

Report for HSBC Securities, Inc.

SEC Rule 606 Report Disclosure

4th Quarter, 2017

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	82.78%	99.81%	99.99%	58.99%
New York Stock Exchange	16.05%	0.00%	0.00%	38.25%
Total Orders	100.00%	4.23%	53.82%	41.95%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	99.22%	99.94%	100.00%	96.65%
Total Orders	100.00%	4.74%	72.15%	23.11%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	99.87%	100.00%	100.00%	96.47%
Total Orders	100.00%	5.09%	91.18%	3.72%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Interactive Brokers LLC	100.00%	100.00%	100.00%	0.00%
Total Orders	100.00%	3.90%	96.10%	0.00%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

