

Report for Mizuho Securities USA Inc.
SEC Rule 606 Report Disclosure
4th Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Clearpool Execution Services	59.53%	0.00%	0.00%	59.53%
Virtu Americas, LLC	37.42%	0.00%	0.00%	37.42%
Total Orders	100.00%	0.00%	0.00%	100.00%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Clearpool Execution Services	77.67%	0.00%	0.00%	77.67%
Virtu Americas, LLC	20.68%	0.00%	0.00%	20.68%
Total Orders	100.00%	0.00%	0.00%	100.00%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Virtu Americas, LLC	66.61%	0.00%	0.00%	66.61%
Clearpool Execution Services	15.94%	0.00%	0.00%	15.94%
CITADEL	13.86%	0.00%	0.00%	13.86%
Total Orders	97.21%	0.00%	0.00%	100.00%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
WEX-SWEEP	98.30%	0.00%	49.82%	99.00%
Total Orders	99.98%	0.00%	1.42%	98.58%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

