

# Altruist Financial LLC - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2024

January 2024

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.79	0.07	0.14	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Hudson River Trading (Hrt)	60.04	60.03	58.06	67.19	0.00	1,248.66	15.0000	3.95	15.0000	2.88	15.0000	0.00	0.0000
Virtu Americas, LLC	29.95	29.96	29.03	23.44	0.00	584.25	15.0000	2.54	15.0000	0.67	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	10.01	10.01	12.90	9.38	0.00	257.51	15.0000	0.26	15.0000	0.32	15.0000	0.00	0.0000

### Material Aspects:

Hudson River Trading (Hrt):  
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

Virtu Americas, LLC:  
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

CITADEL SECURITIES LLC:  
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

January 2024

## Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.69	0.10	0.20	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Hudson River Trading (Hrt)	60.22	60.24	55.10	56.32	0.00	13,153.06	14.7748	159.65	15.0000	52.75	15.0000	0.00	0.0000
Virtu Americas, LLC	29.88	29.87	32.65	34.21	0.00	6,640.89	14.3006	72.40	15.0000	40.90	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	9.89	9.89	12.24	9.47	0.00	2,631.24	14.9079	36.94	15.0000	5.11	15.0000	0.00	0.0000

Material Aspects:

Hudson River Trading (Hrt):  
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

Virtu Americas, LLC:  
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

CITADEL SECURITIES LLC:  
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

January 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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February 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.78	0.05	0.17	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	59.76	59.77	71.43	48.00	0.00	1,258.39	15.0000	1.60	15.0000	0.79	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	30.26	30.25	19.05	40.00	0.00	585.47	14.9996	0.33	15.0000	2.14	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	9.98	9.98	9.52	12.00	0.00	242.54	15.0000	0.04	15.0000	0.12	15.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:  
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

CITADEL SECURITIES LLC:  
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

Hudson River Trading (Hrt):  
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

February 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.79	0.06	0.15	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	60.07	60.06	64.91	63.70	0.00	13,046.01	14.0317	67.56	14.2863	20.52	14.5224	0.00	0.0000
CITADEL SECURITIES LLC	29.93	29.94	24.56	28.15	0.00	6,577.58	14.9395	36.02	14.9639	24.26	14.7942	0.00	0.0000
Hudson River Trading (Hrt)	10.00	10.00	10.53	8.15	0.00	2,279.02	14.8512	5.67	15.0000	3.07	12.4150	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:  
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

CITADEL SECURITIES LLC:  
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

Hudson River Trading (Hrt):  
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

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February 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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March 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.59	0.12	0.29	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	59.91	59.96	39.29	53.73	0.00	960.68	15.0000	6.52	15.0000	4.41	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	30.11	30.09	41.07	32.84	0.00	423.42	15.0000	17.62	15.0000	1.91	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	9.98	9.96	19.64	13.43	0.00	144.94	14.9998	1.66	15.0000	0.09	15.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:  
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

Hudson River Trading (Hrt):  
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

CITADEL SECURITIES LLC:  
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

March 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.58	0.06	0.35	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	59.96	59.95	63.33	61.36	0.00	11,462.23	14.8842	113.36	10.7375	410.34	12.3018	0.00	0.0000
Hudson River Trading (Hrt)	30.02	30.02	23.33	29.79	0.00	5,414.85	14.4319	93.42	14.9760	149.77	14.8145	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	10.02	10.03	13.33	8.85	0.00	2,120.88	14.9482	18.63	15.0000	37.24	15.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:  
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

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March 2024

Options

Summary

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Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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