

**Report for RBC Correspondent Services, a division of RBC  
Capital Markets, LLC  
SEC Rule 606 Report Disclosure  
3rd Quarter, 2019**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Virtu Financial, Inc.	36.03%	32.29%	49.38%	35.26%
G1 Execution Services, LLC	27.60%	29.06%	22.89%	26.31%
Citadel Securities, LLC	24.81%	26.65%	18.73%	23.61%
UBS Capital Markets	11.56%	12.00%	9.00%	14.81%
Total Orders	100.00%	72.75%	20.75%	6.50%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Virtu Financial, Inc.	42.10%	37.48%	52.06%	42.86%
G1 Execution Services, LLC	27.88%	27.54%	26.96%	36.46%
Citadel Securities, LLC	18.22%	21.25%	12.72%	12.56%
UBS Capital Markets	11.79%	13.74%	8.26%	8.12%
Total Orders	99.99%	64.63%	29.57%	5.80%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Virtu Financial, Inc.	38.58%	35.38%	55.67%	38.48%
G1 Execution Services, LLC	21.39%	22.08%	18.07%	19.09%
UBS Capital Markets	20.43%	22.42%	9.08%	25.15%
Citadel Securities, LLC	19.60%	20.12%	17.19%	17.27%
Total Orders	100.00%	82.25%	15.39%	2.36%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citigroup Global Markets, Inc.	75.60%	79.61%	74.22%	85.25%
Citadel Securities, LLC	19.60%	17.80%	20.27%	13.79%
Total Orders	99.98%	19.66%	77.48%	2.86%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

