

SOFI - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2022

July 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	79.58	0.77	4.40	15.26

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	35.23	39.72	15.13	11.27	19.68	896.24	12.0000	0.09	12.0000	0.78	23.1669	671.66	23.2000
CITADEL SECURITIES LLC	21.77	22.52	23.18	20.43	18.15	491.71	12.0000	0.18	15.0545	1.40	22.7980	403.98	23.2000
Virtu Americas, LLC	20.77	14.96	8.24	9.17	55.06	355.76	12.0000	0.05	12.7814	0.63	22.8742	81.78	21.2176
CODA MARKETS INC	8.97	11.23	4.21	0.00	0.00	211.83	12.0000	0.03	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	4.93	6.07	3.83	0.93	0.15	147.20	12.0000	0.03	14.2400	0.06	22.8000	2.38	23.2000
Two Sigma Securities, LLC	3.00	1.99	0.19	8.20	6.95	32.26	12.0000	0.00	23.2000	0.57	23.2000	209.03	23.2000
G1 Execution Services, LLC	2.80	3.50	1.53	0.00	0.00	115.39	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.59	0.00	23.75	32.07	0.00	0.00	0.0000	-18.18	-11.0264	-34.19	-4.3729	0.00	0.0000
Cboe EDGX Exchange, Inc.	0.40	0.00	7.47	7.90	0.00	0.00	0.0000	-14.47	-30.0000	-98.92	-29.9735	0.00	0.0000
The Nasdaq Stock Market	0.29	0.00	5.75	5.57	0.00	0.00	0.0000	-6.34	-30.0000	-10.72	-21.4032	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevice. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

July 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	79.07	0.78	3.83	16.32

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Jane Street Capital	34.29	38.76	13.49	5.94	20.29	14,563.38	6.8622	428.62	11.9992	77.83	13.1523	12,370.66	23.2000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CITADEL SECURITIES LLC	25.57	26.91	17.89	14.35	22.05	10,503.91	5.4893	518.85	12.0007	65.58	13.3788	8,752.82	23.2000
Virtu Americas, LLC	21.38	16.37	7.48	5.40	50.07	5,418.79	4.2902	156.80	11.9985	33.34	22.9502	1,944.95	19.7630
CODA MARKETS INC	4.66	5.87	1.82	0.00	0.00	1,624.06	12.0000	0.04	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	4.58	5.68	2.32	0.88	0.18	2,188.36	5.8913	143.69	11.9995	22.66	12.0452	87.48	23.2000
G1 Execution Services, LLC	3.26	4.11	1.62	0.07	0.00	1,384.70	4.1949	98.12	11.9993	45.38	11.9984	0.00	0.0000
Two Sigma Securities, LLC	3.26	2.30	1.21	5.69	7.41	809.03	3.7404	0.15	11.3624	53.46	23.1990	4,181.49	23.1990
NYSE Arca, Inc.	1.85	0.00	23.45	43.52	0.00	0.00	0.0000	-280.01	-5.2582	-1,289.56	-3.1936	0.00	0.0000
Cboe EDGX Exchange, Inc.	0.38	0.00	9.85	7.82	0.00	0.00	0.0000	-118.33	-18.6777	-461.37	-15.4255	0.00	0.0000
The Nasdaq Stock Market	0.32	0.00	5.81	7.22	0.00	0.00	0.0000	-117.77	-13.8355	-301.88	-8.3801	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Cboe EDGX Exchange, Inc.:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

July 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

August 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	78.66	0.78	4.78	15.79

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	35.15	40.03	14.70	8.66	19.86	1,433.92	12.0000	0.11	12.1231	0.77	23.2000	1,552.89	23.2000
CITADEL SECURITIES LLC	21.50	22.03	23.10	22.41	18.51	769.48	12.0000	0.22	15.2112	1.94	22.6885	813.64	23.2000
Virtu Americas, LLC	21.03	15.17	9.53	10.00	54.14	492.66	12.0000	0.08	13.8983	0.87	22.8766	115.72	20.5615
CODA MARKETS INC	8.54	10.81	4.68	0.00	0.00	357.61	12.0000	0.03	12.0000	0.00	0.0000	0.00	0.0000

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	4.91	6.11	2.58	1.47	0.12	212.43	12.0000	0.02	13.4000	0.12	22.0000	2.94	23.2000
Two Sigma Securities, LLC	2.84	1.60	0.81	8.58	7.38	56.55	12.0000	0.01	16.4800	0.76	23.1657	625.76	23.2000
G1 Execution Services, LLC	2.76	3.50	0.97	0.00	0.00	115.19	12.0000	0.01	12.0000	0.00	0.0000	0.00	0.0000
NYSE Arca, Inc.	1.51	0.00	20.52	28.22	0.00	0.00	0.0000	-16.95	-9.7516	-65.93	-9.7738	0.00	0.0000
Cboe EDGX Exchange, Inc.	0.40	0.00	8.89	6.93	0.00	0.00	0.0000	-62.19	-30.0000	-85.96	-28.7256	0.00	0.0000
The Nasdaq Stock Market	0.36	0.00	4.85	6.64	0.00	0.00	0.0000	-8.38	-30.0000	-13.07	-15.2251	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Virtu Americas, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevicees. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

August 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.76	1.06	4.21	16.98

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	34.30	38.91	11.26	5.29	21.82	21,861.53	8.0460	638.45	11.9995	45.78	15.9910	13,748.06	23.2000
CITADEL SECURITIES LLC	25.13	26.48	12.60	14.18	22.44	15,721.50	7.7828	398.90	12.0008	73.98	16.8889	10,254.37	23.1999
Virtu Americas, LLC	20.72	15.90	5.06	5.27	47.58	8,137.89	6.4310	291.99	11.9997	26.63	16.1452	3,356.63	20.0040
CODA MARKETS INC	4.80	6.16	0.95	0.01	0.00	2,656.52	12.0000	0.04	12.0000	0.00	12.0000	0.00	0.0000
Canaccord Genuity Securities	4.50	5.70	2.08	0.68	0.14	3,126.04	7.8357	42.80	12.0002	0.38	16.9742	122.90	23.2000
G1 Execution Services, LLC	3.23	4.15	0.69	0.06	0.00	2,422.56	5.3516	22.35	11.9974	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	3.05	1.89	0.77	4.92	8.03	863.51	4.4871	2.57	11.9609	49.96	23.1990	4,739.43	23.1495
NYSE Arca, Inc.	2.05	0.00	25.09	42.40	0.00	0.00	0.0000	-258.35	-5.0370	-896.63	-3.0298	0.00	0.0000
Cboe EDGX Exchange, Inc.	0.53	0.00	10.85	9.76	0.00	0.00	0.0000	-400.87	-21.3570	-967.79	-17.5070	0.00	0.0000
The Nasdaq Stock Market	0.40	0.00	8.07	7.50	0.00	0.00	0.0000	-361.79	-19.1668	-236.41	-5.0429	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevice. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

Cboe EDGX Exchange, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CBOE. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CBOE by Apex for execution.

The Nasdaq Stock Market:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at The Nasdaq Stock Market. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to The Nasdaq Stock Market by Apex for execution.

August 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

September 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	78.99	0.82	5.00	15.19

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	35.27	39.73	24.39	11.10	20.63	880.53	12.0000	0.17	12.1600	0.90	23.1713	1,753.38	23.2000
Virtu Americas, LLC	24.13	19.80	12.54	13.06	50.95	466.62	12.0000	0.09	12.9333	1.05	22.9804	82.00	21.2505
CITADEL SECURITIES LLC	18.05	17.07	21.60	24.92	20.64	383.52	12.0000	0.19	15.1613	2.00	22.7781	746.18	23.1997
CODA MARKETS INC	8.99	11.32	5.23	0.00	0.00	264.66	12.0000	0.04	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	4.89	6.00	5.40	1.76	0.07	125.65	12.0000	0.04	13.8065	0.14	22.8387	0.31	23.2000
G1 Execution Services, LLC	2.57	3.23	2.44	0.00	0.00	72.61	12.0000	0.02	12.0000	0.00	0.0000	0.00	0.0000
Two Sigma Securities, LLC	2.44	0.96	0.87	10.13	7.70	22.80	12.0000	0.01	14.2400	0.83	23.2000	420.55	23.2000
NYSE Arca, Inc.	1.26	0.00	14.11	22.82	0.00	0.00	0.0000	3.67	8.2445	34.50	8.6635	0.00	0.0000
StoneX	0.83	1.03	0.52	0.34	0.00	26.37	12.0000	0.00	12.0000	0.03	23.2000	0.00	0.0000
HRT	0.71	0.85	0.00	0.71	0.00	37.20	12.0000	0.00	0.0000	0.06	22.7520	0.00	0.0000

Material Aspects:

Jane Street Capital:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Services. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Two Sigma Securities, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

September 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	77.85	0.94	3.71	17.50

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital	34.50	38.80	15.51	7.57	22.08	13,884.19	6.6638	509.70	11.9996	26.24	18.4235	13,716.86	23.2000
Virtu Americas, LLC	24.72	20.35	9.24	8.07	48.49	6,765.76	4.9960	320.38	12.0001	84.04	17.5220	2,391.04	19.6850
CITADEL SECURITIES LLC	21.84	22.21	15.93	16.69	21.60	7,526.23	5.3314	354.77	12.0011	42.40	20.2398	8,414.87	23.2000
CODA MARKETS INC	4.62	5.92	1.78	0.00	0.00	1,718.09	12.0000	6.05	12.0000	0.00	0.0000	0.00	0.0000
Canaccord Genuity Securities	4.54	5.74	2.78	0.84	0.07	1,828.67	5.9401	196.35	12.0002	0.19	22.4094	60.87	23.2000
G1 Execution Services, LLC	2.85	3.64	1.59	0.09	0.00	1,170.41	3.7817	30.35	11.9986	2.36	11.9514	0.00	0.0000
Two Sigma Securities, LLC	2.70	1.39	0.77	6.70	7.75	444.72	3.0927	34.85	11.9995	20.92	21.0540	3,612.33	23.1992
NYSE Arca, Inc.	1.37	0.00	20.07	31.80	0.00	0.00	0.0000	-352.76	-8.1684	-2,308.31	-4.2196	0.00	0.0000
StoneX	0.79	0.98	0.70	0.40	0.00	294.55	5.6238	84.88	12.0000	0.28	13.8960	0.00	0.0000
HRT	0.78	0.97	0.58	0.44	0.00	298.35	6.1846	0.14	11.9860	0.10	22.7022	0.00	0.0000

Material Aspects:

Jane Street Capital:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Jane Street Capital. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Jane Street Capital by Apex for execution.

Virtu Americas, LLC:

SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Virtu Americas. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Virtu Americas by Apex for execution.

CITADEL SECURITIES LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Citadel. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Citadel by Apex for execution.

CODA MARKETS INC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at CODA. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to CODA by Apex for execution.

Canaccord Genuity Securities:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Canaccord Genuity. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Canaccord Genuity by Apex for execution.

G1 Execution Services, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at G1 Execution Sevices. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to G1 Executions Services by Apex for execution.

Two Sigma Securities, LLC:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at Two Sigma Securities. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to Two Sigma Securities by Apex for execution.

NYSE Arca, Inc.:
SoFi Securities LLC ("SoFi") routes order flow to Apex Clearing Corporation ("Apex") who in turn routes to and executes order flow at NYSE Arca. SoFi and Apex have an 80%/20% (in favor of SoFi) split on all payment for order flow generated from SoFi's equities orders routed to NYSE Arca by Apex for execution.

September 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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