

Report for HSBC Securities, Inc.

SEC Rule 606 Report Disclosure

4th Quarter, 2018

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	38.37%	45.85%	80.25%	1.23%
New York Stock Exchange	27.11%	26.91%	7.65%	43.94%
Nasdaq Stock Exchange	17.55%	4.57%	4.07%	30.88%
Bats Trading Inc.	4.24%	8.82%	2.89%	4.80%
UBS Capital Markets	3.69%	0.32%	0.01%	7.30%
Total Orders	100.00%	6.56%	43.29%	50.14%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	64.69%	63.21%	86.63%	1.18%
Nasdaq Stock Exchange	20.87%	19.88%	9.91%	53.26%
New York Stock Exchange	6.82%	0.20%	0.04%	29.13%
Total Orders	100.00%	8.85%	67.89%	23.26%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	74.53%	87.68%	87.41%	0.39%
NYSE Arca, Inc.	12.34%	5.51%	6.40%	46.92%
Nasdaq Stock Exchange	4.75%	0.46%	1.37%	24.66%
Total Orders	100.00%	8.40%	76.78%	14.82%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Interactive Brokers LLC	100.00%	100.00%	100.00%	0.00%
Total Orders	99.89%	0.45%	99.55%	0.00%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

