

Report for HSBC Securities, Inc.

SEC Rule 606 Report Disclosure

3rd Quarter, 2018

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	48.54%	67.56%	91.62%	6.54%
New York Stock Exchange	38.55%	15.46%	3.59%	73.44%
Nasdaq Stock Exchange	4.37%	2.72%	1.65%	7.06%
Total Orders	100.00%	5.18%	45.65%	49.17%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	75.14%	82.24%	93.64%	15.47%
New York Stock Exchange	11.02%	0.08%	0.01%	48.90%
Nasdaq Stock Exchange	8.41%	10.47%	4.68%	19.20%
Total Orders	100.00%	7.86%	69.62%	22.52%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	84.29%	91.86%	92.17%	9.08%
NYSE Arca, Inc.	6.53%	4.00%	3.40%	35.94%
Total Orders	100.00%	8.14%	82.41%	9.45%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Interactive Brokers LLC	100.00%	100.00%	100.00%	0.00%
Total Orders	100.00%	1.11%	98.89%	0.00%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

