

Report for INTL FCStone Financial Inc
SEC Rule 606 Report Disclosure
4th Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Execution Services	56.97%	72.91%	50.60%	28.48%
UBS Securities, LLC	18.71%	24.12%	36.12%	1.21%
J.P. Morgan Securities Inc.	12.82%	0.69%	7.02%	38.76%
Credit Suisse First Boston	2.15%	0.46%	1.95%	5.52%
Total Orders	100.00%	58.17%	11.95%	29.88%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Execution Services	44.08%	74.71%	22.80%	22.53%
J.P. Morgan Securities Inc.	22.67%	0.27%	30.58%	44.94%
UBS Securities, LLC	16.87%	23.72%	24.36%	1.66%
Credit Suisse First Boston	2.80%	0.57%	7.11%	2.03%
Merrill Lynch, Inc.	2.61%	0.18%	4.87%	3.85%
Clearpool Execution Services	2.21%	0.00%	1.56%	5.61%
Total Orders	100.00%	41.16%	27.03%	31.82%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Execution Services	59.57%	79.47%	35.30%	32.61%
UBS Securities, LLC	14.52%	18.87%	30.91%	1.10%
J.P. Morgan Securities Inc.	7.28%	0.24%	12.87%	17.88%
Credit Suisse First Boston	6.06%	0.91%	12.87%	12.84%
Clearpool Execution Services, LLC	4.22%	0.07%	1.01%	12.72%
Total Orders	100.00%	56.90%	11.10%	32.00%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Execution Services	76.32%	0.00%	0.00%	79.58%
Merrill Lynch, Inc.	16.58%	0.00%	0.00%	17.29%
Total Orders	100.00%	0.03%	4.08%	95.90%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

