

Canaccord Genuity Securities - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2024

October 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	80.41	6.03	11.83	1.73

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	47.29	49.16	36.52	32.38	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	16.44	18.93	20.22	0.00	0.00	48.64	10.3219	7.84	8.3308	0.00	0.0000	0.00	0.0000
Jane Street Capital	13.86	16.19	14.04	0.00	0.00	12.15	2.3257	2.27	7.3533	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	13.76	15.73	18.54	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	8.64	0.00	10.67	67.62	0.00	0.00	0.0000	-14.65	-23.4442	18.99	19.0351	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
VIRTU Americas LLC (NITE): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue.

Hudson River Trading (Hrt):
HRT Financial LLC (HRTF): Canaccord Genuity LLC. (CSTI) receives remuneration ranging from \$0.0010 to \$0.0012 per share, according to the strategy employed. There is limited remuneration for sub-dollar stocks.

Jane Street Capital:
JANE STREEET CAPITAL, LLC (JNST): Canaccord Genuity LLC. (CSTI) receives remuneration from Jane Street Capital, LLC, ranging from \$0.0010 to \$0.0012 per share executed, based on the strategy employed. JaneStreet passes back to Canaccord any rebates received on Canaccord's orders.

Two Sigma Securities, LLC:
TWO SIGMA (SOHO): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue, but SOHO passes back any SEC fees to CSTI.

Cboe EDGX Exchange, Inc.:

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX): Canaccord Genuity LLC. (CSTI) is charged a fee or may receive a cash payment ranging from - \$0.0032 to \$0.0030 for order flow on a per share basis. The per share cash payment or fee is calculated based upon a variety of factors including but not limited to, order size and whether an order provides or removes liquidity. For detailed information, please see the Exchange's public website: https://www.cboe.com/us/equities/membership/fee_schedule/edgx/

October 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	56.44	15.89	25.75	1.92

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	26.17	30.37	15.26	18.18	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	22.91	0.15	14.21	79.87	0.00	-0.22	-1.3883	-84.62	-15.3753	46.75	14.9619	0.00	0.0000
Hudson River Trading (Hrt)	18.39	25.63	24.74	0.00	0.00	23.99	7.2779	91.32	13.5505	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	16.72	22.67	21.58	1.95	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	15.55	21.04	23.16	0.00	0.00	9.89	2.4981	53.10	8.2222	0.00	0.0000	0.00	0.0000

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TWO SIGMA SECURITIES (SOHO): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue.

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October 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

November 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	81.33	4.85	9.91	3.91

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	52.42	51.73	46.27	43.07	98.15	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	14.69	17.17	13.43	0.73	0.00	33.61	10.0081	1.96	10.7329	4.56	6.6841	0.00	0.0000
Jane Street Capital	13.68	15.79	17.16	0.00	0.00	10.14	2.3309	1.52	7.0148	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	13.02	15.26	12.69	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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Cboe EDGX Exchange, Inc.	5.90	0.04	9.70	54.01	0.93	-0.25	-8.7345	-9.61	-15.7180	11.07	20.7902	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

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Hudson River Trading (Hrt):

HRT Financial LLC (HRTF): Canaccord Genuity LLC. (CSTI) receives remuneration ranging from \$0.0010 to \$0.0012 per share, according to the strategy employed. There is limited remuneration for sub-dollar stocks.

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Two Sigma Securities, LLC:

TWO SIGMA (SOHO): No material relationship exists with between CANACCORD GENUITY LLC. (CSTI) and this venue, but SOHO passes back any SEC fees to CSTI.

Cboe EDGX Exchange, Inc.:

CBOE EDGX U.S. EQUITIES EXCHANGE (EDGX): Canaccord Genuity LLC. (CSTI) is charged a fee or may receive a cash payment ranging from - \$0.0032 to \$0.0030 for order flow on a per share basis. The per share cash payment or fee is calculated based upon a variety of factors including but not limited to, order size and whether an order provides or removes liquidity. For detailed information, please see the Exchange's public website: https://www.cboe.com/us/equities/membership/fee_schedule/edgx/

November 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	38.26	25.45	34.25	2.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Cboe EDGX Exchange, Inc.	31.42	0.41	12.35	81.88	3.85	-0.67	-1.0867	-92.43	-15.7597	88.01	11.1661	0.00	0.0000
Virtu Americas, LLC	27.81	40.86	17.28	16.97	96.15	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	15.00	22.59	24.38	0.46	0.00	33.11	7.8994	160.53	12.9593	7.28	7.2069	0.00	0.0000

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Two Sigma Securities, LLC	13.28	19.10	23.46	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	11.70	17.04	20.37	0.00	0.00	9.36	2.7530	72.82	7.7391	0.00	0.0000	0.00	0.0000

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Summary

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Venues

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December 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	87.23	5.35	6.28	1.14

Venues

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Virtu Americas, LLC	51.98	52.76	54.00	30.68	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	15.66	16.81	18.67	0.00	0.00	36.66	9.9939	3.85	10.9115	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	14.06	15.38	12.00	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Jane Street Capital	13.66	15.05	10.00	0.00	0.00	8.58	2.0416	0.73	5.8547	0.00	0.0000	0.00	0.0000

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Non-S&P 500 Stocks

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100.00	52.84	17.68	27.76	1.71

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Cboe EDGX Exchange, Inc.	29.26	0.00	19.39	93.05	0.00	0.00	0.0000	-48.98	-16.1557	57.95	14.1288	0.00	0.0000
Virtu Americas, LLC	25.19	38.34	9.70	5.41	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	16.29	22.52	24.85	0.00	0.00	40.90	8.8076	105.18	13.9215	0.00	0.0000	0.00	0.0000
Jane Street Capital	14.58	19.47	24.24	0.00	0.00	14.24	3.3774	55.22	8.0942	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	14.15	19.68	20.61	0.39	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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December 2024

Options

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-	-	-	-	-

Venues

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