Report for HSBC Securities, Inc.
SEC Rule 606 Report Disclosure
3rd Quarter, 2019

NYSE Listed Securities				
Orders routed to:	**Non-Directed Orders**	**Market Orders**	**Limit Orders**	**Other Orders***
Instinet, LLC	28.53%	36.66%	77.85%	0.17%
XNAS	19.51%	3.89%	3.62%	30.12%
PrimeClose	18.43%	0.00%	0.00%	30.77%
XNYS	12.84%	31.65%	8.70%	13.01%
BATS Y Exchange	3.36%	6.32%	2.33%	3.60%
UBS Capital Markets	2.95%	0.50%	0.06%	4.84%
CDED	2.77%	1.19%	0.11%	4.43%
Bats Trading Inc.	2.44%	5.67%	2.15%	2.23%
Total Orders	100.00%	6.79%	33.32%	59.89%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	**Non-Directed Orders**	**Market Orders**	**Limit Orders**	**Other Orders***
Instinet, LLC	50.57%	49.23%	81.11%	0.29%
XNAS	23.01%	27.65%	10.36%	42.79%
PrimeClose	10.60%	0.00%	0.00%	30.85%
Bats Trading Inc.	2.32%	5.80%	2.01%	1.96%
Nasdaq Stock Exchange	2.13%	4.94%	1.38%	2.67%
UBS Capital Markets	1.90%	0.74%	0.15%	5.10%
Total Orders	100.00%	8.68%	56.95%	34.37%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	**Non-Directed Orders**	**Market Orders**	**Limit Orders**	**Other Orders***
Instinet, LLC	68.13%	52.90%	83.54%	0.14%
NYSE Arca, Inc.	9.65%	33.22%	5.51%	7.78%
XNAS	6.45%	1.84%	2.72%	31.48%
BATS Y Exchange	4.44%	4.20%	2.46%	15.45%
Bats Trading Inc.	2.38%	3.21%	1.89%	4.13%
Total Orders	100.00%	13.84%	72.77%	13.39%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	**Non-Directed Orders**	**Market Orders**	**Limit Orders**	**Other Orders***
Interactive Brokers LLC	100.00%	100.00%	100.00%	0.00%
Total Orders	100.00%	5.14%	94.86%	0.00%

* Orders for which customer requests special handling

Material Aspects of Relationships with Route Venues