

**Report for RBC Wealth Management, a division of RBC
Capital Markets, LLC
SEC Rule 606 Report Disclosure
3rd Quarter, 2018**

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
G1 Execution Services, LLC	35.74%	36.73%	22.51%	34.86%
Virtu Financial, Inc.	21.98%	21.89%	23.68%	19.91%
UBS Capital Markets	21.94%	20.68%	38.88%	21.98%
Citadel Securities, LLC	20.34%	20.70%	14.93%	23.25%
Total Orders	100.00%	91.81%	6.83%	1.36%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Virtu Financial, Inc.	27.89%	27.10%	34.34%	32.73%
UBS Capital Markets	25.51%	24.71%	34.54%	19.54%
G1 Execution Services, LLC	25.19%	25.88%	17.89%	27.83%
Citadel Securities, LLC	21.42%	22.31%	13.23%	19.90%
Total Orders	100.00%	88.65%	9.22%	2.13%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS Capital Markets	38.93%	37.31%	56.53%	37.13%
G1 Execution Services, LLC	21.38%	22.32%	10.72%	27.06%
Citadel Securities, LLC	19.98%	20.53%	13.84%	21.36%
Virtu Financial, Inc.	19.72%	19.84%	18.91%	14.45%
Total Orders	100.00%	90.74%	8.46%	0.80%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citigroup Global Markets, Inc.	77.47%	79.23%	74.88%	96.45%
Citadel Securities, LLC	18.38%	20.77%	17.14%	3.55%
Total Orders	99.98%	45.09%	52.00%	2.91%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

