

Order Execution Summary Data Report

Designated Participant: FINRA

Reporting Entity: SOFI

Month: 09/2026

S&P 500 Flag	Order Size (USD)	Order Type (M-Mkt; ML-Mktbl Lmt)	Average Order Size (Shares)	Average Notional Order Size (USD)	Share-weighted Average Midpoint	% Shares Executed at Quote or Better	% Shares Executed with Price Improvement	Share-weighted Average % Price Improvement	Average % Effective Spread	Average % Quoted Spread	Average Effective / Average Quoted Spread %	Average % Realized Spread after 15 Seconds	Average % Realized Spread after 1 Minute	Share-weighted Average Execution Speed (msec)
Y	Under \$250	M	1.71	\$139.44	\$81.43	99.41%	89.68%	0.0191%	0.0172%	0.0555%	30.96%	0.0172%	0.0147%	55.510
Y	\$250 - \$1K	M	3.74	\$542.29	\$144.80	99.27%	89.23%	0.0208%	0.0207%	0.0623%	33.18%	0.0213%	0.0231%	54.287
Y	\$1K - \$5K	M	13.49	\$2,233.19	\$165.50	99.10%	88.48%	0.0199%	0.0217%	0.0615%	35.23%	0.0200%	0.0182%	56.569
Y	\$5K - \$10K	M	38.08	\$7,016.15	\$184.25	98.84%	87.59%	0.0193%	0.0228%	0.0615%	37.07%	0.0197%	0.0146%	55.529
Y	\$10K - \$20K	M	78.86	\$13,868.10	\$175.86	98.99%	85.51%	0.0172%	0.0254%	0.0598%	42.52%	0.0211%	0.0251%	54.183
Y	\$20K - \$50K	M	167.80	\$31,184.08	\$185.84	98.74%	85.97%	0.0146%	0.0292%	0.0584%	50.11%	0.0225%	0.0220%	53.955
Y	\$50K - \$200K	M	466.78	\$88,880.42	\$190.41	95.19%	85.51%	0.0094%	0.0389%	0.0578%	67.36%	0.0292%	0.0219%	56.202
Y	All Under \$200K	M	26.78	\$4,802.02	\$179.30	97.84%	86.51%	0.0149%	0.0295%	0.0593%	49.80%	0.0236%	0.0211%	55.240
Y	\$200K & Over	M	1,920.86	\$393,388.96	\$204.81	89.10%	75.04%	0.0027%	0.0455%	0.0509%	89.40%	0.0191%	0.0072%	79.144
Y	Under \$250	ML	1.17	\$141.08	\$120.56	99.70%	92.28%	0.0146%	0.0170%	0.0462%	36.70%	0.0125%	0.0055%	53.193
Y	\$250 - \$1K	ML	1.74	\$507.53	\$291.11	99.55%	89.12%	0.0197%	0.0278%	0.0672%	41.28%	0.0360%	0.0402%	50.045
Y	\$1K - \$5K	ML	8.13	\$1,895.33	\$233.10	96.55%	95.29%	0.0193%	0.0259%	0.0646%	40.13%	0.0260%	0.0129%	49.656
Y	\$5K - \$10K	ML	34.32	\$7,357.79	\$214.34	99.23%	99.23%	0.0324%	0.0203%	0.0850%	23.90%	0.0718%	0.1297%	48.301
Y	\$10K - \$20K	ML	99.60	\$13,508.07	\$135.60	100.00%	84.20%	0.0175%	0.0065%	0.0414%	15.59%	-0.1109%	0.1119%	48.387
Y	\$20K - \$50K	ML	194.23	\$25,835.42	\$133.01	92.08%	61.66%	0.0086%	0.0183%	0.0356%	51.56%	0.0021%	-0.0434%	48.539
Y	\$50K - \$200K	ML	506.50	\$57,581.78	\$113.69	100.00%	100.00%	0.0188%	0.0432%	0.0807%	53.51%	0.0530%	-0.1429%	46.276
Y	All Under \$200K	ML	10.52	\$1,671.85	\$158.91	96.75%	81.94%	0.0173%	0.0213%	0.0559%	38.07%	0.0041%	0.0152%	48.611
N	Under \$250	M	13.01	\$77.64	\$5.97	99.72%	79.74%	0.0838%	0.1371%	0.3047%	45.02%	0.1351%	0.1358%	58.450
N	\$250 - \$1K	M	69.92	\$531.54	\$7.60	99.06%	76.23%	0.0653%	0.1291%	0.2596%	49.72%	0.1267%	0.1254%	59.905
N	\$1K - \$5K	M	251.56	\$2,250.49	\$8.95	96.11%	71.17%	0.0494%	0.1349%	0.2337%	57.74%	0.1221%	0.1241%	67.838
N	\$5K - \$10K	M	713.66	\$7,085.10	\$9.93	90.18%	63.50%	0.0326%	0.1408%	0.2059%	68.35%	0.1235%	0.1383%	193.761
N	\$10K - \$20K	M	1,216.50	\$14,088.84	\$11.60	88.65%	59.02%	0.0218%	0.1479%	0.1916%	77.20%	0.1162%	0.0939%	89.224
N	\$20K - \$50K	M	2,211.94	\$30,606.47	\$13.84	84.40%	50.15%	0.0067%	0.1638%	0.1772%	92.46%	0.1090%	0.0855%	126.387
N	\$50K - \$200K	M	4,898.12	\$95,513.50	\$19.57	81.65%	43.08%	-0.0049%	0.1465%	0.1367%	107.22%	0.0922%	0.0837%	535.342
N	All Under \$200K	M	217.74	\$2,761.83	\$12.70	88.95%	58.44%	0.0167%	0.1471%	0.1805%	81.50%	0.1083%	0.0993%	208.703
N	\$200K & Over	M	30,471.89	\$1,225,031.71	\$40.20	58.00%	29.11%	-0.0121%	0.0700%	0.0458%	152.67%	0.0383%	0.0354%	273,481.710
N	Under \$250	ML	1.80	\$2.77	\$1.54	99.94%	56.17%	0.1004%	0.2660%	0.4668%	56.98%	0.3102%	0.2820%	73.900
N	\$250 - \$1K	ML	337.18	\$472.53	\$1.40	99.97%	28.80%	0.0333%	0.1663%	0.2329%	71.39%	0.1910%	0.1566%	59.462
N	\$1K - \$5K	ML	1,565.30	\$2,213.53	\$1.43	99.58%	15.36%	0.0260%	0.1650%	0.2169%	76.06%	0.0754%	0.0654%	67,085.745

S&P 500 Flag	Order Size (USD)	Order Type (M-Mkt; ML-Mktbl Lmt)	Average Order Size (Shares)	Average Notional Order Size (USD)	Share-weighted Average Midpoint	% Shares Executed at Quote or Better	% Shares Executed with Price Improvement	Share-weighted Average % Price Improvement	Average % Effective Spread	Average % Quoted Spread	Average Effective / Average Quoted Spread %	Average % Realized Spread after 15 Seconds	Average % Realized Spread after 1 Minute	Share-weighted Average Execution Speed (msec)
N	\$5K - \$10K	ML	5,639.23	\$7,708.53	\$1.37	69.25%	8.51%	-0.0068%	0.2034%	0.1898%	107.20%	0.1675%	0.1985%	3,064.427
N	\$10K - \$20K	ML	4,829.97	\$13,141.52	\$2.66	41.50%	16.78%	-0.0042%	0.2467%	0.2383%	103.51%	0.1372%	-0.1144%	737.592
N	\$20K - \$50K	ML	17,881.36	\$30,760.50	\$1.72	51.64%	0.98%	-0.0100%	0.2249%	0.2050%	109.72%	0.0851%	-0.0729%	200,386.173
N	\$50K - \$200K	ML	27,993.17	\$133,417.49	\$60.24	60.99%	30.91%	-0.0050%	0.0637%	0.0536%	118.82%	0.0510%	0.0691%	61,170.930
N	All Under \$200K	ML	29.65	\$76.32	\$3.00	71.21%	15.55%	0.0034%	0.1493%	0.1561%	95.63%	0.0964%	0.0394%	72,574.703
N	\$200K & Over	ML	3,972.33	\$431,745.60	\$106.20	100.00%	24.58%	0.0050%	0.0414%	0.0514%	80.53%	0.0698%	0.0061%	392.920