

**Report for RBC Wealth Management, a division of RBC
Capital Markets, LLC
SEC Rule 606 Report Disclosure
3rd Quarter, 2019**

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Virtu Financial, Inc.	31.43%	30.90%	42.11%	31.76%
G1 Execution Services, LLC	30.81%	31.06%	25.18%	33.04%
Citadel Securities, LLC	26.66%	26.84%	24.31%	22.02%
UBS Capital Markets	11.10%	11.20%	8.40%	13.17%
Total Orders	100.00%	94.07%	4.64%	1.29%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Virtu Financial, Inc.	30.51%	29.63%	44.44%	31.95%
G1 Execution Services, LLC	29.16%	29.43%	24.38%	30.08%
Citadel Securities, LLC	24.05%	24.29%	21.02%	21.43%
UBS Capital Markets	16.28%	16.65%	10.16%	16.53%
Total Orders	100.00%	92.57%	5.63%	1.80%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS Capital Markets	28.03%	28.43%	21.52%	19.93%
Virtu Financial, Inc.	26.23%	25.85%	33.55%	27.04%
G1 Execution Services, LLC	26.12%	26.27%	23.40%	24.70%
Citadel Securities, LLC	19.62%	19.44%	21.52%	28.34%
Total Orders	100.00%	94.32%	4.82%	0.86%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citigroup Global Markets, Inc.	78.24%	78.80%	77.48%	93.77%
Citadel Securities, LLC	16.57%	18.28%	15.27%	4.67%
Total Orders	99.98%	46.47%	52.63%	0.90%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

