

SEC Rule 607 Disclosure

SEC Rule 607 requires all registered broker-dealers to provide disclosures to customers of payment for order flow practices upon the opening of a new account and annually thereafter. Dough LLC (“Dough”) sends certain equity orders to exchanges, electronic communication networks, or broker-dealers during normal business hours and during extended trading sessions. Some of those market centers provide payments to Dough, or charge access fees depending upon the characteristics of the order and any subsequent execution. Information on these payments can be found by viewing Dough’s SEC Rule 606 Quarterly Routing Disclosure below. Dough may also receive compensation from routing orders in exchange-listed options to broker-dealer intermediaries, which in turn use smart order routing technology to seek the best execution available in the market. Compensation is generally in the form of a per-option contract cash payment. Further details of these payments and fees are available upon written request.

Dough SEC Rule 606 Quarterly Routing Disclosure

Dough has prepared this report pursuant to U.S. Securities and Exchange Commission rule requiring all brokerage firms make available to the public a quarterly report about their order routing practices. The report provides information on the routing of “nondirected orders” -- any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Dough has selected the execution venue on behalf of its customers.

Discussion of the material aspects of Dough’s relationship with each venue identified in the report, including a description of any arrangement regarding payment for order flow:

Wolverine Securities, LLC; Dash Financial Technologies LLC, Citadel Execution Services – Combined payment received from all venues averaged less than \$.0030 per executed share.

