

Altruist Financial LLC - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2023

July 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.22	0.08	0.70	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Hudson River Trading (Hrt)	33.55	33.55	22.58	34.62	0.00	278.60	15.0000	0.38	15.0000	0.21	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	33.27	33.29	45.16	30.00	0.00	340.19	15.0002	0.44	14.8649	0.32	14.9533	0.00	0.0000
Virtu Americas, LLC	33.18	33.16	32.26	35.38	0.00	298.85	15.0000	0.47	15.0000	1.39	15.0000	0.00	0.0000

Material Aspects:

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

July 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.42	0.13	0.46	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	33.41	33.41	35.16	32.42	0.00	5,636.42	12.6513	42.71	8.8115	89.65	8.9529	0.00	0.0000
Virtu Americas, LLC	33.32	33.32	30.77	33.64	0.00	5,420.80	14.4317	13.83	15.0000	53.48	3.9899	0.00	0.0000
Hudson River Trading (Hrt)	33.27	33.26	34.07	33.94	0.00	5,468.47	12.1737	34.16	15.0000	80.35	10.9334	0.00	0.0000

July 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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August 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.84	0.04	0.11	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	59.99	60.00	55.00	50.98	0.00	563.89	14.9999	0.99	15.0456	3.63	15.0062	0.00	0.0000
Virtu Americas, LLC	30.11	30.10	35.00	35.29	0.00	405.43	15.0000	0.62	15.0000	2.13	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	9.91	9.90	10.00	13.73	0.00	97.97	15.0000	0.52	15.0000	1.14	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

August 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.27	0.16	0.57	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	60.00	59.99	60.32	61.56	0.00	12,165.39	14.9270	118.43	14.7299	195.12	10.5309	0.00	0.0000
Virtu Americas, LLC	29.92	29.93	25.40	29.11	0.00	4,946.20	14.7757	32.52	14.9586	86.15	14.2319	0.00	0.0000
Hudson River Trading (Hrt)	10.08	10.08	14.29	9.33	0.00	1,657.66	14.1418	17.13	15.0000	21.95	14.3049	0.00	0.0000

August 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

September 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.82	0.03	0.15	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	59.95	59.96	50.00	56.36	0.00	645.93	14.9850	1.52	14.9606	0.16	14.5455	0.00	0.0000
Virtu Americas, LLC	30.08	30.07	25.00	34.55	0.00	315.30	15.0000	0.26	15.0000	0.42	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	9.97	9.97	25.00	9.09	0.00	85.68	15.0000	0.10	15.0000	0.01	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

Virtu Americas, LLC:

For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

September 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.53	0.16	0.30	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	60.03	60.03	61.98	60.44	0.00	10,824.54	13.8739	99.63	12.3366	150.22	9.7011	0.00	0.0000
Virtu Americas, LLC	29.98	29.99	24.79	29.33	0.00	6,254.15	13.9211	52.37	13.9954	63.93	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	9.99	9.98	13.22	10.22	0.00	1,648.21	14.4063	30.94	15.0000	24.25	15.0000	0.00	0.0000

September 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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