

Report for HSBC Securities, Inc.

SEC Rule 606 Report Disclosure

1st Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	46.26%	48.67%	83.81%	0.26%
Nasdaq Stock Exchange	18.20%	5.65%	2.20%	39.76%
New York Stock Exchange	16.77%	23.88%	8.91%	25.10%
BATS Y Exchange	5.98%	7.97%	1.91%	10.59%
UBS Capital Markets	3.35%	0.45%	0.01%	7.90%
Total Orders	100.00%	7.10%	50.94%	41.96%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	70.72%	65.92%	87.05%	0.50%
Nasdaq Stock Exchange	18.04%	18.27%	9.01%	58.04%
New York Stock Exchange	2.69%	0.29%	0.06%	15.59%
Total Orders	100.00%	8.23%	74.91%	16.85%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	75.95%	88.48%	89.81%	0.16%
NYSE Arca, Inc.	9.87%	5.16%	4.82%	37.54%
BATS Y Exchange	5.05%	2.88%	1.68%	23.09%
Total Orders	100.00%	7.35%	77.30%	15.34%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Interactive Brokers LLC	100.00%	100.00%	100.00%	0.00%
Total Orders	100.00%	0.68%	99.32%	0.00%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

