

SOFI - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2023

April 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.20	0.87	5.54	16.39

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	34.43	39.89	16.19	5.82	19.37	1,273.03	12.0000	0.11	12.2489	0.48	23.2000	514.44	23.2000
Virtu Americas, LLC	28.19	23.92	15.47	11.96	54.43	736.41	12.0000	0.11	13.1721	0.97	22.8558	92.75	21.0552
HRT	11.02	13.74	7.73	6.13	0.05	426.99	12.0000	0.05	12.7814	0.49	22.7871	0.07	21.4500
CITADEL SECURITIES LLC	10.81	8.53	14.75	16.68	19.34	348.90	12.0000	0.12	14.8683	1.33	22.4786	338.54	23.2000
CODA MARKETS INC	5.11	6.59	2.16	0.00	0.00	155.48	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	2.33	2.93	0.54	0.71	0.14	73.25	12.0000	0.00	12.0000	0.06	23.2000	3.77	23.2000
Two Sigma Securities, LLC	2.18	1.00	0.54	5.60	6.66	158.09	12.0000	0.00	12.0000	0.46	23.1434	146.00	23.2000
StoneX	1.77	2.20	1.08	1.19	0.00	66.94	12.0000	0.01	12.0000	0.10	23.2000	0.00	0.0000
NYSE Arca, Inc.	1.76	0.00	16.91	29.03	0.00	0.00	0.0000	0.21	0.3032	144.07	10.2604	0.00	0.0000
G1 Execution Services, LLC	0.93	1.19	0.90	0.00	0.00	41.19	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevice. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

April 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	75.36	0.99	3.88	19.77

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	34.20	39.86	21.23	5.14	18.99	9,751.78	4.9756	328.54	11.9927	278.68	12.0817	9,882.69	23.2000
Virtu Americas, LLC	28.96	24.03	15.00	12.22	51.77	5,739.46	4.5513	114.85	11.9885	217.73	12.2752	2,843.95	19.6002
CITADEL SECURITIES LLC	13.83	11.58	11.72	17.27	21.84	2,786.63	2.5865	306.39	11.9961	40.73	17.3106	7,765.33	23.1975
HRT	10.70	13.79	7.44	5.87	0.02	3,174.52	3.9390	85.71	11.9914	71.85	12.5692	0.08	2.1711

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS INC	2.74	3.63	0.99	0.00	0.00	678.75	11.6369	0.03	12.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	2.38	1.01	0.78	4.43	7.31	619.90	1.6162	109.73	11.9996	182.89	13.9943	3,322.05	23.1903
Canaccord Genuity Securities	2.23	2.89	1.64	0.60	0.06	600.27	4.9255	51.66	11.9961	25.56	12.0279	10.17	23.2000
StoneX	1.56	1.99	0.99	1.22	0.01	400.59	7.1190	2.26	11.9492	0.24	21.9491	0.06	23.2000
NYSE Arca, Inc.	1.26	0.00	13.75	28.99	0.00	0.00	0.0000	-50.87	-2.0526	-397.94	-1.8359	0.00	0.0000
G1 Execution Services, LLC	0.94	1.24	0.86	0.00	0.00	306.41	3.6942	8.40	11.9897	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

April 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.06	44.08	54.37	0.49

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	42.59	39.91	44.46	41.20	33.50	198.50	22.0062	18,021.23	19.8919	11,517.38	21.0436	420.56	19.5337
Wolverine Execution Services, LLC	32.54	36.10	31.14	33.49	44.17	129.52	23.5064	14,915.16	21.2231	9,874.68	22.3015	258.40	22.5677
CITADEL SECURITIES LLC	18.48	18.39	17.85	18.99	19.42	42.48	25.2857	12,156.99	20.4244	5,871.49	22.5905	115.18	20.6054
Global Execution Brokers LP	6.39	5.61	6.54	6.32	2.91	9.06	19.7043	2,453.57	20.2992	2,293.92	21.3348	8.96	20.3636

May 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.91	0.84	4.83	16.42

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	31.35	35.61	14.02	5.54	19.64	1,139.20	12.0000	0.11	12.1258	0.47	23.2000	560.62	23.2000
Virtu Americas, LLC	27.17	22.99	14.80	11.20	52.37	584.82	12.0000	0.13	13.7872	0.93	22.7333	103.30	20.4483
HRT	12.06	14.81	11.18	8.59	0.03	398.68	12.0000	0.09	12.7887	0.71	22.8064	0.03	23.2000
CITADEL SECURITIES LLC	10.30	7.50	10.71	20.20	20.67	219.60	12.0000	0.10	14.6353	1.68	22.7739	469.18	23.1992
StoneX	7.49	9.20	6.30	5.41	0.01	244.01	12.0000	0.05	12.2800	0.46	23.1431	0.00	23.2000
CODA MARKETS INC	4.01	5.12	2.05	0.00	0.00	129.30	12.0000	0.02	12.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	2.36	2.92	2.83	0.71	0.18	78.22	12.0000	0.03	14.4889	0.06	23.2000	3.66	23.2000
Two Sigma Securities, LLC	2.21	1.02	0.63	5.11	7.10	58.35	12.0000	0.00	12.0000	0.43	23.2000	161.41	23.2000
NYSE Arca, Inc.	1.36	0.00	17.32	25.14	0.00	0.00	0.0000	30.68	19.4554	80.69	14.0626	0.00	0.0000
G1 Execution Services, LLC	0.65	0.83	0.31	0.00	0.00	35.72	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

May 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.37	1.25	4.06	18.32

Venues

Venue - Non-Directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	31.42	35.65	20.39	4.03	20.61	14,628.09	7.6635	324.41	11.9820	384.13	12.0514	11,804.12	23.2000
Virtu Americas, LLC	27.38	23.24	14.99	8.36	49.67	9,529.11	8.3792	232.36	11.9848	56.53	21.2056	2,796.41	20.2619
CITADEL SECURITIES LLC	12.02	9.65	9.15	13.69	21.73	4,550.44	8.0540	188.71	11.9897	44.30	14.2102	8,949.98	23.1964
HRT	11.64	14.73	10.17	6.33	0.04	6,126.48	8.3369	139.09	11.9808	48.58	12.7855	0.36	11.5016
StoneX	7.19	9.14	5.81	3.45	0.01	2,512.35	9.6153	9.02	11.8336	17.35	15.4537	0.34	23.2000
Two Sigma Securities, LLC	2.43	1.08	0.58	4.09	7.82	1,093.87	5.7957	76.05	11.9985	49.31	13.4109	4,479.12	23.1977
Canaccord Genuity Securities	2.25	2.87	1.85	0.47	0.11	1,068.55	6.5784	38.20	11.9875	46.54	12.0136	43.75	23.2000
CODA MARKETS INC	2.16	2.82	0.58	0.00	0.00	610.63	11.8826	0.03	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.45	0.00	11.98	31.94	0.01	0.00	0.0000	-68.23	-1.0846	132.80	0.9519	0.00	0.0000
G1 Execution Services, LLC	0.62	0.81	0.63	0.00	0.00	543.85	8.2495	0.01	6.2609	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

May 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.77	45.02	53.79	0.42

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	45.38	46.54	47.79	43.40	38.72	386.56	25.2489	37,352.71	20.2009	20,791.54	21.8895	304.12	31.4498
Wolverine Execution Services, LLC	33.85	34.55	31.87	35.46	40.23	319.88	22.3692	24,822.66	21.9127	18,659.04	23.2367	279.92	25.4473
CITADEL SECURITIES LLC	18.84	16.67	18.50	19.16	19.17	49.14	24.0863	20,997.70	21.1897	10,112.81	22.9347	184.94	27.0782
Global Execution Brokers LP	1.92	2.24	1.85	1.98	1.88	4.50	21.4476	1,687.00	20.2618	918.51	21.2520	8.24	23.5429

June 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.78	0.67	4.28	17.27

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	29.81	34.64	15.31	4.32	14.96	1,325.85	12.0000	0.10	12.1349	0.34	23.1248	863.65	21.6776
Virtu Americas, LLC	28.36	22.85	11.62	9.41	58.52	728.80	12.0000	0.09	14.1333	0.72	22.3040	248.65	19.7832
HRT	13.21	16.14	8.49	8.63	1.33	659.08	12.0000	0.06	12.9739	0.68	22.7866	39.88	21.1031
CITADEL SECURITIES LLC	10.03	7.85	12.18	19.29	17.46	370.44	12.0000	0.09	14.2061	1.50	22.5105	515.68	22.8888
StoneX	8.29	9.95	7.56	6.81	1.21	385.52	12.0000	0.06	14.4585	0.54	23.0094	47.63	20.0116
CODA MARKETS INC	3.70	4.71	2.40	0.00	0.14	111.80	12.0000	0.02	12.0000	0.00	0.0000	2.02	12.0000
Canaccord Genuity Securities	2.42	2.95	2.95	0.64	0.42	129.23	12.0000	0.02	13.4000	0.05	21.6727	14.24	20.7932
Two Sigma Securities, LLC	1.31	0.09	0.18	4.81	5.95	38.13	12.0000	0.00	12.0000	0.39	23.2000	244.73	23.2000
NYSE Arca, Inc.	1.30	0.00	21.03	27.05	0.00	0.00	0.0000	12.50	12.2912	41.07	8.7514	0.00	0.0000
G1 Execution Services, LLC	0.62	0.79	0.00	0.00	0.01	54.33	12.0000	0.00	0.0000	0.00	0.0000	0.01	12.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	74.65	0.90	3.42	21.04

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	29.51	34.41	13.32	3.02	17.08	17,955.41	10.8080	338.28	11.9948	219.80	13.5459	20,188.26	21.0034
Virtu Americas, LLC	28.34	23.17	11.18	6.81	50.89	10,623.03	10.5279	131.13	11.9880	132.16	12.6108	5,768.39	17.1807
HRT	12.65	15.98	8.17	7.49	1.86	8,190.30	10.9854	317.77	11.9972	198.04	14.5630	772.77	8.2706
CITADEL SECURITIES LLC	12.16	9.76	9.50	12.80	20.67	5,348.47	10.5331	145.87	11.9919	19.40	15.9801	21,825.11	21.2580
StoneX	7.94	9.87	4.05	4.96	1.75	3,576.29	11.3570	20.25	11.9832	13.43	15.6585	434.29	12.4994
Canaccord Genuity Securities	2.35	2.99	1.87	0.47	0.39	2,178.70	10.8125	19.42	11.9888	0.10	22.2468	76.38	6.4546
CODA MARKETS INC	2.20	2.92	0.69	0.00	0.05	711.51	11.9416	0.02	10.6667	0.00	0.0000	3.32	11.9957
Two Sigma Securities, LLC	1.69	0.14	0.15	3.68	6.92	979.13	8.8985	16.95	11.9975	73.24	13.2617	7,424.74	21.4386
NYSE Arca, Inc.	1.28	0.00	19.01	31.48	0.17	0.00	0.0000	-205.21	-3.1362	176.98	0.9720	0.00	0.0000
G1 Execution Services, LLC	0.57	0.75	0.42	0.00	0.05	528.41	10.0784	4.35	11.9835	0.00	0.0000	34.89	9.2909

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

June 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.84	44.38	54.42	0.36

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	40.07	40.95	42.64	37.98	36.07	750.33	31.3028	38,427.32	20.4775	28,845.48	20.3848	467.86	27.9986
Wolverine Execution Services, LLC	36.66	34.22	34.46	38.46	39.67	387.16	29.2417	37,210.81	22.4022	26,864.04	23.1188	417.36	28.5277
CITADEL SECURITIES LLC	22.92	23.98	22.57	23.17	24.26	729.49	30.0572	27,432.24	21.0858	16,278.58	22.2133	219.06	23.1805
other	0.36	0.84	0.32	0.38	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000