

Canaccord Genuity Securities - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2025

July 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	84.91	1.15	4.79	9.15

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	62.77	60.10	63.64	38.69	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	12.89	14.93	18.18	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	11.56	13.53	6.06	0.00	0.00	8.22	8.2200	0.52	8.2200	0.00	0.0000	0.00	0.0000
Jane Street Capital	9.81	11.39	12.12	0.00	0.00	1.70	1.0000	1.60	1.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
VIRTU Americas LLC (NITE): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue.

Two Sigma Securities, LLC:
TWO SIGMA (SOHO): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue, but SOHO passes back any SEC fees to CSTI.

Hudson River Trading (HRT):
HRT Financial LLC (HRTF): Canaccord Genuity LLC. (CSTI) receives remuneration ranging from \$0.0010 to \$0.0012 per share, according to the strategy employed. There is limited remuneration for sub-dollar stocks.

Jane Street Capital:
JANE STREEET CAPITAL, LLC (JNST): Canaccord Genuity LLC. (CSTI) receives remuneration from Jane Street Capital, LLC, ranging from \$0.0010 to \$0.0012 per share executed, based on the strategy employed. JaneStreet passes back to Canaccord any rebates received on Canaccord's orders.

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.29	9.07	12.97	5.67

Venues

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Virtu Americas, LLC	37.03	39.20	11.11	16.50	97.78	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	18.26	21.60	29.17	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	17.25	20.38	19.44	5.83	0.00	2.29	1.0000	15.00	1.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	16.25	18.82	29.17	0.00	0.00	13.96	8.2200	325.70	8.2200	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	10.96	0.00	9.72	76.70	2.22	0.00	0.0000	82.02	9.7300	336.73	9.7300	0.00	0.0000

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Cboe EDGX Exchange, Inc.:
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX): Canaccord Genuity LLC. (CSTI) is charged a fee or may receive a cash payment ranging from - \$0.0032 to \$0.0030 for order flow on a per shares basis. The per share cash payment or fee is calculated based upon a variety of factors including but not limited to, order size and whether an order provides or removes liquidity. For detailed information, please see the Exchange's public website: https://www.cboe.com/us/equities/membership/fee_schedule/edgx/

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

August 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	90.43	1.04	3.08	5.45

Venues

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Virtu Americas, LLC	63.52	62.00	61.54	44.16	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	11.93	13.06	11.54	0.00	0.00	15.85	8.8900	0.12	8.8900	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	11.41	12.40	19.23	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	11.09	12.18	7.69	0.00	0.00	1.86	1.2600	0.63	1.2600	0.00	0.0000	0.00	0.0000

Material Aspects:

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Hudson River Trading (HRT):

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August 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	71.66	9.08	14.85	4.42

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	44.17	52.23	16.22	8.26	91.67	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	14.23	0.00	4.05	90.91	8.33	0.00	0.0000	0.29	11.3808	271.82	11.3808	0.00	0.0000
Hudson River Trading (HRT)	13.87	15.58	29.73	0.00	0.00	60.28	8.8900	100.70	8.8900	0.00	0.0000	0.00	0.0000
Jane Street Capital	13.74	15.58	27.03	0.83	0.00	3.33	1.2600	13.10	1.2600	0.00	1.2600	0.00	0.0000
Two Sigma Securities, LLC	13.74	16.27	22.97	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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August 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

September 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	84.26	0.95	4.41	10.38

Venues

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Virtu Americas, LLC	65.17	64.25	53.85	15.70	94.74	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (HRT)	11.33	12.75	15.38	0.00	4.21	11.20	10.9000	59.66	10.9000	0.00	0.0000	196.25	10.9000

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Two Sigma Securities, LLC	10.02	11.67	7.69	0.00	1.05	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	9.40	11.11	3.85	0.00	0.00	3.04	3.9100	0.52	3.9100	0.00	0.0000	0.00	0.0000

Material Aspects:

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September 2025

Non-S&P 500 Stocks

Summary

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100.00	71.32	8.46	14.46	5.76

Venues

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Virtu Americas, LLC	46.94	52.58	23.19	16.10	89.36	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	13.85	16.67	20.29	1.69	0.00	8.74	3.9100	14.77	3.9100	0.25	3.9100	0.00	0.0000
Hudson River Trading (HRT)	13.60	14.95	33.33	0.00	2.13	5.33	10.9000	304.43	10.9000	0.00	0.0000	11.85	10.9000
Two Sigma Securities, LLC	12.99	15.81	18.84	0.00	2.13	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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Cboe EDGX Exchange, Inc.	12.50	0.00	4.35	82.20	4.26	0.00	0.0000	1.71	11.1100	234.86	11.1100	0.00	0.0000

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September 2025

Options

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-	-	-	-	-

Venues

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