

SOFI - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2023

July 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.13	0.72	4.56	17.58

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	32.57	27.59	15.32	10.45	60.85	1,330.87	12.0000	0.12	12.7226	0.89	22.3860	493.08	22.1727
Jane Street Capital	27.50	32.02	17.79	4.56	13.98	1,816.14	12.0000	0.13	12.0000	0.40	23.0069	655.26	23.2000
HRT	12.08	15.02	8.07	9.56	0.00	627.32	12.0000	0.06	12.4571	0.82	22.4636	0.00	0.0000
CITADEL SECURITIES LLC	9.92	8.13	6.92	12.96	17.09	397.63	12.0000	0.05	12.8000	1.08	21.7745	576.55	23.2000
StoneX Financial, Inc.	8.11	10.08	6.59	6.28	0.00	479.38	12.0000	0.05	13.4000	0.55	23.0133	0.00	0.0000
CODA MARKETS INC	2.62	3.39	1.15	0.00	0.00	101.80	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	2.40	3.04	0.49	0.45	0.20	184.70	12.0000	0.00	12.0000	0.04	21.2235	1.75	23.2000
Two Sigma Securities, LLC	1.68	0.00	0.00	7.28	7.64	39.00	12.0000	0.00	0.0000	0.64	23.2000	355.59	23.1990
NYSE Arca, Inc.	1.19	0.00	21.42	22.05	0.16	0.00	0.0000	-5.74	-6.1221	-25.39	-3.1455	-3.89	-9.5683
G1 Execution Services, LLC	0.56	0.73	0.33	0.00	0.00	25.75	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	33.03	27.75	18.72	8.79	54.84	14,251.45	11.7729	292.61	11.9753	105.87	14.1108	19,112.21	22.4026

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	27.01	31.89	20.31	3.64	15.70	18,503.08	11.7312	404.02	11.9778	279.28	12.0425	31,814.19	23.2000
CITADEL SECURITIES LLC	12.14	9.62	10.39	10.50	20.56	5,793.45	11.7919	269.00	11.9854	4.22	21.1351	40,241.27	23.1188
HRT	11.27	15.02	10.83	7.10	0.00	7,887.50	11.7597	149.15	11.9705	189.49	16.2868	0.00	0.0000
StoneX Financial, Inc.	7.46	9.91	6.54	5.55	0.00	4,069.71	11.6845	9.39	11.7320	9.79	22.1823	0.00	0.0000
Canaccord Genuity Securities	2.19	2.94	2.10	0.42	0.08	1,591.23	11.4915	41.19	11.9801	0.11	22.4833	60.88	23.2000
Two Sigma Securities, LLC	2.15	0.05	0.28	5.87	8.40	1,858.50	11.3170	0.00	3.8667	60.70	13.7180	19,417.66	23.1872
CODA MARKETS INC	1.47	2.03	0.33	0.00	0.00	536.20	11.9994	0.02	11.1429	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.21	0.00	8.87	27.38	0.25	0.00	0.0000	-12.35	-0.3099	-772.16	-3.0625	-105.70	-3.5892
G1 Execution Services, LLC	0.58	0.80	0.58	0.00	0.00	947.11	11.9975	1.33	11.8185	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

StoneX Financial, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at StoneX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to StoneX by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:

Summary

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	39.45	43.31	42.14	37.18	35.81	340.48	21.8958	35,679.24	20.5972	25,087.00	21.1206	541.88	21.4691
Wolverine Execution Services, LLC	35.77	34.17	34.08	37.17	38.56	218.32	21.8977	29,757.48	21.2361	23,043.36	21.7622	606.00	23.2808
CITADEL SECURITIES LLC	23.49	21.10	22.53	24.32	23.09	163.28	21.7128	21,298.04	20.9466	16,608.00	21.5216	311.56	22.6919
WATERSHED	1.30	1.42	1.25	1.32	2.54	4.16	23.1111	1,213.72	21.9440	688.72	24.0727	53.68	36.2703

Summary

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	32.28	28.17	18.80	8.23	60.20	915.27	12.0000	0.19	12.5818	0.80	21.8304	370.79	21.3655
Jane Street Capital	27.54	32.02	16.36	5.28	14.07	1,092.63	12.0000	0.16	12.2507	0.55	23.1051	558.14	23.2000
HRT	14.40	17.60	10.50	12.89	0.00	547.64	12.0000	0.11	12.3907	1.31	22.7722	0.00	0.0000
CITADEL SECURITIES LLC	8.23	6.06	8.91	9.40	18.10	218.84	12.0000	0.10	13.0740	0.92	22.0000	432.98	23.2000
StoneX Financial, Inc.	5.90	7.17	4.52	5.86	0.00	205.83	12.0000	0.05	13.8162	0.61	23.1573	0.00	0.0000
CODA MARKETS INC	3.62	4.64	2.56	0.00	0.00	134.06	12.0000	0.03	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	2.80	3.55	1.95	0.34	0.10	104.69	12.0000	0.02	12.7000	0.03	23.2000	0.55	23.2000
NYSE Arca, Inc.	1.75	0.00	15.87	29.51	0.36	0.00	0.0000	-4.50	-6.9673	13.35	1.5436	2.70	5.5844
Two Sigma Securities, LLC	1.51	0.00	0.00	6.62	7.07	40.20	12.0000	0.00	0.0000	0.69	23.2000	175.61	23.1889
G1 Execution Services, LLC	0.62	0.80	0.24	0.00	0.00	30.13	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

StoneX Financial, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at StoneX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to StoneX by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

August 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	72.05	1.52	4.50	21.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	31.97	28.15	17.44	7.06	50.66	13,379.52	11.9330	247.55	11.9681	30.15	16.7528	5,825.61	6.2166
Jane Street Capital	27.31	31.85	18.16	3.34	17.97	20,826.55	11.9297	281.68	11.9674	210.51	12.0818	7,988.09	5.8334
HRT	13.34	17.68	11.76	9.38	0.00	10,969.24	11.9439	171.38	11.9665	176.87	14.9397	0.00	0.0000
CITADEL SECURITIES LLC	10.83	7.96	8.76	7.73	21.00	6,230.47	11.8931	158.81	11.9776	45.74	15.3161	6,975.73	3.9573
StoneX Financial, Inc.	5.46	7.21	5.09	4.26	0.00	2,520.02	11.8916	6.96	11.6473	15.15	22.9369	0.00	0.0000
Canaccord Genuity Securities	2.56	3.44	2.48	0.48	0.07	1,838.86	11.9193	43.99	11.9747	0.16	22.2556	26.42	23.1776
Two Sigma Securities, LLC	2.35	0.07	0.36	4.82	9.46	4,290.98	11.9999	154.55	12.0042	59.33	15.8875	3,652.20	3.7413
CODA MARKETS INC	2.06	2.86	0.38	0.00	0.00	708.08	11.9996	0.02	11.3684	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.72	0.00	10.79	31.99	0.54	0.00	0.0000	-460.37	-3.8266	-1,394.85	-4.3207	-177.48	-2.0706
G1 Execution Services, LLC	0.58	0.79	0.66	0.00	0.00	924.59	11.9788	75.10	11.9950	0.00	0.0000	0.00	0.0000

Material Aspects:

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

HRT:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Hudson River Trading. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Hudson River Trading by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

StoneX Financial, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at StoneX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to StoneX by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

August 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.56	46.91	52.14	0.39

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	43.19	40.73	46.88	39.97	34.30	223.04	21.7600	42,339.22	19.2746	27,308.13	20.0120	530.57	28.9770
Wolverine Execution Services, LLC	28.31	28.31	25.62	30.69	34.01	131.48	24.4842	27,846.17	21.5539	23,745.64	22.5274	451.88	25.3723

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	21.12	23.22	20.10	22.01	21.80	111.95	24.5509	24,227.77	20.5527	15,236.39	21.6888	210.16	22.4530
WATERSHED	7.37	7.74	7.39	7.33	9.88	55.26	35.1949	8,032.46	20.9195	5,706.68	20.8562	70.34	29.9336

September 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	8.63	89.13	2.24

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc.	44.02	0.00	47.24	43.06	69.70	0.00	0.0000	-1.80	-4.7226	12.31	7.9300	8.17	18.5020
The Nasdaq Stock Market	16.24	0.00	7.09	17.23	12.12	0.00	0.0000	-0.67	-30.0000	1.26	5.0484	-0.38	-30.0000
Cboe EDGX Exchange, Inc.	16.10	0.00	27.56	15.17	9.09	0.00	0.0000	-1.61	-29.9147	-11.49	-26.9578	-0.03	-30.0000
other	8.42	0.00	12.60	8.16	3.03	0.00	0.0000	-0.03	-10.9583	-1.97	-15.3528	-0.04	-30.0000
CBOE BZX Exchange	6.52	0.00	3.94	6.78	6.06	0.00	0.0000	-0.17	-30.0000	-3.16	-10.6511	-0.19	-30.0000
BATS Y Exchange	4.14	0.00	0.00	4.65	0.00	0.00	0.0000	0.00	0.0000	-0.57	-18.9500	0.00	0.0000
Nasdaq OMX BX	3.87	0.00	0.00	4.34	0.00	0.00	0.0000	0.00	0.0000	-1.05	-24.2610	0.00	0.0000
CBOE EDGA US Equities Exchange	0.68	0.00	1.57	0.61	0.00	0.00	0.0000	0.00	0.0000	0.43	21.4527	0.00	0.0000

Material Aspects:

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

The Nasdaq Stock Market:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

CBOE BZX Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

BATS Y Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at BATS. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to BATS by Apex for execution.

Nasdaq OMX BX:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq OMX BX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq OMX BX by Apex for execution.

CBOE EDGA US Equities Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

September 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	13.70	81.99	4.31

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc.	45.58	0.00	27.23	47.65	64.56	0.00	0.0000	75.56	4.1779	-632.18	-4.2495	-27.57	-1.8563
Cboe EDGX Exchange, Inc.	16.09	0.00	19.40	15.79	11.23	0.00	0.0000	-104.90	-24.5168	-479.40	-20.6097	-22.41	-30.0000
The Nasdaq Stock Market	12.13	0.00	8.27	12.35	20.35	0.00	0.0000	-79.38	-24.5202	-127.95	-5.4806	-44.75	-8.0022
CBOE BZX Exchange	8.91	0.00	11.36	8.83	2.81	0.00	0.0000	-65.11	-22.7421	-212.41	-24.3800	-2.12	-17.4030
other	8.57	0.00	22.49	6.63	1.05	0.00	0.0000	-97.57	-16.8611	-39.39	-4.1056	-0.36	-28.4064
BATS Y Exchange	3.96	0.00	3.20	4.29	0.00	0.00	0.0000	0.10	0.6323	-22.16	-7.7793	0.00	0.0000
Nasdaq OMX BX	3.35	0.00	2.76	3.63	0.00	0.00	0.0000	1.32	4.9864	-60.57	-20.2156	0.00	0.0000
CBOE EDGA US Equities Exchange	0.57	0.00	2.09	0.35	0.00	0.00	0.0000	1.13	7.2908	3.15	9.1709	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Nasdaq PHLX LLC	0.44	0.00	1.54	0.28	0.00	0.00	0.0000	-40.88	-30.0000	-15.11	-30.0000	0.00	0.0000
Investors Exchange LLC	0.24	0.00	1.32	0.07	0.00	0.00	0.0000	-1.07	-4.4228	-0.02	-8.7524	0.00	0.0000

Material Aspects:

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

CBOE BZX Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

BATS Y Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at BATS. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to BATS by Apex for execution.

Nasdaq OMX BX:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq OMX BX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq OMX BX by Apex for execution.

CBOE EDGA US Equities Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

Nasdaq PHLX LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq PHLX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq PHLX by Apex for execution.

Investors Exchange LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at the Investor's Exchange. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to the Investor's Exchange by Apex for execution.

September 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.80	48.06	50.79	0.34

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	43.28	40.22	46.22	40.56	42.02	226.79	19.4504	29,832.37	18.7469	18,585.04	19.9803	217.38	24.6188
Wolverine Execution Services, LLC	27.09	29.71	25.10	28.93	28.57	251.40	21.6165	20,880.32	20.7064	13,906.80	21.2288	124.16	22.8235
CITADEL SECURITIES LLC	22.53	22.46	21.74	23.30	20.59	257.46	20.8974	17,246.01	20.0638	12,543.21	20.9865	98.18	24.6075
WATERSHED	7.09	7.61	6.94	7.22	8.82	49.80	25.8031	5,975.67	21.1192	3,733.41	20.7943	68.20	18.6339