

Report for HSBC Securities, Inc.

SEC Rule 606 Report Disclosure

1st Quarter, 2018

| NYSE Listed Securities | | | | |
|-------------------------------|----------------------------|----------------------|---------------------|----------------------|
| Orders routed to: | Non-Directed Orders | Market Orders | Limit Orders | Other Orders* |
| Instinet, LLC | 79.51% | 98.68% | 98.61% | 46.62% |
| New York Stock Exchange | 15.78% | 0.29% | 0.05% | 42.81% |
| Total Orders | 100.00% | 7.13% | 56.13% | 36.74% |

* Orders for which customer requests special handling

| NASDAQ Listed Securities | | | | |
|---------------------------------|----------------------------|----------------------|---------------------|----------------------|
| Orders routed to: | Non-Directed Orders | Market Orders | Limit Orders | Other Orders* |
| Instinet, LLC | 97.06% | 99.37% | 99.59% | 84.10% |
| Total Orders | 100.00% | 7.31% | 76.43% | 16.26% |

* Orders for which customer requests special handling

| Securities Listed on NYSE MKT/Regional Exchanges | | | | |
|---|----------------------------|----------------------|---------------------|----------------------|
| Orders routed to: | Non-Directed Orders | Market Orders | Limit Orders | Other Orders* |
| Instinet, LLC | 99.18% | 99.05% | 99.96% | 79.12% |
| Total Orders | 100.00% | 8.25% | 88.36% | 3.39% |

* Orders for which customer requests special handling

| Exchange-Listed Options | | | | |
|-------------------------|---------------------|---------------|--------------|---------------|
| Orders routed to: | Non-Directed Orders | Market Orders | Limit Orders | Other Orders* |
| Interactive Brokers LLC | 100.00% | 100.00% | 100.00% | 0.00% |
| Total Orders | 100.00% | 1.98% | 98.02% | 0.00% |

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

