# Report for HSBC Securities, Inc.
# SEC Rule 606 Report Disclosure
# 2nd Quarter, 2018

| NYSE Listed Securities | | | | |
|---|---|---|---|---|
| **Orders routed to:** | **Non-Directed Orders** | **Market Orders** | **Limit Orders** | **Other Orders*** |
| Instinet, LLC | 67.46% | 99.13% | 99.86% | 23.66% |
| New York Stock Exchange | 26.36% | 0.50% | 0.05% | 61.93% |
| Total Orders | 100.00% | 3.97% | 53.54% | 42.48% |

* Orders for which customer requests special handling

| NASDAQ Listed Securities | | | | |
|---|---|---|---|---|
| **Orders routed to:** | **Non-Directed Orders** | **Market Orders** | **Limit Orders** | **Other Orders*** |
| Instinet, LLC | 93.72% | 99.54% | 99.80% | 68.86% |
| Total Orders | 100.00% | 5.85% | 74.54% | 19.61% |

* Orders for which customer requests special handling

| Securities Listed on NYSE MKT/Regional Exchanges | | | | |
|---|---|---|---|---|
| **Orders routed to:** | **Non-Directed Orders** | **Market Orders** | **Limit Orders** | **Other Orders*** |
| Instinet, LLC | 98.35% | 99.93% | 99.99% | 66.41% |
| Total Orders | 100.00% | 9.32% | 85.81% | 4.86% |

* Orders for which customer requests special handling

| Exchange-Listed Options | | | | |
|---|---|---|---|---|
| **Orders routed to:** | **Non-Directed Orders** | **Market Orders** | **Limit Orders** | **Other Orders*** |
| Interactive Brokers LLC | 100.00% | 100.00% | 100.00% | 0.00% |
| Total Orders | 100.00% | 0.93% | 99.07% | 0.00% |

* Orders for which customer requests special handling

Material Aspects of Relationships with Route Venues