

Report for SEC Rule 606 Report Disclosure 2nd Quarter, 2018

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS Capital Markets	41.44%	43.53%	42.86%	5.08%
Virtu Financial, Inc.	35.34%	37.65%	35.89%	0.00%
Citadel Securities, LLC	13.81%	13.55%	17.42%	0.00%
Speedroute, LLC	4.49%	5.28%	3.14%	0.00%
Stockcross Financial Services, Inc.	4.41%	0.00%	0.00%	88.14%
Oppenheimer & Co., Inc.	0.34%	0.00%	0.00%	6.78%
Nasdaq OMX	0.17%	0.00%	0.70%	0.00%
Total Orders	100.00%	70.68%	24.32%	5.00%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS Capital Markets	38.48%	38.94%	42.06%	6.06%
Virtu Financial, Inc.	33.59%	38.51%	27.38%	3.54%
Citadel Securities, LLC	17.01%	16.93%	19.57%	0.00%
Speedroute, LLC	6.83%	5.62%	10.35%	0.00%
Stockcross Financial Services, Inc.	3.79%	0.00%	0.00%	87.88%
Nasdaq OMX	0.20%	0.00%	0.64%	0.00%
Oppenheimer & Co., Inc.	0.11%	0.00%	0.00%	2.53%
Total Orders	100.00%	65.02%	30.67%	4.31%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS Capital Markets	43.84%	44.83%	62.69%	5.56%
Citadel Securities, LLC	20.55%	25.86%	20.90%	2.78%
Virtu Financial, Inc.	18.72%	27.59%	13.43%	0.00%
Stockcross Financial Services, Inc.	14.16%	0.00%	0.00%	86.11%
Speedroute, LLC	1.83%	1.72%	2.99%	0.00%
Oppenheimer & Co., Inc.	0.91%	0.00%	0.00%	5.56%
Total Orders	100.00%	52.97%	30.59%	16.44%

* Orders for which customer requests special handling

Exchange-Listed Options
No Covered Orders

[Material Aspects of Relationships with Route Venues](#)

