

Report for DOUGH, LLC
SEC Rule 606 Report Disclosure
2nd Quarter, 2019

| NYSE Listed Securities | | | | |
|----------------------------------|----------------------------|----------------------|---------------------|----------------------|
| Orders routed to: | Non-Directed Orders | Market Orders | Limit Orders | Other Orders* |
| Wolverine Securities, LLC | 37.46% | 43.18% | 36.69% | 0.00% |
| Dash Financial Technologies, LLC | 34.98% | 27.27% | 35.97% | 100.00% |
| Citadel Securities, LLC | 27.55% | 29.55% | 27.34% | 0.00% |
| Total Orders | 100.00% | 13.62% | 86.07% | 0.31% |

* Orders for which customer requests special handling

| NASDAQ Listed Securities | | | | |
|----------------------------------|----------------------------|----------------------|---------------------|----------------------|
| Orders routed to: | Non-Directed Orders | Market Orders | Limit Orders | Other Orders* |
| Wolverine Securities, LLC | 41.95% | 37.74% | 42.98% | 33.33% |
| Dash Financial Technologies, LLC | 32.89% | 33.96% | 33.06% | 0.00% |
| Citadel Securities, LLC | 25.17% | 28.30% | 23.97% | 66.67% |
| Total Orders | 100.00% | 17.79% | 81.21% | 1.01% |

* Orders for which customer requests special handling

| Securities Listed on NYSE MKT/Regional Exchanges | | | | |
|---|----------------------------|----------------------|---------------------|----------------------|
| Orders routed to: | Non-Directed Orders | Market Orders | Limit Orders | Other Orders* |
| Wolverine Securities, LLC | 39.83% | 35.29% | 40.59% | 0.00% |
| Dash Financial Technologies, LLC | 38.98% | 35.29% | 39.60% | 0.00% |
| Citadel Securities, LLC | 21.19% | 29.41% | 19.80% | 0.00% |
| Total Orders | 100.00% | 14.41% | 85.59% | 0.00% |

* Orders for which customer requests special handling

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| Exchange-Listed Options |
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| No Covered Orders |
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[Material Aspects of Relationships with Route Venues](#)

