

SOFI - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2024

April 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.28	0.89	6.52	20.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.83	26.92	12.38	9.11	67.28	455.06	12.0000	0.11	12.3913	1.10	22.2097	330.87	20.3769
Jane Street Capital	23.95	30.27	10.90	7.86	7.18	626.84	12.0000	0.10	12.2963	1.03	23.9720	175.68	24.0000
HRT	17.07	22.01	11.44	10.56	1.82	411.36	12.0000	0.11	12.5647	1.31	22.8104	40.49	24.0000
CITADEL SECURITIES LLC	7.11	5.23	8.88	9.72	12.89	145.47	12.0000	0.08	12.5455	1.15	21.7769	209.58	24.0000
StoneX	5.46	4.15	2.02	4.46	10.62	84.47	12.0000	0.02	14.4000	0.58	23.9012	230.74	24.0000
Canaccord Genuity Securities	3.52	4.72	3.36	1.10	0.00	122.32	12.0000	0.03	12.9600	0.14	23.4000	0.00	0.0000
NYSE Arca, Inc.	2.67	0.00	30.01	36.35	0.16	0.00	0.0000	1.43	1.8614	86.15	11.4001	2.10	19.2498
CODA MARKETS INC	2.22	3.05	1.35	0.00	0.00	53.27	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	1.99	2.74	0.67	0.00	0.00	50.32	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	0.70	0.91	0.81	0.55	0.00	15.29	12.0000	0.01	14.0000	0.07	23.6000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

April 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	64.76	5.48	5.10	24.65

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	34.23	27.06	24.89	9.04	60.35	10,770.31	11.9784	23.42	9.7289	134.08	14.0476	7,392.58	9.2324
Jane Street Capital	23.71	30.15	25.53	10.05	9.22	14,988.76	11.9276	781.46	11.9136	597.22	12.0070	3,960.07	6.4598
HRT	16.43	21.72	19.72	13.00	2.49	10,126.92	11.9168	211.45	11.7590	89.18	16.0216	541.03	7.8184
CITADEL SECURITIES LLC	9.07	6.73	8.95	10.17	15.02	5,451.96	12.0219	413.77	11.9460	124.92	12.3645	5,517.47	7.0123
StoneX	6.18	4.10	3.97	5.24	12.35	1,386.46	11.9969	0.07	0.8462	1.87	14.6908	3,271.22	6.6041

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	3.45	4.90	4.27	0.74	0.00	2,840.58	11.9991	74.07	11.8521	131.58	12.0129	0.00	0.0000
NYSE Arca, Inc.	1.91	0.00	4.40	30.90	0.36	0.00	0.0000	-260.14	-9.3836	-427.99	-1.9258	-71.98	-1.8276
G1 Execution Services, LLC	1.84	2.63	2.53	0.00	0.00	1,544.64	11.9252	3.20	10.2107	0.00	0.0000	0.00	0.0000
CODA MARKETS INC	1.16	1.79	0.08	0.00	0.00	449.09	11.9990	0.01	9.0000	0.00	0.0000	0.00	0.0000
GTS SECURITIES LLC	0.66	0.91	0.85	0.44	0.00	467.67	11.9989	3.85	11.4571	0.12	14.5185	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

April 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.68	39.97	58.96	0.39

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	33.27	32.40	36.57	31.10	25.91	155.92	20.7893	24,234.77	19.1001	22,138.65	19.5619	271.58	23.9070
Wolverine Execution Services, LLC	24.10	24.44	22.32	25.26	30.75	245.84	21.0299	23,076.69	21.3768	18,735.28	21.8929	508.36	22.0930
CITADEL SECURITIES LLC	18.41	16.34	17.37	19.12	19.13	155.14	30.7216	18,371.82	20.9954	14,310.79	21.5016	277.87	20.8613
Global Execution Brokers LP	13.60	14.66	13.28	13.81	12.83	149.90	23.3483	12,074.66	20.6345	10,830.66	21.5172	131.02	25.1470
WATERSHED	10.55	12.15	10.43	10.67	0.24	185.04	25.2787	10,701.28	21.5469	7,826.24	22.0495	2.40	20.0000
Matrix Executions, LLC / Simplex Trading, LLC	0.03	0.00	0.02	0.04	0.00	0.00	0.0000	32.69	19.6916	18.06	26.9612	0.00	0.0000
EDGXOPT	0.02	0.00	0.00	0.00	5.57	0.00	0.0000	0.00	0.0000	0.00	0.0000	1.31	0.6833
NQBXOPT	0.01	0.00	0.00	0.00	1.69	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cboe Exchange, Inc.	0.00	0.00	0.00	0.00	0.97	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
NSDQOPT	0.00	0.00	0.00	0.00	0.48	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

May 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	73.80	0.95	6.17	19.09

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.45	27.20	13.82	8.84	66.54	646.22	12.0000	0.13	12.2243	1.01	22.7865	341.04	20.0832

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	24.36	29.93	13.57	9.49	8.14	677.01	12.0000	0.13	12.2286	1.14	23.9247	225.67	24.0000
HRT	17.52	22.10	14.34	11.08	2.07	464.68	12.0000	0.14	12.2162	1.30	23.3548	55.96	24.0000
CITADEL SECURITIES LLC	6.55	4.63	6.20	11.83	12.31	119.01	12.0000	0.06	12.5000	1.35	22.6309	229.72	24.0000
StoneX	3.85	2.38	1.68	0.50	10.71	51.33	12.0000	0.02	12.0000	0.06	23.5200	275.68	24.0000
Canaccord Genuity Securities	3.68	4.89	2.45	0.73	0.00	120.76	12.0000	0.03	13.8947	0.09	23.6757	0.00	0.0000
GTS SECURITIES LLC	3.11	4.01	1.68	2.14	0.00	95.81	12.0000	0.02	12.9231	0.25	23.2222	0.00	0.0000
NYSE Arca, Inc.	2.31	0.00	26.74	32.90	0.15	0.00	0.0000	-8.68	-5.8490	-42.96	-6.3260	2.77	21.9193
G1 Execution Services, LLC	2.00	2.69	1.16	0.00	0.00	58.47	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
CODA MARKETS INC	1.60	2.17	0.26	0.00	0.00	38.07	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	63.87	4.27	5.38	26.48

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.36	27.03	22.52	7.79	55.57	12,020.96	11.9816	93.31	11.4851	84.21	12.0605	10,111.20	5.3401
Jane Street Capital	23.61	30.06	23.31	7.86	11.32	17,392.49	11.9638	833.08	11.9379	66.91	12.1272	6,412.54	3.6693
HRT	16.19	22.02	18.98	10.33	2.89	12,158.58	11.9726	167.84	11.7576	50.49	22.0775	721.57	5.9031
CITADEL SECURITIES LLC	8.51	5.57	7.31	7.80	15.93	6,970.04	11.9957	564.78	11.9727	67.04	12.1551	8,468.11	3.5576
StoneX	5.23	2.33	2.12	0.26	13.74	893.03	11.9924	19.26	11.7639	0.13	24.0000	5,065.62	6.3719
Canaccord Genuity Securities	3.27	4.79	4.34	0.45	0.00	3,508.59	11.9985	105.35	11.9098	29.37	12.0408	0.00	0.0000
GTS SECURITIES LLC	2.90	4.18	3.15	1.79	0.00	1,855.59	11.9850	0.06	1.1094	4.83	22.5806	0.00	0.0000
NYSE Arca, Inc.	2.60	0.00	7.67	40.53	0.34	0.00	0.0000	-250.67	-3.4647	-907.11	-1.1020	-114.93	-1.8097
G1 Execution Services, LLC	1.91	2.83	2.40	0.00	0.00	2,257.28	11.9987	50.16	11.8916	0.00	0.0000	0.00	0.0000
CODA MARKETS INC	0.77	1.20	0.06	0.00	0.00	338.64	12.0000	0.01	9.6000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

May 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.88	39.76	58.97	0.39

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Wolverine Execution Services, LLC	24.83	23.76	22.65	26.26	32.87	239.24	26.7906	25,961.47	21.9625	19,988.88	22.8818	536.32	21.4442
Dash Financial Technologies	23.16	24.59	27.88	20.01	14.22	196.94	20.6224	20,598.30	19.0515	15,252.22	19.9076	142.80	24.6207
Global Execution Brokers LP	21.33	19.92	20.47	21.93	22.38	145.95	25.6958	20,999.09	21.4761	17,452.53	22.7187	215.23	24.1021
CITADEL SECURITIES LLC	19.63	19.81	18.11	20.66	18.18	358.48	27.2816	18,430.30	21.8799	15,848.13	22.7530	113.82	25.3488
WATERSHED	11.05	11.93	10.90	11.14	10.02	123.88	25.5423	12,817.48	22.6746	9,113.56	21.7627	131.88	22.9756
EDGXOPT	0.01	0.00	0.00	0.00	1.40	0.00	0.0000	0.00	0.0000	0.00	0.0000	2.07	0.8000
other	0.00	0.00	0.00	0.00	0.47	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Miami International Securities Exchange, LLC	0.00	0.00	0.00	0.00	0.23	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cboe Exchange, Inc.	0.00	0.00	0.00	0.00	0.23	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

June 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	73.13	0.91	5.50	20.46

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	28.98	19.21	13.11	5.69	70.90	588.56	12.0000	0.14	12.2124	0.68	22.9017	568.46	20.6640
Jane Street Capital	24.17	30.25	13.46	8.81	7.05	938.44	12.0000	0.14	12.1034	1.09	23.8687	338.56	24.0000
HRT	17.15	21.95	13.46	11.15	1.76	726.65	12.0000	0.14	12.4138	1.35	23.2941	72.96	24.0000
GTS SECURITIES LLC	6.79	8.96	4.06	3.64	0.00	300.41	12.0000	0.04	12.0000	0.44	23.3016	0.00	0.0000
CITADEL SECURITIES LLC	6.14	4.64	4.76	10.07	10.50	134.60	12.0000	0.05	13.1707	1.20	23.0575	452.19	24.0000
StoneX	5.15	4.30	1.39	0.66	9.56	144.98	12.0000	0.02	14.0000	0.08	22.2353	397.86	24.0000
Canaccord Genuity Securities	3.69	4.97	2.09	0.62	0.00	138.36	12.0000	0.02	12.6667	0.08	24.0000	0.00	0.0000
G1 Execution Services, LLC	3.00	4.07	2.67	0.00	0.00	149.63	12.0000	0.03	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.81	0.00	23.90	28.35	0.14	0.00	0.0000	-36.01	-19.8360	13.12	1.8043	0.84	3.6677
CODA MARKETS INC	1.22	1.66	0.58	0.00	0.00	31.80	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

June 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	60.83	9.73	6.40	23.04

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	27.37	20.04	18.19	6.45	56.41	8,068.33	11.9103	52.79	10.3737	96.53	20.3888	5,746.11	6.2101
Jane Street Capital	24.02	30.05	26.65	8.60	11.29	14,369.92	11.9615	397.68	11.6409	318.04	13.5592	4,424.35	5.0422
HRT	16.81	21.79	20.74	13.84	2.83	9,512.02	11.9873	202.22	11.4652	151.29	12.3351	519.47	6.1936
CITADEL SECURITIES LLC	8.08	5.62	6.52	8.00	15.25	4,620.95	11.9024	217.42	11.8447	288.91	12.0028	5,364.74	5.6652
GTS SECURITIES LLC	6.22	8.49	8.43	3.72	0.00	3,330.37	11.9845	272.44	11.8325	72.80	14.9806	0.00	0.0000
StoneX	6.07	4.15	3.83	0.39	13.64	1,394.46	11.9955	0.04	0.2707	0.21	20.6400	3,972.34	6.5047
Canaccord Genuity Securities	3.46	4.90	4.62	0.53	0.00	2,317.67	11.9941	92.87	11.7366	0.24	17.8686	0.00	0.0000
G1 Execution Services, LLC	2.83	4.03	3.91	0.00	0.00	2,045.70	11.9939	21.07	11.0479	106.74	12.0000	0.00	0.0000
NYSE Arca, Inc.	2.37	0.00	2.92	31.44	0.31	0.00	0.0000	-402.71	-7.1005	-962.08	-3.8005	-112.30	-4.3898
The Nasdaq Stock Market	0.92	0.00	0.61	12.83	0.16	0.00	0.0000	-145.24	-22.4535	-83.00	-1.1203	8.99	0.8590

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

June 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.78	40.17	58.45	0.60

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	26.30	28.20	24.76	27.29	29.44	317.51	23.6244	27,089.22	21.3570	23,002.16	22.0680	338.15	23.4665
Wolverine Execution Services, LLC	22.08	21.39	19.74	23.66	26.95	147.60	24.2365	23,711.94	21.5793	19,426.96	22.0794	488.36	23.1122

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Global Execution Brokers LP	20.61	19.12	19.59	21.33	20.72	234.97	22.1877	22,696.62	21.3457	17,091.74	21.8464	200.26	23.0985
Dash Financial Technologies	20.51	20.55	25.61	17.08	12.46	127.61	19.8766	23,207.42	18.5097	12,041.42	19.5770	156.38	19.8962
WATERSHED	10.26	10.63	10.05	10.39	10.44	76.00	23.9748	12,119.96	22.5031	7,816.48	21.9613	91.88	26.0283
Matrix Executions, LLC / Simplex Trading, LLC	0.25	0.12	0.26	0.25	0.00	0.17	16.8000	192.30	21.9525	126.20	21.8339	0.00	0.0000