

Altruist Financial LLC - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.36	0.23	0.41	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	60.04	60.06	61.76	56.10	0.00	1,680.78	15.0000	3.61	15.0166	2.88	15.0470	0.00	0.0000
Virtu Americas, LLC	30.03	30.00	29.41	37.40	0.00	805.72	15.0000	0.83	15.0000	0.89	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	8.97	8.98	8.82	6.50	0.00	265.55	15.0000	0.09	15.0000	0.82	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:

For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Virtu Americas, LLC:

For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Hudson River Trading (Hrt):

For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

July 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.56	0.17	0.27	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	60.02	60.02	66.39	55.90	0.00	15,464.53	14.7243	677.08	15.0000	122.41	13.3627	0.00	0.0000
Virtu Americas, LLC	29.95	29.95	24.59	34.36	0.00	7,050.54	14.2992	231.83	15.0000	141.47	11.3798	0.00	0.0000
Hudson River Trading (Hrt)	9.01	9.01	8.20	9.74	0.00	2,247.03	14.9221	28.87	15.0000	4.23	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

July 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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August 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.62	0.14	0.24	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	59.92	59.94	53.25	58.27	0.00	1,616.10	14.9962	2.19	14.9795	8.10	14.9944	0.00	0.0000
Virtu Americas, LLC	29.99	30.00	24.68	29.13	0.00	730.03	14.6858	2.39	15.0000	0.72	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	9.11	9.09	20.78	11.81	0.00	247.87	15.0000	3.13	15.0000	9.05	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

August 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.71	0.11	0.18	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	60.08	60.06	66.92	64.53	0.00	14,811.03	14.4139	63.24	12.1233	79.11	14.8424	0.00	0.0000
Virtu Americas, LLC	29.96	29.97	23.08	25.62	0.00	7,389.29	13.5260	30.30	11.1398	8.97	14.7800	0.00	0.0000
Hudson River Trading (Hrt)	8.95	8.95	8.46	7.88	0.00	2,494.61	14.7253	6.86	15.0000	7.01	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

August 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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September 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.71	0.08	0.21	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	59.61	59.62	57.89	56.73	0.00	1,198.96	15.0000	3.27	15.0000	5.97	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	30.22	30.21	39.47	28.85	0.00	603.84	14.9996	0.33	15.0000	0.99	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	9.20	9.19	2.63	13.46	0.00	211.44	14.9997	0.25	15.1515	0.02	11.1111	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

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CITADEL SECURITIES LLC:
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September 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.54	0.13	0.33	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	60.18	60.18	56.94	60.83	0.00	14,203.59	14.2975	85.38	3.9786	101.66	14.9980	0.00	0.0000
Hudson River Trading (Hrt)	29.96	29.96	31.25	30.00	0.00	6,945.04	14.9400	23.94	1.1083	67.10	7.0833	0.00	0.0000
CITADEL SECURITIES LLC	8.87	8.87	10.42	8.33	0.00	2,382.09	14.8765	15.12	14.9970	7.01	14.9850	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

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September 2024

Options

Summary

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-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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