

**Report for Apex Clearing Corporation**  
**SEC Rule 606 Report Disclosure**  
**1st Quarter, 2019**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	36.55%	0.58%	56.61%	20.40%
Virtu Financial, Inc.	23.92%	32.54%	16.22%	41.53%
Citadel Securities, LLC	19.44%	20.49%	16.11%	32.94%
G1 Execution Services, LLC	13.68%	31.93%	8.09%	0.09%
Total Orders	48.58%	27.69%	59.76%	12.56%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	42.00%	1.05%	54.53%	12.47%
Virtu Financial, Inc.	22.11%	28.51%	16.51%	57.90%
Citadel Securities, LLC	20.52%	26.65%	18.50%	26.18%
G1 Execution Services, LLC	10.06%	28.98%	7.00%	0.32%
Total Orders	67.31%	16.57%	74.70%	8.73%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	42.94%	0.73%	56.74%	1.76%
Citadel Securities, LLC	28.12%	49.43%	21.68%	42.32%
Virtu Financial, Inc.	11.87%	13.68%	8.35%	49.81%
Two Sigma Securities, LLC	7.92%	17.03%	6.30%	0.01%
Total Orders	69.58%	18.66%	75.25%	6.09%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	30.48%	39.40%	29.71%	33.64%
Susquehanna Capital Group	26.65%	19.22%	27.29%	24.41%
Citigroup Global Markets Inc.	16.85%	10.34%	17.40%	15.70%
Dash Financial Technologies	11.33%	20.16%	10.54%	16.20%
Instinet, LLC	6.00%	1.20%	6.47%	0.70%
Wolverine Execution Services, LLC	5.44%	3.02%	5.65%	4.22%
Total Orders	49.02%	7.46%	91.25%	1.28%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

