0.0000
NYSE Arca, Inc.	1.72	0.00	1.99	15.63	0.31	0.00	0.0000	24.07	0.4108	425.91	1.7461	-28.59	-1.9528
The Nasdaq Stock Market	0.83	0.00	1.02	7.54	0.09	0.00	0.0000	-345.71	-22.5124	-751.40	-9.5041	-95.78	-17.8134
other	0.46	0.00	1.34	3.24	0.03	0.00	0.0000	-242.38	-7.8255	-21.66	-0.4219	-17.51	-21.7317

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:

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Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

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November 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.85	51.24	47.58	0.33

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Global Execution Brokers LP	26.88	28.75	26.79	26.96	27.16	548.84	27.3872	43,795.42	23.4578	27,631.48	22.9671	315.02	26.7871
CITADEL SECURITIES LLC	21.64	21.29	20.66	22.61	36.01	505.06	21.8548	40,234.18	23.0208	24,720.74	24.9304	565.61	24.1300
WATERSHED	14.12	14.69	14.93	13.24	13.58	209.18	22.4920	20,068.32	23.3989	12,470.43	23.0252	246.68	25.3525
Jane Street Capital	13.10	13.90	13.22	13.05	0.00	249.67	22.6357	17,776.59	22.9892	12,312.74	23.5515	0.00	0.0000
Dash Financial Technologies	12.02	9.85	12.46	11.59	9.88	80.33	20.7031	15,368.62	19.5592	9,315.47	20.3106	78.67	21.7326

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Wolverine Execution Services, LLC	8.18	7.86	7.90	8.45	13.37	110.56	26.9659	14,120.96	24.2258	9,013.60	26.1491	141.48	36.1841
Matrix Executions, LLC / Simplex Trading, LLC	4.06	3.65	4.05	4.10	0.00	170.53	20.0385	6,852.55	23.4918	3,912.30	23.9695	0.00	0.0000

December 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.00	0.70	4.84	19.46

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	35.50	29.22	15.14	6.98	67.53	1,357.32	12.0000	0.16	12.2687	0.95	22.3138	737.57	20.6054
Jane Street Capital	21.32	24.75	11.98	11.32	10.89	1,164.08	12.0000	0.13	12.2264	1.59	22.9091	635.57	24.0000
HRT	20.99	24.38	12.77	12.20	10.42	1,196.90	12.0000	0.14	12.0000	1.70	22.8112	490.90	24.0000
CITADEL SECURITIES LLC	7.55	6.82	4.63	5.59	10.99	338.59	12.0000	0.05	12.5854	0.78	22.7368	589.71	24.0000
GTS SECURITIES LLC	4.49	5.47	2.94	7.52	0.00	283.11	12.0000	0.03	13.3846	1.10	23.8174	0.00	0.0000
Canaccord Genuity Securities	3.67	4.81	2.37	0.91	0.00	209.54	12.0000	0.03	14.2857	0.13	22.7143	0.00	0.0000
G1 Execution Services, LLC	3.42	4.54	1.92	0.00	0.00	205.11	12.0000	0.02	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.45	0.00	17.85	26.86	0.11	0.00	0.0000	18.18	11.2693	210.66	17.4656	2.63	7.3780
The Nasdaq Stock Market	0.77	0.00	13.67	13.74	0.02	0.00	0.0000	-20.97	-30.0000	-89.28	-20.1916	-2.26	-30.0000
Cboe EDGX Exchange, Inc.	0.37	0.00	5.65	6.80	0.02	0.00	0.0000	-8.13	-30.0000	-22.22	-10.9814	-1.00	-30.0000

Virtu Americas, LLC:

Virtu Americas, LLC:

Jane Street Capital:

HRT:

CITADEL SECURITIES LLC:

GTS SECURITIES LLC:

Canaccord Genuity Securities:

G1 Execution Services, LLC:

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

Cboe EDGX Exchange, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

Non-S&P 500 Stocks

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	69.93	1.27	4.63	24.17

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	34.99	29.17	18.87	5.03	58.42	24,143.00	11.9882	91.00	11.8965	33.38	12.3741	15,755.01	10.4553
Jane Street Capital	21.13	24.60	15.40	10.75	13.39	27,023.24	11.9752	121.16	11.9353	43.36	15.8456	12,664.15	10.9129
HRT	21.05	24.19	15.58	12.79	13.82	24,292.57	11.9831	124.57	11.9344	81.65	13.0395	9,532.80	10.9746
CITADEL SECURITIES LLC	8.65	7.11	4.87	5.10	13.98	15,203.24	11.9798	31.06	11.9148	55.69	12.2137	13,922.78	8.0719
GTS SECURITIES LLC	4.17	5.38	3.57	7.75	0.00	7,674.75	11.7794	95.32	11.9833	105.81	13.3724	0.00	0.0000
Canaccord Genuity Securities	3.47	4.87	3.57	0.48	0.00	5,250.29	11.9974	22.70	11.9325	0.28	22.7317	0.00	0.0000
G1 Execution Services, LLC	3.31	4.69	2.39	0.00	0.00	4,535.79	11.9545	30.71	11.9579	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.55	0.00	12.62	28.75	0.25	0.00	0.0000	-34.14	-1.1188	1,786.89	7.0422	46.91	0.5926
The Nasdaq Stock Market	0.77	0.00	7.43	14.26	0.06	0.00	0.0000	-311.02	-23.2793	-600.97	-7.1781	-60.26	-10.1732
other	0.37	0.00	9.15	5.25	0.03	0.00	0.0000	-320.67	-10.8829	-107.84	-2.2968	-34.31	-8.7259

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

GTS SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

December 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.59	50.50	48.53	0.38

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	27.63	27.85	26.21	28.99	42.53	450.30	23.7878	47,173.92	22.8555	32,475.74	24.6705	826.04	22.0748
Jane Street Capital	17.46	15.77	17.97	17.10	0.00	179.10	21.1707	26,138.27	22.6319	16,995.35	23.1889	0.00	0.0000
Global Execution Brokers LP	15.56	15.55	15.61	15.53	13.37	132.62	27.7456	25,857.10	24.2366	17,413.63	25.3503	368.23	36.2433
WATERSHED	14.32	14.09	14.85	13.78	14.58	250.00	26.0417	19,752.09	24.3228	14,444.02	24.3014	170.42	27.8471
Wolverine Execution Services, LLC	12.31	12.98	11.82	12.75	20.31	100.16	29.1163	21,134.52	25.1177	15,371.44	26.8155	296.36	36.7237
Dash Financial Technologies	7.79	8.95	8.63	6.89	9.20	60.24	18.0901	11,693.04	18.2707	7,132.26	19.3785	538.81	18.2833
Matrix Executions, LLC / Simplex Trading, LLC	4.91	4.81	4.92	4.95	0.00	25.61	20.8195	8,164.74	23.3686	6,028.99	24.3340	0.00	0.0000