

SOFI - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2022

October 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	8.59	81.39	10.02

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc.	51.53	0.00	45.45	58.52	0.00	0.00	0.0000	0.54	0.2912	59.12	7.2420	0.00	0.0000
CITADEL SECURITIES LLC	12.58	0.00	0.00	4.83	86.35	0.00	0.0000	0.00	0.0000	0.32	22.8823	13.71	23.2000
Cboe EDGX Exchange, Inc.	12.08	0.00	19.16	12.82	0.00	0.00	0.0000	-19.39	-30.0000	-68.33	-27.1041	0.00	0.0000
The Nasdaq Stock Market	10.30	0.00	12.01	11.38	0.00	0.00	0.0000	-9.06	-30.0000	-14.13	-15.5619	0.00	0.0000
CBOE BZX Exchange	5.33	0.00	11.69	5.31	0.00	0.00	0.0000	-7.95	-30.0000	-25.18	-26.2502	0.00	0.0000
other	2.29	0.00	9.09	1.85	0.00	0.00	0.0000	-8.83	-29.0510	2.68	7.6714	0.00	0.0000
Two Sigma Securities, LLC	1.48	0.00	0.00	0.14	13.65	0.00	0.0000	0.00	0.0000	0.01	23.2000	1.29	23.2000
Virtu Americas, LLC	0.98	0.00	0.00	1.20	0.00	0.00	0.0000	0.00	0.0000	0.08	22.8800	0.00	0.0000
BATS Y Exchange	0.89	0.00	1.30	0.96	0.00	0.00	0.0000	4.51	15.0000	0.25	7.4184	0.00	0.0000
Nasdaq OMX BX	0.81	0.00	0.97	0.89	0.00	0.00	0.0000	0.34	4.9266	-3.73	-22.4651	0.00	0.0000

Material Aspects:

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

CBOE BZX Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

BATS Y Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at BATS. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to BATS by Apex for execution.

Nasdaq OMX BX:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq OMX BX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq OMX BX by Apex for execution.

October 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	15.23	71.49	13.29

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
NYSE Arca, Inc.	40.61	0.00	36.90	48.95	0.00	0.00	0.0000	-26.72	-1.0102	-334.54	-3.5839	0.00	0.0000
CITADEL SECURITIES LLC	13.62	0.00	0.08	3.22	85.12	0.00	0.0000	0.00	23.2000	0.42	21.9058	327.52	23.2000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Cboe EDGX Exchange, Inc.	13.07	0.00	16.15	14.84	0.00	0.00	0.0000	-126.90	-21.4391	-394.33	-19.3284	0.00	0.0000
The Nasdaq Stock Market	11.03	0.00	12.43	12.78	0.00	0.00	0.0000	-71.80	-19.8605	-259.73	-9.7319	0.00	0.0000
CBOE BZX Exchange	8.10	0.00	14.41	8.26	0.00	0.00	0.0000	-63.58	-18.8684	-153.39	-24.9034	0.00	0.0000
other	4.80	0.00	12.35	4.08	0.00	0.00	0.0000	-60.62	-12.9083	-63.80	-9.5387	0.00	0.0000
BATS Y Exchange	2.68	0.00	2.93	3.12	0.00	0.00	0.0000	6.69	13.7806	9.32	3.5387	0.00	0.0000
Two Sigma Securities, LLC	2.03	0.00	0.00	0.07	14.88	0.00	0.0000	0.00	0.0000	0.00	11.6000	53.95	23.2000
Nasdaq OMX BX	1.23	0.00	1.74	1.35	0.00	0.00	0.0000	0.72	3.6029	-21.95	-20.3862	0.00	0.0000
Virtu Americas, LLC	0.72	0.00	0.00	1.01	0.00	0.00	0.0000	0.00	0.0000	0.13	21.2933	0.00	0.0000

Material Aspects:

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

CBOE BZX Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

BATS Y Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at BATS. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to BATS by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Nasdaq OMX BX:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq OMX BX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq OMX BX by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

October 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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November 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.73	1.33	5.33	16.60

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	34.85	39.98	14.72	9.59	20.86	810.23	12.0000	0.16	12.2585	0.78	23.0678	363.71	23.2000
Virtu Americas, LLC	25.01	20.13	10.08	10.12	53.53	418.82	12.0000	0.12	13.5101	0.82	22.8872	98.83	21.6893
CITADEL SECURITIES LLC	16.03	15.38	13.59	17.48	18.76	289.86	12.0000	0.19	15.4533	1.41	22.8738	283.68	23.2000
CODA MARKETS INC	8.90	11.51	4.76	0.00	0.00	227.57	12.0000	0.05	12.0000	0.00	0.0000	0.00	0.0000
StoneX	3.12	3.85	1.93	2.60	0.00	85.47	12.0000	0.02	12.6588	0.21	23.0783	0.00	0.0000
Two Sigma Securities, LLC	2.42	1.10	0.68	8.17	6.81	22.62	12.0000	0.01	13.8667	0.67	23.1612	90.31	23.2000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	2.32	2.94	1.13	0.71	0.04	64.37	12.0000	0.01	13.1200	0.06	23.2000	0.09	23.2000
HRT	2.27	2.84	1.59	1.33	0.00	60.58	12.0000	0.02	12.0000	0.11	22.9617	0.00	0.0000
G1 Execution Services, LLC	1.74	2.27	0.23	0.00	0.00	59.87	12.0000	0.00	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.74	0.00	26.50	25.96	0.00	0.00	0.0000	1.87	1.8017	8.63	1.3409	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevice. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

November 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	76.77	1.07	4.51	17.65

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	34.29	39.32	11.88	6.78	20.83	13,053.36	6.4702	404.83	11.9993	54.94	19.8972	9,814.94	23.2000
Virtu Americas, LLC	25.09	20.56	7.30	7.39	50.39	6,239.57	4.6666	332.59	11.9995	23.40	15.2862	2,106.99	20.1349
CITADEL SECURITIES LLC	19.98	20.23	10.10	13.57	21.12	7,204.99	6.2452	166.05	12.0005	58.24	13.9676	7,035.05	23.1998
CODA MARKETS INC	4.56	5.93	1.24	0.00	0.00	2,102.76	12.0000	0.04	12.0000	0.00	0.0000	0.00	0.0000
StoneX	2.86	3.61	1.16	1.59	0.00	1,248.25	10.3968	48.40	12.0014	1.06	20.4971	0.00	0.0000
Two Sigma Securities, LLC	2.69	1.38	0.62	6.39	7.56	380.86	2.1140	0.02	9.0353	23.55	22.9817	3,301.45	23.1999
Canaccord Genuity Securities	2.51	3.21	1.16	0.34	0.10	793.72	2.7838	73.80	11.9999	0.09	23.2000	36.98	23.2000
G1 Execution Services, LLC	2.47	3.20	1.05	0.00	0.00	942.37	2.7686	30.74	11.9958	0.00	0.0000	0.00	0.0000
HRT	2.02	2.55	0.84	1.14	0.00	995.68	7.9720	0.94	12.0133	11.85	23.1732	0.00	0.0000
NYSE Arca, Inc.	1.79	0.00	26.70	33.34	0.00	0.00	0.0000	-7.09	-0.1834	-284.62	-2.1525	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

November 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	2.56	33.33	37.44	26.67

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	68.21	40.00	73.85	57.53	78.85	1.20	20.0000	134.54	16.9654	68.28	17.6891	455.80	18.3421
Wolverine Execution Services, LLC	18.97	40.00	15.38	23.29	15.38	0.40	20.0000	8.43	21.0800	42.12	20.5463	19.60	20.2062
CITADEL SECURITIES LLC	12.82	20.00	10.77	19.18	5.77	0.20	20.0000	73.11	16.8074	40.65	20.1228	17.60	16.9231

December 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	12.42	87.58	0.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc.	49.97	0.00	44.63	50.73	0.00	0.00	0.0000	9.70	12.5025	28.06	6.5123	0.00	0.0000
Cboe EDGX Exchange, Inc.	17.75	0.00	19.42	17.52	0.00	0.00	0.0000	-7.72	-30.0000	-22.08	-25.7967	0.00	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
The Nasdaq Stock Market	16.52	0.00	16.53	16.52	0.00	0.00	0.0000	-3.17	-30.0000	-5.28	-9.2103	0.00	0.0000
CBOE BZX Exchange	6.62	0.00	7.85	6.44	0.00	0.00	0.0000	-1.34	-30.0000	-4.02	-23.6486	0.00	0.0000
other	5.44	0.00	8.68	4.98	0.00	0.00	0.0000	-0.69	-21.0348	8.47	13.6765	0.00	0.0000
BATS Y Exchange	1.59	0.00	0.41	1.76	0.00	0.00	0.0000	0.00	15.0000	-0.38	-11.2757	0.00	0.0000
Nasdaq OMX BX	0.87	0.00	0.41	0.94	0.00	0.00	0.0000	0.00	0.0000	-0.52	-29.6343	0.00	0.0000
CBOE EDGA US Equities Exchange	0.56	0.00	0.83	0.53	0.00	0.00	0.0000	0.17	22.0000	0.16	22.0000	0.00	0.0000
Investors Exchange LLC	0.51	0.00	0.83	0.47	0.00	0.00	0.0000	-0.00	-9.0000	0.00	0.0000	0.00	0.0000
Nasdaq PHLX LLC	0.10	0.00	0.41	0.06	0.00	0.00	0.0000	-0.22	-30.0000	-0.07	-30.0000	0.00	0.0000

Material Aspects:

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

CBOE BZX Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

BATS Y Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at BATS. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to BATS by Apex for execution.

Nasdaq OMX BX:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq OMX BX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq OMX BX by Apex for execution.

CBOE EDGA US Equities Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

Investors Exchange LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at the Investor's Exchange. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to the Investor's Exchange by Apex for execution.

Nasdaq PHLX LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq PHLX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq PHLX by Apex for execution.

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Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	16.87	83.13	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE Arca, Inc.	49.51	0.00	39.35	51.58	0.00	0.00	0.0000	-192.28	-5.5162	-110.82	-0.9875	0.00	0.0000
Cboe EDGX Exchange, Inc.	15.62	0.00	19.13	14.91	0.00	0.00	0.0000	-185.86	-24.9715	-461.67	-23.2705	0.00	0.0000
The Nasdaq Stock Market	13.53	0.00	11.20	14.01	0.00	0.00	0.0000	-115.13	-22.8172	-204.01	-6.3042	0.00	0.0000
CBOE BZX Exchange	7.04	0.00	12.61	5.91	0.00	0.00	0.0000	-40.76	-28.6984	-107.48	-25.4675	0.00	0.0000
other	6.97	0.00	12.07	5.93	0.00	0.00	0.0000	-50.47	-17.0621	-50.20	-10.3607	0.00	0.0000
BATS Y Exchange	3.28	0.00	2.07	3.53	0.00	0.00	0.0000	3.71	14.5647	-37.33	-9.9283	0.00	0.0000
Nasdaq OMX BX	2.05	0.00	1.30	2.21	0.00	0.00	0.0000	1.40	4.7561	-109.20	-28.4645	0.00	0.0000
Investors Exchange LLC	0.99	0.00	0.33	1.13	0.00	0.00	0.0000	-0.07	-6.2477	-0.04	-0.0266	0.00	0.0000
CBOE EDGA US Equities Exchange	0.50	0.00	1.20	0.35	0.00	0.00	0.0000	1.72	20.9268	4.09	13.9346	0.00	0.0000
Nasdaq PHLX LLC	0.39	0.00	0.65	0.33	0.00	0.00	0.0000	-5.91	-30.0000	-10.16	-29.5634	0.00	0.0000

Material Aspects:

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

CBOE BZX Exchange:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

BATS Y Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at BATS. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to BATS by Apex for execution.

Nasdaq OMX BX:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq OMX BX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq OMX BX by Apex for execution.

Investors Exchange LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at the Investor's Exchange. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to the Investor's Exchange by Apex for execution.

CBOE EDGA US Equities Exchange:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

Nasdaq PHLX LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Nasdaq PHLX. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Nasdaq PHLX by Apex for execution.

December 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.88	43.80	54.93	0.38

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Dash Financial Technologies	55.55	62.34	58.60	53.16	34.33	131.18	29.7469	15,966.35	20.4532	7,477.67	20.0382	60.48	18.9000
Wolverine Execution Services, LLC	31.74	27.92	28.94	33.94	46.27	22.88	20.8000	7,686.90	22.7990	5,207.60	22.9774	114.12	30.9268
CITADEL SECURITIES LLC	12.71	9.74	12.46	12.90	19.40	76.72	44.3468	8,917.77	20.2171	2,209.22	22.0108	55.16	20.2051