

# Canaccord Genuity Securities - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2025

January 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	89.46	3.41	5.51	1.63

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	52.38	54.02	33.91	23.12	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	15.01	15.95	21.74	0.00	0.00	62.47	9.0000	42.86	9.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	14.10	15.16	15.65	0.00	0.00	26.62	4.1204	13.52	4.1204	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	14.01	14.86	20.87	0.00	0.00	32.74	6.0901	28.69	6.0901	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:  
VIRTU Americas LLC (NITE): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue.

Hudson River Trading (Hrt):  
HRT Financial LLC (HRTF): Canaccord Genuity LLC. (CSTI) receives remuneration ranging from \$0.0010 to \$0.0012 per share, according to the strategy employed. There is limited remuneration for sub-dollar stocks.

Jane Street Capital:  
JANE STREET CAPITAL, LLC (JNST): Canaccord Genuity LLC. (CSTI) receives remuneration from Jane Street Capital, LLC, ranging from \$0.0010 to \$0.0012 per share executed, based on the strategy employed. JaneStreet passes back to Canaccord any rebates received on Canaccord's orders.

Two Sigma Securities, LLC:  
TWO SIGMA (SOHO): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue, but SOHO passes back any SEC fees to CSTI.

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Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	65.61	12.43	16.09	5.88

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	29.19	31.13	11.63	8.98	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	22.83	27.90	36.43	0.00	0.00	49.65	9.0000	786.32	9.0000	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	16.67	0.00	16.28	91.02	0.00	0.00	0.0000	204.05	8.2411	781.44	8.2411	0.00	0.0000
Two Sigma Securities, LLC	15.90	21.29	15.50	0.00	0.00	28.51	6.0901	83.78	6.0901	0.00	0.0000	0.00	0.0000
Jane Street Capital	14.84	19.24	17.83	0.00	0.00	14.67	4.1204	88.50	4.1204	0.00	0.0000	0.00	0.0000

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Cboe EDGX Exchange, Inc.:  
CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX): Canaccord Genuity LLC. (CSTI) is charged a fee or may receive a cash payment ranging from - \$0.0032 to \$0.0030 for order flow on a per shares basis. The per share cash payment or fee is calculated based upon a variety of factors including but not limited to, order size and whether an order provides or removes liquidity. For detailed information, please see the Exchange's public website: [https://www.cboe.com/us/equities/membership/fee\\_schedule/edgx/](https://www.cboe.com/us/equities/membership/fee_schedule/edgx/)

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Two SIGMA SECURITIES (SOHO): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue.

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Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

February 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	81.71	4.97	5.26	8.06

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	56.92	55.34	40.38	30.91	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	13.32	15.41	14.74	0.00	0.00	31.90	8.9884	94.38	8.9884	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	12.91	14.59	19.87	0.00	0.00	52.02	6.7773	94.99	6.7773	0.00	0.0000	0.00	0.0000
Jane Street Capital	12.81	14.55	18.59	0.00	0.00	2.95	0.7600	5.61	0.7600	0.00	0.0000	0.00	0.0000

Material Aspects:

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TWO SIGMA (SOHO): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue, but SOHO passes back any SEC fees to CSTI.

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February 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	62.65	14.43	15.61	7.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	31.03	33.91	9.59	6.96	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	19.17	24.45	26.71	0.00	0.00	28.54	8.9884	346.36	8.9884	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	17.00	21.45	24.66	0.00	0.00	26.37	6.7773	75.23	6.7773	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	16.50	0.00	14.38	92.41	0.00	0.00	0.0000	108.20	8.3776	355.50	8.3776	0.00	0.0000
Jane Street Capital	15.81	20.19	21.92	0.00	0.00	2.91	0.7600	10.36	0.7600	0.00	0.0000	0.00	0.0000

Material Aspects:

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February 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

March 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	91.82	3.56	4.27	0.35

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	47.73	48.66	43.96	26.61	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	17.54	18.38	18.68	0.00	0.00	25.67	8.5000	14.07	8.5000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	15.74	16.50	16.48	0.00	0.00	26.91	9.8864	11.03	9.8864	0.00	0.0000	0.00	0.0000

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Jane Street Capital	15.70	16.46	16.48	0.00	0.00	1.16	0.5500	1.46	0.5500	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:  
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Hudson River Trading (Hrt):  
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March 2025

Non-S&P 500 Stocks

Summary

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100.00	77.81	12.86	7.71	1.62

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Hudson River Trading (Hrt)	26.66	29.22	29.47	1.75	0.00	44.15	8.5000	293.02	8.5000	15.07	8.5000	0.00	0.0000
Virtu Americas, LLC	23.95	27.83	3.16	3.51	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	21.65	23.30	26.32	1.75	0.00	40.25	9.8864	128.51	9.8864	0.00	0.0000	0.00	0.0000
Jane Street Capital	19.08	19.65	28.42	1.75	0.00	1.22	0.5500	5.01	0.5500	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	7.98	0.00	11.58	84.21	0.00	0.00	0.0000	96.54	10.5611	439.58	10.5611	0.00	0.0000

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March 2025

Options

Summary

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