

Report for SEC Rule 606 Report Disclosure 1st Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS Capital Markets	38.83%	41.80%	59.24%	2.63%
Virtu Financial, Inc.	25.43%	39.87%	15.29%	0.00%
Stockcross Financial Services, Inc.	18.73%	0.00%	2.55%	92.11%
Citadel Securities, LLC	14.95%	17.68%	17.83%	3.51%
Speedroute, LLC	1.37%	0.64%	3.82%	0.00%
Oppenheimer & Co., Inc.	0.34%	0.00%	0.00%	1.75%
Nasdaq OMX	0.34%	0.00%	1.27%	0.00%
Total Orders	100.00%	53.44%	26.98%	19.59%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS Capital Markets	40.11%	42.55%	41.63%	19.53%
Virtu Financial, Inc.	33.52%	37.65%	35.37%	0.64%
Citadel Securities, LLC	17.73%	18.91%	20.55%	1.93%
Stockcross Financial Services, Inc.	7.45%	0.03%	0.08%	77.90%
Speedroute, LLC	0.98%	0.86%	1.60%	0.00%
Nasdaq OMX	0.20%	0.00%	0.76%	0.00%
Total Orders	100.00%	63.78%	26.71%	9.51%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Stockcross Financial Services, Inc.	39.66%	0.00%	0.00%	92.00%
UBS Capital Markets	36.78%	56.25%	65.71%	6.67%
Citadel Securities, LLC	11.49%	20.31%	17.14%	1.33%
Virtu Financial, Inc.	9.77%	23.44%	5.71%	0.00%
Speedroute, LLC	1.72%	0.00%	8.57%	0.00%
Nasdaq OMX	0.57%	0.00%	2.86%	0.00%
Total Orders	100.00%	36.78%	20.11%	43.10%

* Orders for which customer requests special handling

Exchange-Listed Options
No Covered Orders

[Material Aspects of Relationships with Route Venues](#)

