

Report for HSBC Securities, Inc.
SEC Rule 606 Report Disclosure
2nd Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	43.44%	38.08%	79.49%	4.81%
New York Stock Exchange	21.83%	40.69%	10.57%	29.65%
Nasdaq Stock Exchange	18.20%	4.89%	3.99%	37.22%
BATS Y Exchange	4.12%	4.84%	1.86%	6.44%
UBS Capital Markets	3.69%	0.23%	0.03%	8.59%
Total Orders	100.00%	10.47%	47.06%	42.47%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	63.32%	52.71%	81.94%	3.55%
Nasdaq Stock Exchange	22.86%	31.68%	12.93%	52.91%
New York Stock Exchange	4.20%	0.37%	0.07%	20.99%
Total Orders	100.00%	11.23%	69.21%	19.56%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	69.04%	47.15%	83.53%	3.12%
NYSE Arca, Inc.	14.49%	40.19%	7.63%	25.64%
Nasdaq Stock Exchange	5.11%	2.28%	2.62%	25.04%
BATS Y Exchange	4.23%	4.39%	2.14%	17.65%
Total Orders	100.00%	14.80%	73.87%	11.32%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Interactive Brokers LLC	100.00%	100.00%	100.00%	0.00%
Total Orders	100.00%	3.61%	96.39%	0.00%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

