

Report for Apex Clearing Corporation
SEC Rule 606 Report Disclosure
3rd Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	33.83%	28.35%	33.91%	41.77%
Virtu Financial, Inc.	22.50%	14.07%	20.40%	39.73%
G1 Execution Services, LLC	20.98%	44.19%	11.97%	6.72%
Instinet, LLC	14.85%	0.60%	28.53%	5.33%
Total Orders	45.86%	31.42%	47.42%	21.17%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	32.09%	30.66%	32.15%	33.32%
Virtu Financial, Inc.	23.78%	15.21%	19.52%	45.01%
Instinet, LLC	20.84%	0.30%	32.54%	5.89%
G1 Execution Services, LLC	15.82%	39.61%	9.78%	10.56%
Total Orders	58.86%	19.73%	60.22%	20.06%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	36.59%	37.91%	35.30%	42.47%
Virtu Financial, Inc.	21.21%	15.86%	19.64%	41.86%
Instinet, LLC	15.37%	0.10%	21.59%	4.34%
G1 Execution Services, LLC	10.66%	19.91%	8.96%	3.88%
Two Sigma Securities, LLC	9.76%	17.56%	8.82%	0.82%
Total Orders	67.60%	20.44%	68.99%	10.57%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Susquehanna Capital Group	36.45%	28.31%	36.91%	36.53%
Citigroup Global Markets Inc.	22.20%	16.53%	22.48%	24.14%
Citadel Securities, LLC	22.12%	20.14%	22.37%	15.98%
Dash Financial Technologies	16.39%	32.26%	15.36%	22.27%
Total Orders	23.84%	5.23%	92.63%	2.14%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

