

SOFI - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2023

January 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.61	0.54	3.05	18.80

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	35.38	39.55	32.35	17.33	21.15	826.17	12.0000	0.15	12.4667	0.84	23.0462	613.60	23.2000
Virtu Americas, LLC	28.43	22.96	27.76	23.08	51.91	510.45	12.0000	0.14	13.3049	1.11	22.8305	94.61	21.8749
CITADEL SECURITIES LLC	12.82	10.47	14.56	30.94	19.54	278.59	12.0000	0.08	14.0741	1.47	22.5625	371.76	23.2000
StoneX	8.03	9.66	10.78	15.56	0.00	277.68	12.0000	0.06	14.2400	0.76	23.0972	0.00	0.0000
CODA MARKETS INC	4.97	6.38	4.31	0.00	0.00	129.86	12.0000	0.02	12.0000	0.00	0.0000	0.00	0.0000
HRT	3.74	4.59	5.66	5.00	0.00	82.08	12.0000	0.03	12.5333	0.24	22.6667	0.00	0.0000
Canaccord Genuity Securities	2.47	3.10	1.08	1.14	0.17	55.18	12.0000	0.00	12.0000	0.05	22.7333	10.21	23.2000
Two Sigma Securities, LLC	2.36	1.01	1.08	6.95	7.23	23.88	12.0000	0.00	12.0000	0.34	23.1233	195.12	23.2000
G1 Execution Services, LLC	1.80	2.30	2.43	0.00	0.00	48.21	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

January 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	78.76	0.33	1.44	19.47

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	35.28	39.88	31.55	14.59	18.26	15,446.77	6.9536	561.55	11.9998	51.91	16.0855	11,435.29	23.2000
Virtu Americas, LLC	29.19	22.88	24.24	24.59	55.16	8,931.43	7.5238	477.11	12.0003	79.21	13.9134	2,548.21	20.1321
CITADEL SECURITIES LLC	14.79	13.20	23.63	34.90	19.57	5,278.13	7.1417	448.40	12.0004	42.73	14.3780	7,648.50	23.2000
StoneX	7.69	9.48	8.40	13.59	0.00	3,405.51	6.9462	21.55	12.0037	32.79	22.2606	0.00	0.0000
HRT	3.65	4.52	4.38	5.08	0.00	1,673.47	6.3329	51.18	11.9997	9.01	21.9792	0.00	0.0000
CODA MARKETS INC	2.84	3.60	2.31	0.00	0.00	1,062.78	11.6804	0.02	11.3684	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	2.47	3.08	2.68	1.00	0.10	1,298.52	6.5119	7.59	12.0018	38.26	12.0105	95.06	23.2000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities, LLC	2.23	1.00	0.97	6.09	6.90	484.77	9.1948	0.91	12.0000	158.44	12.6554	3,665.71	23.1971
G1 Execution Services, LLC	1.87	2.36	1.83	0.00	0.00	1,025.07	7.3954	28.54	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	0.00	0.00	0.00	0.17	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

January 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.85	44.36	54.29	0.50

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	52.69	44.96	54.57	51.36	43.65	475.52	21.7629	35,506.17	20.4770	19,684.67	20.9559	208.74	27.4292
Wolverine Execution Services, LLC	31.75	38.64	29.54	33.39	38.89	241.90	23.7619	20,459.25	21.9040	14,365.80	24.3591	324.90	24.0314
CITADEL SECURITIES LLC	13.02	13.58	13.28	12.77	15.48	270.40	20.1340	18,852.29	20.8695	6,021.22	22.2021	95.74	28.2431
Global Execution Brokers LP	2.54	2.81	2.60	2.49	1.98	15.26	19.8234	1,641.30	21.7390	1,178.64	21.5434	40.20	36.5455

February 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	78.31	0.61	4.22	16.86

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	35.82	39.97	32.27	17.91	21.17	1,612.77	12.0000	0.17	12.2383	1.26	23.1794	867.09	23.2000
Virtu Americas, LLC	27.22	22.67	25.40	24.25	49.17	1,061.45	12.0000	0.16	14.6234	1.70	23.0027	100.38	21.6905
CITADEL SECURITIES LLC	12.54	9.49	21.51	29.58	22.09	498.01	12.0000	0.14	14.8596	2.04	22.6524	423.27	23.2000
StoneX	7.16	8.41	6.18	12.85	0.00	430.01	12.0000	0.03	12.0000	0.90	23.1427	0.00	0.0000
HRT	5.34	6.46	5.95	5.85	0.00	168.81	12.0000	0.03	13.2923	0.41	22.8225	0.00	0.0000
CODA MARKETS INC	5.25	6.68	3.43	0.00	0.00	152.65	12.0000	0.02	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	2.41	2.99	3.20	0.85	0.07	85.73	12.0000	0.02	13.6000	0.06	22.7692	2.82	23.2000
Two Sigma Securities, LLC	2.35	0.91	0.92	8.71	7.49	14.86	12.0000	0.00	12.0000	0.61	23.1577	170.28	23.2000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
G1 Execution Services, LLC	1.90	2.42	1.14	0.00	0.00	93.53	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

February 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.85	0.43	1.97	19.75

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	35.22	39.79	30.64	15.62	19.27	14,477.16	6.5748	416.86	11.9979	417.13	13.3463	11,083.57	23.2000

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC	28.01	22.20	24.42	25.32	51.27	7,921.65	7.0006	42.14	12.0005	86.68	13.0193	2,348.65	20.2393
CITADEL SECURITIES LLC	14.78	12.45	22.20	33.67	21.92	4,621.68	6.0406	193.11	11.9996	60.98	20.2223	7,616.94	23.1996
StoneX	6.90	8.55	8.26	10.45	0.00	3,176.99	6.9198	51.00	11.9949	22.31	22.7568	0.00	0.0000
HRT	5.34	6.66	6.57	6.50	0.00	2,574.35	7.0197	84.27	11.9972	59.65	12.0688	0.00	0.0000
CODA MARKETS INC	3.11	3.99	1.69	0.00	0.00	1,203.16	11.5364	0.02	10.7368	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	2.42	1.03	0.89	7.13	7.45	283.43	7.0792	0.01	11.9200	30.21	23.1849	3,507.06	23.2000
Canaccord Genuity Securities	2.35	2.94	2.93	1.14	0.09	958.26	5.8525	11.47	11.9985	63.43	12.0108	12.53	23.1957
G1 Execution Services, LLC	1.87	2.39	2.40	0.00	0.00	912.50	7.4252	6.05	11.9834	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	0.00	0.00	0.00	0.18	0.00	0.00	0.0000	0.00	0.0000	0.00	2.6667	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

February 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.65	42.36	56.59	0.40

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	47.47	45.22	48.81	46.52	43.31	202.13	21.6643	32,968.17	20.6696	28,199.45	20.7872	539.30	24.9216
Wolverine Execution Services, LLC	31.81	35.89	30.36	32.84	34.65	148.00	25.8741	26,177.63	22.9425	18,870.92	23.6768	288.80	26.2307
CITADEL SECURITIES LLC	10.73	9.33	10.51	10.88	14.17	110.42	34.4000	13,342.38	22.3128	7,703.46	23.0484	107.34	34.2952
Global Execution Brokers LP	9.99	9.57	10.32	9.76	7.87	33.22	23.9022	8,135.36	23.0281	5,699.60	22.0744	33.55	22.9808

March 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	79.87	0.53	2.81	16.79

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	36.02	39.63	31.07	17.99	22.02	1,608.83	12.0000	0.16	12.0000	0.97	23.1732	729.57	23.2000
Virtu Americas, LLC	25.82	20.90	22.90	20.22	50.24	855.44	12.0000	0.14	13.5525	1.08	22.8902	149.42	21.4839

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	12.38	10.14	18.59	29.60	19.99	454.88	12.0000	0.13	15.4146	1.56	22.6791	414.85	23.2000
HRT	7.43	8.92	9.98	9.12	0.00	349.20	12.0000	0.06	13.0182	0.48	22.7774	0.00	0.0000
CODA MARKETS INC	7.03	8.76	7.71	0.00	0.00	231.50	12.0000	0.04	12.0000	0.00	0.0000	0.00	0.0000
StoneX	4.60	5.21	3.40	14.97	0.00	228.84	12.0000	0.02	13.4933	0.81	23.2000	0.00	0.0000
Canaccord Genuity Securities	2.53	3.07	3.40	1.20	0.13	121.16	12.0000	0.02	14.9867	0.06	23.2000	19.45	23.2000
Two Sigma Securities, LLC	2.32	1.05	1.36	6.88	7.62	26.68	12.0000	0.01	15.7333	0.37	23.2000	200.49	23.2000
G1 Execution Services, LLC	1.86	2.32	1.59	0.00	0.00	138.94	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

March 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	78.55	0.45	1.94	19.06

Venues

Venue - Non-Directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	36.08	40.11	33.41	16.35	21.51	15,542.75	6.0490	272.28	11.9970	375.39	12.7933	12,109.45	23.2000
Virtu Americas, LLC	26.14	20.76	21.67	20.10	49.00	8,078.24	5.0898	358.61	11.9998	121.86	13.0050	2,767.75	20.2393
CITADEL SECURITIES LLC	15.58	13.68	22.42	31.30	21.68	5,002.32	4.1053	261.38	12.0004	42.75	20.4352	9,086.25	23.2000
HRT	7.12	8.77	8.35	9.89	0.00	3,265.86	5.5808	93.05	11.9998	139.55	13.7631	0.00	0.0000
StoneX	4.33	5.14	4.74	14.02	0.00	1,738.13	6.6584	6.25	12.0058	32.60	22.3269	0.00	0.0000
CODA MARKETS INC	4.10	5.21	3.31	0.00	0.00	1,475.89	11.6991	0.05	12.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	2.41	1.02	0.75	6.91	7.71	519.15	2.5895	26.58	11.9995	21.45	22.7492	3,787.43	23.1988
Canaccord Genuity Securities	2.39	2.97	3.76	1.18	0.10	1,022.56	3.5639	92.49	11.9996	0.32	15.4628	37.18	23.2000
G1 Execution Services, LLC	1.85	2.34	1.50	0.03	0.00	1,041.81	5.6919	0.78	11.9632	12.06	12.0000	0.00	0.0000
NYSE Arca, Inc.	0.00	0.00	0.08	0.22	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

March 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.95	40.99	57.68	0.38

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	47.77	42.88	50.40	46.04	38.98	273.71	27.8163	22,791.56	19.9777	20,720.50	20.5538	464.86	20.9112
Wolverine Execution Services, LLC	32.21	35.33	30.22	33.48	44.92	298.84	28.4881	17,708.05	21.4233	16,558.08	21.6990	302.00	21.8208
CITADEL SECURITIES LLC	10.14	9.95	9.54	10.58	8.47	254.91	20.8944	9,326.18	21.2693	5,069.83	23.1457	49.38	25.7167
Global Execution Brokers LP	9.88	11.84	9.83	9.90	7.63	157.10	21.1151	5,404.10	19.9819	4,508.47	21.0440	31.58	25.0603