

# SOFI - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2024

January 2024

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.30	0.85	5.63	18.22

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	36.46	30.03	20.69	11.29	71.53	659.79	12.0000	0.19	12.4800	1.24	22.7009	339.19	20.7274
HRT	21.22	26.70	18.48	16.80	0.06	558.84	12.0000	0.17	12.5373	1.88	23.2306	0.28	24.0000
Jane Street Capital	18.40	22.20	9.79	8.62	6.14	515.25	12.0000	0.09	12.0000	1.00	23.9423	208.80	24.0000
CITADEL SECURITIES LLC	7.21	5.64	7.45	9.20	13.07	129.00	12.0000	0.07	12.2222	0.98	21.9730	255.22	24.0000
StoneX	5.73	4.86	2.34	7.29	9.02	107.89	12.0000	0.02	14.1176	0.84	23.8977	248.06	24.0000
Canaccord Genuity Securities	3.90	5.06	2.07	1.16	0.00	157.04	12.0000	0.02	13.6000	0.13	23.5714	0.00	0.0000
CODA MARKETS INC	3.57	4.71	2.34	0.02	0.00	100.84	12.0000	0.02	12.0000	0.00	12.0000	0.00	0.0000
NYSE Arca, Inc.	1.65	0.00	16.83	26.36	0.12	0.00	0.0000	-7.12	-12.4485	68.38	12.3959	4.02	16.7318
G1 Execution Services, LLC	0.60	0.80	0.00	0.00	0.00	19.01	12.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
The Nasdaq Stock Market	0.44	0.00	5.93	6.86	0.02	0.00	0.0000	-13.65	-30.0000	33.19	13.1030	-0.62	-30.0000

### Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

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## January 2024

### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	68.50	1.85	4.15	25.50

#### Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	35.88	29.87	21.63	10.56	57.18	10,750.87	11.9646	85.80	11.8236	24.23	21.8108	6,578.39	7.2346
HRT	19.27	26.76	19.09	13.83	0.05	11,487.34	11.9852	211.65	11.9354	308.14	12.4926	8.51	17.2213
Jane Street Capital	18.12	22.03	14.59	6.28	9.79	10,660.79	11.9920	421.35	11.9742	230.68	13.5167	5,144.67	6.7685
CITADEL SECURITIES LLC	10.43	7.62	8.99	7.33	18.59	5,902.66	11.9935	384.55	11.9846	300.76	12.9463	8,282.92	7.7681

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
StoneX	7.33	5.04	3.84	5.80	14.00	1,596.48	11.9961	15.95	11.8432	13.70	14.7151	4,808.66	6.5631
Canaccord Genuity Securities	3.50	4.95	3.75	0.99	0.00	2,509.36	11.9958	360.18	11.9926	42.57	12.0452	0.03	12.0000
CODA MARKETS INC	1.99	2.89	0.29	0.00	0.00	706.30	11.9998	0.02	10.7368	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.52	0.00	10.75	30.23	0.26	0.00	0.0000	53.58	0.9508	423.73	2.0853	-5.10	-0.3026
G1 Execution Services, LLC	0.59	0.84	0.55	0.00	0.00	507.18	11.9999	2.98	11.8661	0.00	0.0000	0.00	0.0000
Cboe EDGX Exchange, Inc.	0.41	0.00	4.94	7.39	0.04	0.00	0.0000	-279.38	-21.8347	-481.27	-18.2953	-57.65	-29.8215

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Cboe EDGX Exchange, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

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January 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.58	44.11	54.91	0.40

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	40.42	46.14	43.03	38.34	30.69	311.76	22.3484	52,570.52	20.7183	32,498.48	21.3623	482.96	26.2621
Wolverine Execution Services, LLC	27.49	27.44	25.88	28.74	33.66	187.00	22.5301	31,186.20	21.4453	20,550.00	21.7961	735.44	31.3888
CITADEL SECURITIES LLC	20.87	17.50	19.89	21.69	21.29	214.00	22.8388	26,492.84	21.2509	16,336.84	21.6333	198.20	26.6756
WATERSHED	11.22	8.92	11.20	11.24	14.36	127.80	27.9650	13,675.88	20.8579	8,705.16	21.1707	190.32	24.0911

February 2024

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S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.66	0.81	6.06	20.47

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	37.04	30.12	21.31	8.56	70.66	707.22	12.0000	0.18	12.5753	0.99	22.6091	363.16	20.3716
HRT	20.93	26.94	17.52	14.56	1.64	625.94	12.0000	0.15	12.2000	1.73	23.1818	39.55	24.0000
Jane Street Capital	18.01	22.08	13.14	7.18	6.97	496.70	12.0000	0.11	12.2667	0.88	23.9350	275.57	24.0000
CITADEL SECURITIES LLC	6.92	5.58	7.30	8.13	11.31	180.26	12.0000	0.06	12.2400	0.94	22.5359	259.29	24.0000
StoneX	5.82	4.89	2.48	6.23	9.13	106.23	12.0000	0.02	13.4118	0.77	23.9625	222.81	24.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	3.64	4.90	3.50	0.82	0.00	114.62	12.0000	0.04	15.5000	0.10	23.4286	0.00	0.0000
CODA MARKETS INC	3.32	4.56	1.61	0.00	0.00	86.40	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	2.40	0.00	18.98	36.35	0.19	0.00	0.0000	-16.05	-24.3393	20.38	1.8642	4.49	5.3563
G1 Execution Services, LLC	0.69	0.94	0.44	0.00	0.00	19.43	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000
The Nasdaq Stock Market	0.52	0.00	4.67	7.74	0.06	0.00	0.0000	-7.21	-23.7376	-25.86	-9.0347	-3.88	-30.0000

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Husdon River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

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February 2024

Non-S&P 500 Stocks

## Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	66.05	2.76	5.40	25.79

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	35.70	30.09	24.68	6.93	57.28	12,610.29	11.9746	159.34	11.8073	62.06	18.9906	8,823.45	8.7273
HRT	19.44	26.78	20.87	10.24	2.44	13,727.26	12.0149	601.28	11.9561	20.09	22.7385	710.30	9.4692
Jane Street Capital	18.25	22.16	17.54	5.21	11.04	14,158.67	11.9916	445.62	11.9492	183.61	13.1338	6,455.74	8.3629
CITADEL SECURITIES LLC	9.60	7.61	9.59	5.35	15.60	8,100.97	11.9979	366.58	11.9676	30.46	12.3188	8,049.16	5.9319
StoneX	6.82	4.66	4.14	4.78	13.09	1,699.72	11.9835	7.41	11.3337	7.43	22.1844	5,287.79	7.7997
Canaccord Genuity Securities	3.35	4.89	3.08	0.56	0.00	3,381.11	11.9997	107.66	11.9653	84.86	12.0175	0.00	0.0000
NYSE Arca, Inc.	2.80	0.00	10.03	44.90	0.37	0.00	0.0000	-253.72	-3.5698	-434.32	-1.3498	-37.48	-1.7520
CODA MARKETS INC	1.91	2.89	0.20	0.00	0.00	813.49	12.0000	0.02	10.2857	0.00	0.0000	0.00	0.0000
G1 Execution Services, LLC	0.63	0.93	0.70	0.00	0.00	677.81	11.9605	0.01	0.8333	0.00	0.0000	0.00	0.0000
The Nasdaq Stock Market	0.60	0.00	2.16	9.64	0.09	0.00	0.0000	-175.66	-18.0063	-655.38	-14.1748	-138.96	-20.9857

## Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

February 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.57	40.36	58.73	0.34

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	41.02	44.61	43.06	39.61	36.48	253.97	20.7490	41,758.35	19.8723	29,693.54	20.9092	401.26	24.4818
Wolverine Execution Services, LLC	26.70	23.93	25.51	27.52	32.51	279.80	23.7925	31,361.28	21.7899	21,123.92	23.1472	500.16	25.6230
CITADEL SECURITIES LLC	21.06	19.20	20.12	21.74	18.61	180.34	21.0673	21,823.91	21.1946	17,356.48	23.1161	149.43	22.9895
WATERSHED	11.22	12.26	11.31	11.14	12.41	99.00	21.7582	14,279.04	21.7768	8,131.28	22.2836	132.08	24.7805

March 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	73.99	0.88	5.35	19.78

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	35.73	28.22	15.81	9.48	71.77	553.64	12.0000	0.14	12.5263	0.94	22.5899	363.96	20.4315
Jane Street Capital	22.24	27.39	11.10	9.53	6.92	558.86	12.0000	0.10	12.3000	1.00	23.9141	158.63	24.0000
HRT	18.41	23.20	15.53	14.40	1.70	472.55	12.0000	0.14	12.5357	1.46	23.1280	23.43	24.0000
CITADEL SECURITIES LLC	6.94	5.88	8.74	8.96	10.31	188.67	12.0000	0.08	12.7619	0.86	21.7462	247.51	24.0000
StoneX	5.97	5.00	3.19	8.30	9.07	96.77	12.0000	0.03	14.0870	0.87	23.9342	171.13	24.0000
CODA MARKETS INC	3.55	4.78	1.25	0.00	0.00	90.79	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	3.42	4.52	2.36	1.07	0.00	97.69	12.0000	0.03	16.9412	0.11	23.7447	0.00	0.0000
NYSE Arca, Inc.	1.81	0.00	23.58	29.32	0.17	0.00	0.0000	-0.30	-0.4870	16.80	3.6091	2.04	20.0177
G1 Execution Services, LLC	0.75	1.00	0.28	0.00	0.00	16.70	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000
The Nasdaq Stock Market	0.55	0.00	7.35	8.94	0.02	0.00	0.0000	-5.63	-30.0000	50.78	16.5660	-0.69	-30.0000

## Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

The Nasdaq Stock Market:



SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

March 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	67.74	3.00	4.21	25.05

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	35.06	27.98	22.75	7.68	60.28	13,043.69	11.9939	252.58	11.8671	253.68	12.1774	9,012.65	10.2047
Jane Street Capital	22.28	27.80	24.49	7.82	9.53	15,845.43	11.9156	752.52	11.9505	170.40	12.0565	5,302.08	7.7254
HRT	17.36	23.20	19.04	10.65	2.48	12,301.47	11.9876	186.14	11.8503	209.79	12.0566	745.47	8.4691
CITADEL SECURITIES LLC	9.42	7.69	9.64	6.32	14.57	7,901.73	11.9970	190.51	11.9257	175.42	12.0468	8,104.91	9.1003
StoneX	6.99	4.97	3.96	7.14	12.80	2,020.44	11.9883	7.43	11.2390	2.03	17.1631	5,928.11	9.0925
Canaccord Genuity Securities	3.22	4.54	3.91	0.59	0.00	3,019.03	11.9998	65.04	11.9143	0.22	22.4082	0.00	0.0000
CODA MARKETS INC	1.97	2.90	0.16	0.00	0.00	842.35	11.9937	0.02	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.91	0.00	7.77	38.43	0.22	0.00	0.0000	-62.13	-1.6556	-281.07	-1.5679	79.19	2.8265
G1 Execution Services, LLC	0.65	0.92	0.86	0.01	0.00	1,153.23	12.0000	35.27	11.9646	25.57	12.0000	0.00	0.0000
The Nasdaq Stock Market	0.42	0.00	1.63	8.65	0.04	0.00	0.0000	-145.02	-22.3489	-225.52	-6.7805	-70.25	-21.6280

Material Aspects:

Virtu Americas, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

NYSE Arca, Inc.:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

The Nasdaq Stock Market:  
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

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March 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.80	38.04	60.71	0.46

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	38.67	38.54	41.92	36.71	29.07	397.90	20.6271	40,895.42	20.2645	28,287.87	21.0923	518.47	24.3757
Wolverine Execution Services, LLC	26.57	25.80	24.79	27.63	34.30	437.28	28.5059	27,518.02	21.9752	21,756.48	23.5541	431.40	27.7785
CITADEL SECURITIES LLC	20.06	21.93	18.71	20.88	19.77	225.10	23.2545	16,927.49	21.6022	16,368.70	23.6051	337.02	24.5997
WATERSHED	11.25	9.41	11.10	11.35	12.40	166.76	27.2930	11,570.36	21.7472	8,838.04	22.4043	195.44	35.5345
Global Execution Brokers LP	3.46	4.32	3.49	3.42	4.46	32.14	22.3222	3,265.64	22.1896	2,358.94	22.8138	51.74	31.5512