

Altruist Financial LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2023

April 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.59	0.05	0.36	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	87.54	87.58	75.00	77.78	0.00	221.70	14.7886	0.00	0.0000	1.54	14.9709	0.00	0.0000
Virtu Americas, LLC	12.44	12.42	0.00	18.52	0.00	36.61	15.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Hudson River Trading (Hrt)	0.03	0.00	25.00	3.70	0.00	0.00	0.0000	0.00	15.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC.

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

April 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.72	0.05	0.23	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	83.57	83.59	88.89	73.17	0.00	3,946.40	14.5503	0.00	0.0000	7.10	14.4832	0.00	0.0000
Virtu Americas, LLC	16.41	16.39	11.11	24.39	0.00	493.15	14.8732	1.27	15.0000	4.35	7.1032	0.00	0.0000
Hudson River Trading (Hrt)	0.02	0.02	0.00	2.44	0.00	0.00	15.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

April 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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May 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.37	0.09	0.54	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	33.94	33.96	43.75	29.53	0.00	350.96	15.0000	0.00	0.0000	2.14	15.0000	0.00	0.0000
Virtu Americas, LLC	33.79	33.78	28.12	35.75	0.00	339.10	15.0000	1.34	15.0000	0.52	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	32.27	32.26	28.12	34.72	0.00	319.20	15.0000	0.17	15.0000	2.10	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
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Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

May 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.56	0.10	0.33	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	34.49	34.47	34.25	41.35	0.00	5,496.54	14.8946	0.00	0.0000	307.10	14.9854	0.00	0.0000
Virtu Americas, LLC	33.61	33.63	35.62	27.00	0.00	5,342.65	14.8417	90.08	14.9502	40.98	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	31.90	31.90	30.14	31.65	0.00	5,465.66	14.7850	62.04	6.7060	47.36	14.7500	0.00	0.0000

May 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

June 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.38	0.06	0.56	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	33.51	33.48	60.00	34.80	0.00	402.54	15.0000	0.00	0.0000	2.54	15.0000	0.00	0.0000
Virtu Americas, LLC	33.36	33.37	25.00	33.33	0.00	385.17	15.0000	1.41	15.0000	0.08	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	33.13	33.15	15.00	31.86	0.00	334.57	15.0000	0.01	15.0000	0.88	15.0000	0.00	0.0000

Material Aspects:

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Virtu Americas, LLC:

For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT).

June 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.33	0.08	0.60	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Hudson River Trading (Hrt)	33.49	33.49	37.74	32.54	0.00	5,570.77	14.4701	49.87	15.0000	103.41	6.4696	0.00	0.0000
CITADEL SECURITIES LLC	33.28	33.27	39.62	33.97	0.00	5,414.96	14.5302	0.00	0.0000	118.72	10.1034	0.00	0.0000
Virtu Americas, LLC	33.23	33.24	22.64	33.49	0.00	5,682.56	14.1062	3.50	15.0000	134.21	14.5940	0.00	0.0000

June 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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