

Altruist Financial LLC - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2024

April 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.80	0.03	0.17	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	59.80	59.80	71.43	58.43	0.00	1,169.81	15.0000	0.18	15.0000	0.40	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	29.91	29.91	28.57	30.34	0.00	544.91	15.0000	0.13	15.0000	0.42	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	10.29	10.29	0.00	11.24	0.00	312.42	15.0000	0.00	0.0000	0.12	15.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

April 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.45	0.21	0.33	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	60.04	60.03	62.07	63.23	0.00	12,412.82	13.7439	290.69	9.8944	84.34	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	30.09	30.10	30.17	28.13	0.00	6,615.77	14.1130	58.67	7.4161	58.21	11.9266	0.00	0.0000
CITADEL SECURITIES LLC	9.87	9.87	7.76	8.64	0.00	2,373.71	14.3147	13.90	8.5452	12.70	15.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

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April 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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May 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.87	0.02	0.11	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	60.46	60.46	57.14	59.02	0.00	1,254.47	15.0000	0.03	15.0000	0.12	15.0000	0.00	0.0000
CITADEL SECURITIES LLC	29.46	29.46	21.43	31.15	0.00	533.70	14.9996	0.08	15.0000	0.12	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	10.08	10.08	21.43	9.84	100.00	223.05	15.0000	0.00	15.0000	0.08	15.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
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CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

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For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

May 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.73	0.11	0.16	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	59.80	59.79	64.80	61.88	0.00	13,921.64	14.5103	95.73	2.3133	37.23	8.3064	0.00	0.0000
CITADEL SECURITIES LLC	30.23	30.24	24.00	30.39	0.00	7,093.19	14.3484	130.89	13.4578	42.32	14.4865	0.00	0.0000
Hudson River Trading (Hrt)	9.97	9.97	11.20	7.73	0.00	2,383.43	14.1459	23.98	7.7389	9.76	15.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
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CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

Hudson River Trading (Hrt):
For the quarter, Altruist Financial LLC arranged with Hudson River Trading (HRT) for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Hudson River Trading (HRT). Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

May 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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June 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.68	0.11	0.22	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	60.03	60.03	59.62	60.38	0.00	1,273.61	14.9981	1.97	15.0000	4.63	15.0000	0.00	0.0000
Virtu Americas, LLC	30.03	30.03	26.92	29.25	0.00	606.11	15.0000	1.25	15.0000	0.75	15.0000	0.00	0.0000
Hudson River Trading (Hrt)	9.95	9.94	13.46	10.38	0.00	224.79	15.0000	0.42	15.0000	0.23	15.0000	0.00	0.0000

Material Aspects:

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Virtu Americas, LLC:
For the quarter, Altruist Financial LLC arranged with Virtu Americas, LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Virtu Americas, LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

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June 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.57	0.15	0.28	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	60.09	60.10	60.99	57.78	0.00	13,703.42	14.5282	151.14	3.0926	56.12	4.0840	0.00	0.0000
Virtu Americas, LLC	29.89	29.90	27.66	29.63	0.00	7,242.91	13.8408	62.21	2.5762	60.68	13.2950	0.00	0.0000
Hudson River Trading (Hrt)	10.02	10.01	11.35	12.59	0.00	2,539.06	14.4166	16.53	4.5888	10.66	15.0000	0.00	0.0000

Material Aspects:

CITADEL SECURITIES LLC:
For the quarter, Altruist Financial LLC arranged with Citadel Securities LLC for a cash payment of \$0.0015 per share for marketable orders and \$0.0015 per share for non-marketable orders. Altruist does not have a volume threshold at Citadel Securities LLC. Altruist does not accept payment for OTC order flow and for stocks trading under \$1.00 at time of order entry.

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June 2024

Options

Summary

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-	-	-	-	-

Venues

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