

SOFI - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.96	0.48	2.86	20.70

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	24.75	29.32	21.39	21.66	8.51	1,289.76	12.0000	0.14	12.2051	1.71	23.9832	675.61	24.0000
Virtu Americas, LLC	23.07	12.60	10.97	10.09	63.57	492.07	12.0000	0.07	12.2000	0.75	22.6988	959.12	21.3611
HRT	19.68	24.05	22.85	27.40	2.50	1,024.14	12.0000	0.16	12.8640	2.08	23.0421	115.57	24.0000
CITADEL SECURITIES LLC	8.74	6.76	17.37	25.36	13.52	299.28	12.0000	0.12	12.8842	1.89	22.6204	635.36	24.0000
GTS SECURITIES LLC	7.90	9.91	10.97	11.18	0.00	456.32	12.0000	0.07	12.4000	0.87	23.6087	0.00	0.0000
StoneX	6.29	4.93	4.94	1.91	11.91	202.07	12.0000	0.04	13.7778	0.15	23.4286	563.79	24.0000
G1 Execution Services, LLC	5.01	6.57	4.57	0.00	0.00	284.20	12.0000	0.03	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.83	4.92	5.48	2.37	0.00	200.28	12.0000	0.04	13.2000	0.18	23.0769	0.00	0.0000
CODA MARKETS INC	0.72	0.94	1.46	0.03	0.00	26.36	12.0000	0.01	12.0000	0.00	12.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevice. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

July 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	66.74	6.62	2.53	24.10

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cent's per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cent's per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cent's per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cent's per hundred shares)
Jane Street Capital	24.58	29.66	28.50	20.10	9.90	20,927.14	11.9959	441.64	11.7614	197.94	12.0565	5,775.97	7.7268
Virtu Americas, LLC	23.84	12.61	13.09	12.18	59.11	7,428.83	11.9957	171.79	11.7238	235.59	12.7302	8,278.01	9.2095
HRT	18.97	23.92	24.22	29.57	2.71	12,899.29	11.9942	271.66	11.6760	112.55	11.9972	863.93	8.5975
CITADEL SECURITIES LLC	9.46	7.00	8.84	22.60	15.08	8,687.14	11.9874	185.72	11.8341	103.32	12.1064	8,590.41	8.2167
GTS SECURITIES LLC	7.60	9.91	9.98	12.93	0.00	6,412.43	11.9992	129.39	11.7214	1.65	12.3229	0.00	0.0000
StoneX	6.82	4.99	4.23	1.06	13.19	1,980.82	11.9988	0.06	0.5559	0.23	20.7273	5,362.58	8.4175
G1 Execution Services, LLC	4.67	6.40	5.95	0.00	0.00	3,951.79	11.9981	33.88	11.3682	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	3.69	4.96	5.16	1.57	0.00	3,024.44	11.9997	112.70	11.8321	72.58	12.0151	0.00	0.0000
CODA MARKETS INC	0.36	0.54	0.03	0.00	0.00	159.58	11.9999	0.01	12.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	0.00	0.00	0.00	0.00	0.01	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.01	13.2000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

July 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.63	41.74	57.19	0.44

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	31.68	30.79	30.31	32.67	32.83	397.78	23.2486	49,223.09	20.3869	33,375.74	21.4058	264.53	23.5345
Global Execution Brokers LP	22.83	26.56	22.54	23.01	21.89	237.81	20.9522	35,877.41	20.2156	22,409.59	20.7800	195.19	24.9606
Dash Financial Technologies	21.49	18.33	24.87	19.09	15.99	133.51	18.1896	38,629.66	18.0764	16,363.50	19.0161	168.22	21.1061
Wolverine Execution Services, LLC	13.12	13.87	12.17	13.76	18.35	159.80	20.6727	19,204.96	20.9419	13,888.80	21.6647	148.80	22.7871
WATERSHED	10.88	10.46	10.09	11.47	10.94	119.64	20.8796	17,861.28	20.9148	12,681.76	21.3053	72.80	23.1111

August 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.24	0.44	2.43	21.89

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	25.41	30.17	21.97	24.14	9.26	1,387.96	12.0000	0.13	12.2243	1.56	23.9631	612.49	24.0000
HRT	25.25	31.76	31.42	28.96	2.32	1,495.96	12.0000	0.18	12.0784	1.80	23.0615	102.61	24.0000
Virtu Americas, LLC	19.54	7.21	8.83	7.06	63.53	369.39	12.0000	0.05	12.2791	0.44	22.9895	817.87	21.1510
CITADEL SECURITIES LLC	8.34	6.53	18.89	23.91	12.63	337.94	12.0000	0.11	12.3913	1.44	22.2857	611.78	24.0000
G1 Execution Services, LLC	6.19	8.20	5.13	0.00	0.00	424.35	12.0000	0.03	12.0000	0.00	0.0000	0.00	0.0000
StoneX	5.74	3.99	3.70	1.63	12.25	179.85	12.0000	0.02	13.3333	0.10	23.1818	766.81	24.0000
GTS SECURITIES LLC	5.63	7.05	5.54	12.44	0.00	309.18	12.0000	0.04	13.3333	0.79	23.4985	0.00	0.0000
Canaccord Genuity Securities	3.84	5.01	4.31	1.86	0.00	212.91	12.0000	0.03	13.1429	0.12	23.0400	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS INC	0.05	0.07	0.21	0.00	0.00	2.16	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

August 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	63.81	9.65	2.77	23.78

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	25.56	30.09	29.26	24.88	11.99	18,121.47	11.9485	545.87	11.6957	800.24	13.8460	5,911.55	7.0367

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
HRT	24.78	31.56	31.43	30.75	3.20	16,954.48	11.9587	294.72	11.4137	446.70	13.9172	1,019.85	7.8813
Virtu Americas, LLC	18.81	7.35	7.68	10.34	55.07	4,583.55	11.9873	140.99	11.6913	190.22	13.0715	8,712.95	7.7376
CITADEL SECURITIES LLC	8.83	6.24	6.46	18.70	15.62	5,235.21	11.9912	77.31	11.5557	65.76	12.0519	7,374.54	6.9299
G1 Execution Services, LLC	6.46	8.79	8.80	0.01	0.00	4,409.22	11.9570	46.05	10.9819	5.10	12.0000	0.00	0.0000
StoneX	6.26	3.94	3.86	0.65	14.12	1,521.53	11.9950	2.95	7.3561	0.17	21.6623	5,550.72	7.2467
GTS SECURITIES LLC	5.55	7.02	7.15	13.71	0.00	3,901.39	11.9890	248.93	11.8364	1.57	9.6769	0.00	0.0000
Canaccord Genuity Securities	3.72	4.99	5.35	0.97	0.00	2,943.62	11.9979	65.59	11.5493	0.24	20.9739	0.00	0.0000
CODA MARKETS INC	0.02	0.03	0.00	0.00	0.00	6.41	12.0000	0.00	6.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	0.00	0.00	0.00	0.00	0.01	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.01	12.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

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August 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.92	40.32	58.38	0.37

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	32.59	31.97	31.48	33.36	32.60	461.65	21.6736	35,836.90	21.0587	30,433.02	21.2080	297.27	21.1883
Dash Financial Technologies	21.03	19.06	24.23	18.89	16.74	122.02	19.1862	20,128.43	18.4524	14,623.79	18.9680	97.87	19.6136
Global Execution Brokers LP	20.69	21.28	20.25	20.97	22.03	365.34	20.9362	20,116.03	20.8072	18,931.50	20.7278	161.38	21.0959
WATERSHED	12.44	13.00	11.67	12.95	13.66	324.25	21.0141	11,237.78	21.5812	10,390.10	20.8724	190.76	22.1814
Wolverine Execution Services, LLC	12.23	13.62	11.28	12.85	14.98	225.56	22.4215	11,947.92	21.3238	11,456.36	21.2418	159.80	21.7120
Jane Street Capital	0.93	0.89	0.97	0.92	0.00	13.16	20.8889	795.37	22.6989	789.24	20.0213	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	0.09	0.18	0.13	0.05	0.00	0.67	16.8000	104.50	16.8000	69.05	16.8000	0.00	0.0000

September 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.70	0.36	1.99	19.96

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
HRT	27.45	32.35	34.24	28.83	8.14	1,654.54	12.0000	0.13	12.4752	1.08	22.7899	281.10	24.0000
Jane Street Capital	25.12	29.91	20.34	21.44	6.94	1,696.86	12.0000	0.07	12.4000	0.85	24.0000	241.53	24.0000
Virtu Americas, LLC	18.72	7.12	4.41	7.15	65.28	445.08	12.0000	0.02	12.0000	0.27	23.1864	368.48	19.9827
CITADEL SECURITIES LLC	7.38	5.90	18.64	22.77	11.37	382.48	12.0000	0.07	12.4364	0.83	21.9574	359.42	24.0000
G1 Execution Services, LLC	7.23	9.29	2.37	0.00	0.00	532.53	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	5.68	6.89	5.76	15.45	0.00	383.23	12.0000	0.02	12.0000	0.60	23.7176	0.00	0.0000
StoneX	4.63	3.75	8.47	1.88	8.27	153.99	12.0000	0.03	12.9600	0.07	23.6129	333.10	24.0000
Canaccord Genuity Securities	3.79	4.78	5.76	2.48	0.00	247.38	12.0000	0.02	12.7059	0.09	22.5366	0.00	0.0000

Material Aspects:

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

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September 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	59.32	16.77	4.33	19.58

Venues

Venue - Non-Directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
HRT	27.37	31.64	31.70	29.45	10.27	13,610.66	11.9579	153.15	10.2119	127.26	11.9251	3,104.67	9.9837
Jane Street Capital	25.53	30.13	28.50	26.99	8.73	15,245.54	11.9767	355.44	11.2360	556.92	12.7992	3,472.53	8.5729
Virtu Americas, LLC	17.20	7.14	7.46	10.46	57.50	4,078.04	11.9927	95.80	11.2572	29.69	11.4014	4,800.48	8.0908
CITADEL SECURITIES LLC	7.93	5.99	6.45	14.15	13.73	4,155.12	11.9460	562.78	11.8879	237.18	11.9566	6,982.89	7.4654
G1 Execution Services, LLC	7.18	9.38	9.61	0.02	0.00	4,040.52	11.9846	24.79	9.0193	91.31	11.9998	0.00	0.0000
GTS SECURITIES LLC	6.02	6.91	6.89	17.57	0.00	2,972.39	11.9891	15.42	8.6980	82.13	12.3690	0.00	0.0000
StoneX	4.92	3.82	4.26	0.57	9.78	1,409.46	11.8871	0.12	0.3857	4.70	11.9634	3,410.32	6.0345
Canaccord Genuity Securities	3.85	4.98	5.13	0.81	0.00	2,310.95	11.9915	34.64	10.6647	0.20	13.1275	0.00	0.0000
APEXDESK	0.00	0.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	0.00	0.00	0.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.11	24.0000

Material Aspects:

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

September 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.73	41.69	57.06	0.53

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Global Execution Brokers LP	28.17	26.77	27.79	28.47	27.18	259.88	21.3366	25,367.23	20.1184	19,934.11	20.6355	232.36	22.9378
CITADEL SECURITIES LLC	24.17	24.66	22.49	25.35	29.06	233.58	25.1978	24,801.89	20.7181	17,516.18	21.2578	619.55	21.4008
Dash Financial Technologies	17.87	17.43	20.38	16.08	14.70	90.02	19.3167	14,456.65	18.1388	10,997.46	18.6076	148.59	18.2770
WATERSHED	13.48	14.57	13.72	13.31	10.94	94.46	20.5339	12,611.74	21.1227	9,041.94	21.0156	119.22	24.6824
Wolverine Execution Services, LLC	11.40	11.46	10.48	12.01	18.12	77.56	25.1818	10,356.16	20.7239	8,545.36	21.7644	173.60	24.5545
Jane Street Capital	4.54	4.73	4.72	4.45	0.00	16.99	19.9906	3,822.98	19.6960	2,798.88	20.6895	0.00	0.0000
Matrix Executions, LLC / Simplex Trading, LLC	0.37	0.37	0.42	0.33	0.00	2.69	16.8000	331.46	16.8000	415.46	16.8000	0.00	0.0000